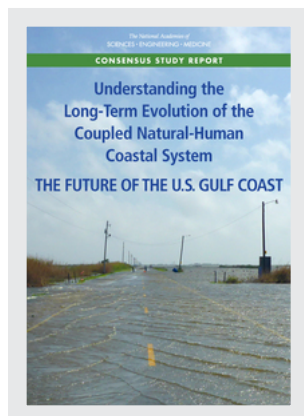


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## Understanding the Long-Term Evolution of the Coupled Natural-Human Coastal System: The Future of the U.S. Gulf Coast

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# **Understanding the Long-term Evolution of the Coupled Natural-Human Coastal System: The Future of the U.S. Gulf Coast**

Committee on Long-term Coastal Zone Dynamics: Interactions and Feedbacks  
between Natural and Human Processes along the U.S. Gulf Coast

Board on Earth Sciences and Resources  
Ocean Studies Board  
Division on Earth and Life Studies

Board on Environmental Change and Society  
Division of Behavioral and Social Sciences and Education

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*The National Academies of*  
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AND FEEDBACKS BETWEEN NATURAL AND HUMAN PROCESSES ALONG THE  
U.S. GULF COAST**

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## Summary

The U.S. Gulf Coast provides a valuable setting to study deeply connected natural and human interactions and feedbacks that have led to a complex, interconnected coastal system. The physical landscape in the region has changed significantly due to broad-scale, long-term processes such as coastal subsidence and river sediment deposition as well as short-term episodic events such as hurricanes. Modifications from human activities, including building levees and canals and constructing buildings and roads, have left their own imprint on the natural landscape. Indeed, part of the Gulf Coast's uniqueness is the concentration of a wide range of energy-related infrastructure in the region. This coupled natural-human coastal system and the individual aspects within it (physical, ecological, and human) are under increased pressure from accelerating environmental stressors such as sea level rise, intensifying hurricanes, and continued population increase with its accompanying coastal development. Promoting the resilience and maintaining the habitability of the Gulf Coast into the future will need improved understanding of the coupled natural-human coastal system, as well as effective sharing of this understanding in support of decision-making and policies.

The National Academies of Sciences, Engineering, and Medicine's (the National Academies') Gulf Research Program asked the National Academies' Board on Earth Sciences and Resources, Ocean Studies Board, and Board on Environmental Change and Society to undertake a study on long-term coastal zone dynamics along the U.S. Gulf Coast. The ad hoc committee was asked to identify scientific and technical gaps in understanding the interactions and feedbacks between human and natural processes, to define essential components of a research and development program in response to the identified gaps, and to develop and set priorities for up to three critical areas of research. In addition, the committee was asked to identify barriers to and opportunities for more effective communication among scientists and coastal stakeholders regarding long-term changes to the coastal zone (see Box 1.1 for the full Statement of Task). The project originated as a way to better understand the multiple and interconnected factors that influence long-term processes along the Gulf Coast, and was envisioned to help inform decision-making and research planning related to the strategic initiatives of the Gulf Research Program.

In this report, the committee identifies three critical areas of research that encompass high priority gaps in scientific knowledge that, if addressed, will increase understanding of the coupled natural-human system along the Gulf Coast. The committee also presents a research agenda that should be undertaken to meet these gaps as well as strategies that could guide such an agenda. Finally, barriers to effective communication between scientists and stakeholders, as well as opportunities to move past these barriers, are considered.

To assist with these tasks, the committee convened three information-gathering meetings (including a workshop with 20 invited participants); heard presentations from leaders in related fields, including state and federal agencies, academia, non-governmental organizations, and the

energy industry; and consulted peer-reviewed research literature, community-sponsored efforts, and state and federal government reports from the Gulf Coast and other relevant coastal regions.

## CRITICAL RESEARCH AREAS

Better understanding of long-term coastal zone dynamics involves a thorough examination of the coupled natural-human coastal system. While the interconnected and complex nature of the system makes it difficult to discuss any one aspect of the Gulf Coast region in isolation, the system can most readily be grouped into three related areas: the physical and ecological components of the natural system, the human system, and interactions and feedbacks between the natural and human systems. The Gulf Coast is governed by a combination of physical drivers originating in the ocean, atmosphere, and on land. These include, among others, sea level rise, subsidence, hurricane and flooding hazards, and coastal morphology. Ecosystem dynamics, structure, and function are important aspects of the ecological system. The human system includes both coastal development and adaptive responses to coastal change, such as individual and community-level decisions on targeted investment, relocation, or migration.

The committee was asked to identify up to three critical areas of research that would increase understanding of long-term natural coastal dynamics in order to advance the science and help inform stakeholder decision-making. First, the committee developed a comprehensive vision that could guide the critical areas of research *to understand and predict the feedbacks and interactions among the physical, ecological, and human components and the resulting evolution of the coupled system along the U.S. Gulf Coast, in the context of both human and climate drivers.*

Then, the committee focused on the relevant time scales for this vision: a near-decadal scale (10-50 years) and a decadal-century scale (50-200 years). These periods encompass both the time scales of the physical and ecological drivers of anticipated changes and the motivating factors for human response and decision-making. Next, the three critical areas for research were identified.

- **Critical Area 1:** How will coastal landforms and coastal ecosystems along the Gulf Coast respond to rapidly changing conditions (both natural and human-induced), especially given the expectation for continued relative sea level rise acceleration?
- **Critical Area 2:** How will human settlement and economic activity along the Gulf Coast respond to evolving coastal landforms and ecosystems under rapidly changing conditions?
- **Critical Area 3:** How can improved understanding of both near- and long-term evolution of the Gulf Coast coupled natural-human system be applied to inform stakeholder decisions made at local, state, and regional scales? How does the coupled system evolve when decision-making is updated as scientific understanding advances?

## RESEARCH GAPS

The critical areas encompass 12 gaps in the current scientific understanding of the coupled natural-human coastal system. These high priority gaps, if addressed, will transform the present scientific understanding of the Gulf Coast coupled natural-human system and the ability to assess its future evolution. Research gaps were first identified through the consideration of one-way interactions among the various system components. They were viewed through a disciplinary lens

(whether physical, ecological or human) that could best enable progress to address the identified gap. Subsequently, research gaps that require the consideration of feedbacks among all components of the system were discussed. However, it is important to note that all of the research gaps (whether physical, ecological, or human) are interrelated to some degree and are intended to be thought of as integral to the Gulf Coast coupled natural-human system.

### The Natural System: Physical Processes

Physical processes that drive changes in the natural coastal system occur over varying time scales that range from episodic (e.g., hurricanes, rainfall events) to longer-term processes (e.g., rising sea level, subsidence). These processes also act across a range of spatial scales and cause short-term disturbances such as flooding, shoreline erosion, and changes in water quality, as well as longer-term changes in the landscape such as wetland loss and the migration of river channels, barrier islands, and tidal inlets. Understanding key physical processes of the system, and how they interact with each other, will lead to better ecosystem management and human decision-making to deal with coastal change. The following gaps in understanding are associated with Critical Area 1 (how coastal landforms and ecosystems respond to changing conditions):

- Research Gap 1: Current data sets, monitoring systems, and approaches are insufficient to track and understand how the **oceanic component** of sea level (i.e., excluding subsidence) is changing along the Gulf Coast and to predict how it will change in the future.
- Research Gap 2: The causes, rates, and patterns of **subsidence** along the Gulf Coast are not sufficiently well understood to allow for accurate prediction at the local to regional scale.
- Research Gap 3: The **combined effects** of freshwater input from Gulf Coast watersheds, storm surge, sea level rise, and development on **coastal flood hazards** are not well understood, thereby limiting the capacity to include and model these effects in predictions of Gulf Coast dynamics.
- Research Gap 4: The relative contributions of naturally-occurring and artificially-managed **riverine sediment delivery** (availability and fluxes), diversion and management activities, and how they impact the **evolution of coastal landforms** (e.g., river deltas, barrier islands) and ecosystems (e.g., wetlands) is poorly understood.
- Research Gap 5: Limited understanding of **sediment transport processes** and uncertainties in predicting **future hydrodynamic conditions** hampers the ability to project long-term coastal evolution.
- Research Gap 6: There is a critical need to understand and project the **future response of coastal landforms** and embayments to **changing climate**, and the conditions under which they will no longer be able to keep pace with relative sea level rise.

### The Natural System: Ecological Processes

Gulf Coast ecosystems evolve continuously over decadal to centennial scales. Humans have imposed and will continue to impose substantial change from factors such as changes in the



built environment, industrial activities, and climate change. Placing the ecological component within the Gulf Coast coupled natural-human system first entails understanding how ecosystems function under natural conditions, and then how human alterations affect those functions. Below are salient gaps in the understanding of ecosystem function and management under current and future conditions, also tied to Critical Area 1.

- Research Gap 7: There is limited understanding of the individual and combined effects of current **environmental gradients, physical forcing, climate change and coastal development** (including energy-related infrastructure) on Gulf Coast ecosystems.
- Research Gap 8: The understanding of **strategic natural resource conservation and restoration activities** for effective coastal management is limited.

### The Human System

Understanding the evolution of the coupled natural-human coastal system necessitates better knowledge of the aspects of the human system that interact and feedback with the natural system. In addition, the ability to predict significant human processes with confidence over decadal to centennial time scales is poor and will be particularly challenged if thresholds of behavior, status, or perceptions are exceeded, leading to new equilibrium states for the coupled natural-human system components. The following research gaps fall within Critical Area 2 (the response and adaptation of Gulf Coast residents to changes in coastal landforms and ecosystems):

- Research Gap 9: There is a need to understand how **decisions about the built environment will be affected by coastal change**, and how these decisions create feedbacks between the natural and human systems.
- Research Gap 10: There is a need for better understanding of **how coastal changes affect the built environment** and which aspects of the built environment are most vulnerable to coastal changes.
- Research Gap 11: There is an incomplete understanding of the **vulnerability of different Gulf communities** to coastal dynamics, how coastal dynamics trigger **migration and relocation decisions**, and how these decisions create feedbacks to the natural system.

### The Coupled Natural-Human Coastal System

Physical drivers can cause not only modifications such as coastal erosion and landform migration, but also ecological alterations such as wetland loss and displacement of biological communities. Such changes can trigger human responses that, in turn, lead to further changes in the physical and ecological systems. Human activities can also be primary drivers for change in the natural system. For instance, the impacts of human development on coastal ecosystem function or physical processes can generate feedbacks for coastal communities. The understanding of these feedbacks for the coupled natural-human system in the Gulf Coast is limited. In addition, forecasting the behavior of the coupled natural-human coastal system is challenging, particularly

for longer time scales (e.g., decades to centuries). These research gaps are linked to Critical Area 3 (how improved understanding of the coupled system can inform decision-making):

- Research Gap 12: Understanding how **decisions** about the built environment and human migration will affect the coupled natural and human coastal system is limited and can be furthered **through integrated modeling**.

## A RESEARCH AGENDA FOR THE FUTURE

The independent, science-based National Academies' Gulf Research Program, established in 2013 as part of legal settlements with the companies involved in the 2010 *Deepwater Horizon* oil spill, has grant-making capabilities that present a unique and timely opportunity for the creation of a sustained, holistic research program focused on the Gulf Coast coupled natural-human system. The Gulf Research Program could leverage its scope and autonomy to create an integrated research program that addresses critical research areas and gaps in a sustained way that federal and state funding agencies would be challenged to support, due to uncertainty in their budgets from year to year. Such an effort, with coordination and integration across multiple disciplinary research streams, has the potential to positively transform living along the Gulf Coast and in coastal zones around the world. This research program can be most successful if it includes the following components in its research and development program:

**Focus on interactions and feedbacks critical to the evolution of the coupled coastal system.** While it is very useful to study specific aspects of the natural and human systems, the greatest overall benefit is likely to come from focused efforts on the processes and mechanisms that are ultimately important to interactions and feedbacks between and among the natural and human systems.

**Support collaborative, multidisciplinary research teams.** Many of the current gaps in understanding of the coupled natural-human coastal system are complex and are unlikely to be addressed by a single discipline; rather, collaborative research teams involving multiple disciplines across the natural and social sciences will be needed.

**Encourage comprehensive, Gulf Coast-wide, integrated observational and modeling efforts.** Coordinating and integrating observational and modeling efforts will significantly amplify the gains that would otherwise be achieved by observation or modeling alone. The integration of observational and modeling programs, ideally through an iterative design, facilitates the development of targeted and adaptive observational programs, as well as the continued development of models and improvements in model skill.

**Offer research opportunities that are longitudinal and multi-decadal.** A program that intentionally takes the long view has the power to transform understanding of coastal evolution and to revolutionize the ability to project coastal change in the face of uncertain future conditions. Longitudinal observational, experimental, and monitoring programs can facilitate synthesis efforts aimed at tracking the drivers of change, quantifying patterns, and identifying cascading impacts through the system.

**Deliver easily accessible, regularly updated observational data and model results.** Making data and model results publicly available (especially in real or near-time) and archiving them in accessible databases will extend their utility beyond scientific research and assist managers, planners, other researchers, and decision makers with adapting and responding to changing environments.

**Coordinate at a high level.** Management of a research and development program that includes long-term efforts that are Gulf Coast-wide and longitudinal, contains highly integrated modeling and observational components, and emphasizes interactions and feedbacks between the natural and human coastal systems needs intentional, consistent, and careful administration.

## BARRIERS AND OPPORTUNITIES FOR COMMUNICATION

Addressing the research gaps will substantially advance understanding of the Gulf Coast coupled natural-human system and help identify salient feedbacks between humans and their environment. Turning research products into actionable policies for a more resilient future Gulf entails effective communication and collaboration between stakeholders and scientists. Specific barriers that currently prevent effective communication, as well as opportunities to overcome these barriers, are provided below. In this report, “stakeholder” generally refers to a practitioner involved in coastal issues such as planning or adaptation, such as a city planner or emergency manager. “Boundary organizations” play an intermediary role between different disciplines, creating and sustaining meaningful links between knowledge producers and users, and seek to provide a neutral ground for science-based discussion. A “boundary spanner” is an individual working at the edge of different groups who serves to connect those groups with each other.

### Stakeholder Perspective

**Barrier 1.** Financial constraints, information availability, time, and expertise represent a barrier to effective communication. These factors make it difficult for stakeholders to know about, obtain, find, work with, and interpret information/data in a way that allows them to incorporate science into decision making.

**Opportunity 1.** Targeted funding opportunities that would allow practitioners to obtain data and to hire staff with the expertise and dedicated time to interpret scientific information would facilitate the use and application of available scientific information by other stakeholders. Alternatively, or in addition, the development of a Gulf Coast-wide repository of scientific information managed by well-informed staff that can provide support for stakeholders would be a valuable resource that would help facilitate the incorporation of science into decision-making.

**Barrier 2.** Many scientific products that are intended to help inform decision-making are not tailored to stakeholders’ specific needs. As a result, the applicability of these products (e.g., tools, data, information) is not clear to stakeholders, who are then less likely to use them for decision making. Furthermore, many scientific products are not accompanied by sufficient instructions or training on how, why, or when to apply the provided information to the decision-making process;

the information thus may go unused or may be applied inappropriately. Additionally, some products may be seen by stakeholders as serving the interests of one group over another, and may thus not be seen as appropriate for decision-making.

**Opportunity 2.** When developing products that are intended to inform decision-making, scientists should be encouraged to engage substantively with stakeholders from the development to delivery stage. Such an approach can create scientific products that are more likely to be effective and immediately applicable, and may help to allay concerns over whether data are serving some needs over others. To encourage stakeholder involvement, solicitations for research programs might include a requirement for substantive and early engagement. Boundary organizations assist in facilitating this type of engagement, and including incentives for their involvement would further improve communication. The degree to which scientific information is used effectively could be further improved by streamlining and guiding the process by which stakeholders identify and access the information they need. Development of an innovative catalog of products would improve the abilities of stakeholders to access and apply these tools in their decision-making activities. Combining this effort with staff support (see Opportunity 1 above) would facilitate this process.

**Barrier 3.** The size and complexity of the energy industry, as well as apparent limitations to information sharing, present a barrier to effective communication between the energy industry and other stakeholders.

**Opportunity 3.** Create an incentive structure that fosters information sharing between the energy industry and other stakeholders, as well as protocols for how to engage more effectively to facilitate information sharing. This process could be facilitated by a third party such as a boundary organization.

**Barrier 4.** Limited financial and human resources, logistical complexity, difficulty in identifying all relevant stakeholders, and skepticism, lack of understanding, or lack of trust by one or both parties can make it difficult for practitioner stakeholders to communicate effectively with members of the general public, including vulnerable populations.

**Opportunity 4.** Boundary organizations can play a key role in facilitating trusting relationships among community members, practitioners, and scientists, allowing for more effective engagement. Advisory committees comprised of members of relevant stakeholder groups, including vulnerable or underserved groups, could serve as representatives for their communities and could help identify strategies for more effective communication and engagement.

**Barrier 5.** There can be difficulties in establishing two-way information flow between scientists and stakeholders, due to one or both parties failing to see the value of communication. Moreover, there are challenges involved in coordinating diverse entities and individuals for any particular research effort, especially when there are numerous people and/or groups involved.

**Opportunity 5.** Role-playing exercises may help ensure that scientists, stakeholders, and others see the value of two-way communication. Efforts can be made to demonstrate the effectiveness and value of community engagement through case studies and storytelling, as a first step toward further engagement. Clear lines of communication, chain of command, and protocols, and the involvement of boundary spanners or boundary organizations, may facilitate the coordination of stakeholders and scientists in concerted efforts and will help participants feel involved, useful, and have a sense of ownership.

### Scientist Perspective

**Barrier 6.** Scientists' engagement with stakeholders can be limited by competing demands on time and by the relative importance placed on this engagement, in terms of promotion and professional recognition. In addition, scientists are often not trained in speaking to public audiences or engaging with stakeholders. They may also not be equipped to transfer knowledge efficiently or to provide appropriately tailored information to stakeholders.

**Opportunity 6.** Strong relationships, collaborations, and clear communication between scientists and stakeholders help produce scientific results that are most applicable to coastal decision-making. To help facilitate the development of key relationships, funding programs could provide funds for engagement and knowledge transfer activities, and consider ways to incentivize collaborations between scientists and stakeholders via boundary organizations and other boundary spanners. Notably, there is an opportunity for extension faculty associated with sea- and land-grant programs to play a prominent role in future engagement and knowledge transfer between scientists and stakeholders. There may also be opportunities to offer training for scientists on effective communication and collaboration with stakeholders. Involving university leadership in these opportunities may also increase interest in and support for scientist engagement with stakeholders.

**Barrier 7.** Scientists working on or wanting to engage in research relevant to the Gulf Coast but who are not from or based there may feel limited by their "outsider" status when attempting to engage with stakeholders. They may have concerns about whether their information and expertise will be dismissed, especially if the information is viewed as contrary to deeply held stakeholder views.

**Opportunity 7.** Funding programs that focus on Gulf Coast-related research could encourage and facilitate collaborations among regional scientists (especially those with well-established relationships with stakeholders) and those from outside the region with complementary interests and expertise. In order to progressively build trust and forge strong collaborations, workshops, personnel exchanges, and symposia could be used to initiate communication and discussions among Gulf Coast stakeholders, Gulf Coast-based scientists, and scientists from outside the Gulf Coast who have relevant research interests and expertise.

# 1

## Introduction

The U.S. coastline is a dynamic and important region that hosts a large percentage of the population, has a critical role in the economy, and is made up of physically, geologically, ecologically, demographically, economically, and socially diverse environments (NOAA, 2013). With the number of people living in coastal areas increasing every year (NOAA, 2013), long-term planning to sustain healthy, productive U.S. coastlines and coastal communities depends on an understanding of the natural processes and human activities—and their interactions and feedbacks—that shape and change the coastal zone. Long-term changes resulting from these processes may affect the coasts’ future habitability, health, and productivity.

The U.S. Gulf of Mexico Coast (hereafter referred to as “the Gulf Coast” in this report) provides a particularly relevant setting to study deeply connected natural and human interactions. This is in large part because of the historical, and continuing, concentration of a wide range of infrastructure and coastal development, much of it energy-related, within a region that is highly vulnerable to hurricane landfalls and is experiencing the most rapid rise in relative sea level in the United States (Marcy et al., 2012, 2018). This confluence of intense, varied coastal development and rapid change in environmental stressors make the Gulf Coast a harbinger of what is to come globally as sea level rise rates approach those at the end of the last glacial period—a time when many coastal systems were unable to keep pace and rapidly migrated landward.

Changes to the physical landscape over various time scales, including natural and human-induced coastal subsidence and erosion; river, delta, and inlet migrations; and modifications from human activity have changed the coastline significantly and in some places irreversibly. The construction of engineered infrastructure, such as levees, and navigation channels, has affected delta morphology and the health and salinity of coastal marshes and related ecosystems. River systems that empty into the Gulf carry sediment and nutrient loads that have also changed through time due to agriculture, engineering, changes in the built environment, and other human activities. Such modifications can alter the natural (physical and ecological) system, often prompting people to make further modifications, creating a cycle that, over time, increases the likelihood that infrastructure, communities, and ecosystems will sustain damage due to sea level rise, coastal storms, and further development.

Over the next 10-200 years, the Gulf Coast shoreline will respond to a number of different environmental stresses, such as accelerating relative sea level rise, increasing frequency of intense hurricanes, and warming temperatures (e.g., Bender et al., 2010; NOS, 2011; Biasutti et al., 2012; Wright et al., 2015; Yan et al., 2017). Some of these stresses will lead to dramatic changes along the coast. For example, rapid sea level rise can lead to the loss of barrier islands, thus exposing the mainland to more energetic waves; the loss of wetlands, due to their inability to keep up with rising seas; or abandonment of coastal communities and/or infrastructure, when the cost of coastal

protection becomes too great. These “tipping points” (discussed in more detail in Chapter 2) may be reached earlier along the Gulf Coast than in other U.S. coastal settings, due to its low-lying sedimentary coastline and vulnerable ecosystems.

Another stressor for the Gulf region is coastal storms. Widespread flooding and associated coastline erosion and ecosystem disturbance<sup>1</sup> during the 2017 Atlantic hurricane season led to major disruptions in energy, water supply, and wastewater treatment throughout the Gulf of Mexico, the Southeast Atlantic, and Caribbean coastal zones<sup>2</sup> (e.g., Egan, 2017; Jaimes et al., 2017; Jermoe, 2017; Halverson, 2018; Smith et al., 2018). Cascading impacts of the 2017 hurricane season—on commerce, the political landscape, and socioeconomic vulnerability, for example—are as yet unknown, but based on impacts from the last decade (e.g., the 2005 hurricane season) will likely be significant (Halverson, 2018). One consequence of the recent storm season was the outmigration of hundreds of thousands from Puerto Rico to the U.S. mainland (Alvarez, 2017); whether it is permanent or temporary remains unknown. Recent work by Hauer (2017) predicts that by 2100, sea level rise could lead to the outmigration of tens to hundreds of thousands in some Gulf Coast counties. Such an egress could tax inland urban centers such as Atlanta, Denver, and Phoenix (Hauer, 2017).

There are current coastal ecosystem and shoreline restoration initiatives; for example, the State of Louisiana’s Coastal Master Plan. However, in the case of Louisiana, these plans are only being implemented after significant and irreversible land loss has already occurred. Even if Louisiana’s Coastal Master Plan is fully implemented, substantial net land loss will continue to occur over the next half century (LACPRA, 2017). For reasons such as this, the Gulf Coast offers a unique opportunity to gain fundamental understanding about coastal system evolution—human and natural—that will be globally relevant. A major scientific challenge, with large societal implications, is whether projections of the conditions under which such coastal tipping points are reached can be improved. Solutions to the Gulf Coast region’s contemporary problems can be informed by improved understanding of the potential future evolution of the system. Though still challenged by uncertainty, such understanding could enable objective evaluation of long-term outcomes of different mitigation approaches and thus allow assessment of different courses of action. The recent past and future evolution can only be understood, projected, and affected if the physical, ecological, and human components of the system, as well as their interactions and feedbacks, are well-understood.

## STUDY ORIGIN

The National Academies of Sciences, Engineering, and Medicine’s (the National Academies’) Gulf Research Program asked the National Academies’ Board on Earth Sciences and Resources, Ocean Studies Board, and Board on Environmental Change and Society to undertake a study on long-term coastal zone dynamics and the interactions and feedbacks between human and natural processes that occur in the coastal zone. The complete Statement of Task (SOT) is

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<sup>1</sup>For example, see pre- and post-Hurricane Harvey comparisons from Texas: [https://www.usgs.gov/center-news/pre-and-post-storm-photo-comparisons-texas?qt-news\\_science\\_products=2#qt-news\\_science\\_products](https://www.usgs.gov/center-news/pre-and-post-storm-photo-comparisons-texas?qt-news_science_products=2#qt-news_science_products).

<sup>2</sup>*Response and Recovery to Environmental Concerns from the 2017 Hurricane Season: Hearing Before the Members, Subcommittee on the Environment*, 115th Congress. 2017. Statement of the Majority Staff of the Committee on Energy and Commerce.

provided in Box 1.1. The project originated as a way to better understand the multiple factors that influence long-term processes along the Gulf Coast, and was envisioned to help inform decision-making and planning related to the four strategic initiatives of the Gulf Research Program:

- *Healthy Ecosystems* – Advance understanding of ecosystem processes and dynamics to facilitate sustainable use of natural resources.
- *Thriving Communities* – Enable people and coastal communities to successfully prepare for, respond, and adapt to stressors and adverse events.
- *Safer Offshore Energy Systems* – Foster minimization and management of risk to make offshore operations safer for both people and the environment.
- *Capacity Building* – Enhance the ability of researchers, decision makers, and communities to use science to solve challenges at the intersections of human, environmental, and offshore energy systems.

In addition to informing the Gulf Research Program, the committee feels this report can assist with possible research directions and decision-making among relevant scientific disciplines, private sector organizations, and local, state, and regional governments.

#### **BOX 1.1** **Statement of Task**

An ad hoc committee will conduct a study to determine research needed to improve understanding of the interactions and feedbacks between long-term (decadal to millennial scale) natural coastal dynamics and development, including energy-related infrastructure, along the U.S. Gulf of Mexico coastline. The work will be informed by case studies from other U.S. regions, including the mid-Atlantic, California, and/or Alaska.

Recognizing the Gulf Research Program's interest in understanding the Gulf of Mexico region's interconnected human, environmental, and energy production and development systems, the study will:

1. Identify gaps in scientific and technical understanding of the interactions and feedbacks between physical processes and coastal development in the U.S. Gulf of Mexico, and similarities and differences in these processes compared to other U.S. coastlines.
2. (a) Define the essential components of a research and development program (e.g., monitoring, data collection and management, modeling, population surveys, multi-dimensional mapping) in response to the gaps identified in (1); and  
(b) Develop and set priorities for no more than three critical areas of research to increase understanding of long-term natural coastal dynamics (e.g., sea-level rise; coastal subsidence, uplift, and erosion; coastal ecosystem evolution; coastal hazards) in order to advance the science and help inform stakeholder decision making, especially for those activities focused on energy and related infrastructure.
3. Identify barriers to, and opportunities for, more effective communication among scientists and coastal stakeholders about improved monitoring, forecasting, mapping, and other data collection and research regarding long-term changes in U.S. coastlines.



## STUDY SCOPE AND REPORT APPROACH

The Committee on Long-term Coastal Zone Dynamics: Interactions and Feedbacks between Natural and Human Processes along the U.S. Gulf Coast was convened to answer this request. Twelve committee members brought to this task a broad spectrum of knowledge and expertise related to coastal geology, ecology, and engineering; geography; energy systems development; economics; and social and behavioral science. Committee member and staff biographies are provided in Appendix A.

Toward the beginning of the study process, the committee determined that several terms in or related to the statement of task needed a common definition to better define the report scope. Definitions for these key terms are found in Box 1.2. Due to the emphasis on energy and energy-related infrastructure in the SOT, the committee's approach was to focus the report content slightly more toward the Louisiana and Texas coasts, which have a higher prevalence of such infrastructure compared to Alabama, Mississippi, and the Gulf Coast of Florida. However, there are discussions of and examples from all of the Gulf states throughout the report and most of the processes addressed are relevant to all Gulf states.

### BOX 1.2 Definitions

The **coastal zone** is generally defined by its landward and seaward boundaries. For this report, the committee adopted a seaward boundary based on the influence of storm waves on measurable changes to the sea bottom, namely a boundary inshore of which wave motion drives sediment transport. This depth is typically between 15 and 40 m, which is also the depth range for initiation of significant storm surge generation. Definition of the landward boundary is fluid because its relevance depends on the specific scientific questions and policy issues being addressed. For modeling some aspects of the physical system, the landward boundary of the coastal zone would be the farthest inland extent of storm surge (surge + tide + wave setup + wave swash) on the mainland. As illustrated by Hurricane Harvey, planners need a landward definition that captures the impact of precipitation-related flooding interacting with surge. Moreover, political boundaries are important because they influence the tax base for adapting to sea level rise and storms.

**Long-term** is defined as 10-200 years in the context of this study. This timeframe reflects the extent to which sea level rise projections have a reasonable degree of confidence, while reflecting the anticipated design lifespan of coastal engineering structures, energy-related infrastructure, and residential and commercial development. This includes consideration of how episodic events may affect long-term changes driven by both natural (such as storm intensity and frequency, ecosystem composition changes, sea level rise) and human processes (such as human decision-making, demography, coastal development, changes in energy needs and/or sources), as well as the cumulative effects of short-term events superimposed on longer-term forcing. The committee uses two time scales in the report: near-decadal (10-50 years) and decadal-century (50-200 years).

For this report, **coastal development** includes infrastructure that directly or indirectly supports the economy (including the energy sector) along the Gulf Coast. This includes, for example, residential and commercial buildings, schools, hospitals, and roads.

**Energy infrastructure** is the physical infrastructure required for producing, transforming, transmitting, distributing and storing energy associated with oil and natural gas (based on the definition used in Goldthau [2014]). This definition does not exclude, but puts secondary focus on, infrastructure associated with other energy systems including non-renewable sources such as coal and nuclear, and renewables (wind, waves, solar, tidal). Because the report is focused on the nearshore coastal environment, the committee did not consider offshore oil and gas infrastructure, but included infrastructure that lies within a state's jurisdiction and spans the coastal zone (e.g., offshore oil and gas pipelines that terminate landward of the Outer Continental Shelf boundary, Gulf Intracoastal Waterway). Fixed infrastructure systems such as roads, ports, and railroad tracks were also included.

During the study, the committee convened three information-gathering meetings (May 17-18, 2017, Washington, DC; September 18-19, 2017, New Orleans, LA; November 15-16, 2017, St. Petersburg, FL), one workshop with 20 invited participants (July 18-20, 2017, Houston, TX), and an additional meeting in closed session to develop this report (January 18, 2018, Galveston, TX). The committee heard presentations from leaders in fields related to many aspects of the SOT, including state and federal agencies, academia, non-governmental organizations, and the energy industry. These participants are listed in Appendix B. The committee also consulted peer-reviewed research literature, community-sponsored efforts, and state and federal government reports to provide a strong scientific foundation.

The report structure is as follows: Chapter 2 summarizes the current state of the scientific knowledge about the Gulf Coast and its processes, Chapter 3 discusses the high priority gaps in scientific knowledge associated with these processes (referred to hereafter as “research gaps”) (SOT Task 1), Chapter 4 presents barriers to communication among scientists and stakeholders (SOT Task 3), and Chapter 5 identifies critical areas of research to increase understanding of long-term coastal dynamics (SOT Task 2b), presents guidelines for a research agenda (including essential components of a research and monitoring program [SOT Task 2a]), as well as opportunities for more effective communication among scientists and stakeholders (SOT Task 3).

The committee hopes this study will provide valuable guidance for the Gulf Research Program and an array of governmental and non-governmental stakeholders.



## 2

**Background of the Gulf Coast System**

The Gulf of Mexico is a marginal sea of the Atlantic Ocean, with substantial mixing of Atlantic and Gulf waters (see Khade et al., 2017 for a recent discussion), including inflows of saltwater from the Caribbean Sea and freshwater from two-thirds of the conterminous United States and a portion of Canada. Formed 165-142 million years ago as seafloor spreading continued following the breakup of the supercontinent Pangea (Stern and Dickinson, 2010), the Gulf had opened to the Atlantic Ocean by approximately 155 million years ago. Over millions of years, the deposition, subsidence, and chemical alteration of organic-rich sediments within the historical Gulf of Mexico basin resulted in the creation and storage of vast energy resources. Through more than a century of exploration, major hydrocarbon (oil and natural gas) quantities have been found in much of the basin's sedimentary layers, particularly in areas now located in the northern<sup>1</sup> and northwestern<sup>2</sup> Gulf of Mexico (Galloway, 2008).

The Gulf coastline consists of a range of different types of coastal settings (see Figure 2.1), including barrier islands and peninsulas, tidal inlets and tidal deltas, rivers and river deltas, cheniers, bays, and marshes, cypress swamps, and mangrove forests, all of which respond differently to changing environmental and anthropogenic stressors. About two-thirds of the northern Gulf Coast consists of barrier islands or spits (e.g., Morton et al., 2004), low-lying, sandy landforms backed by coastal bays or lagoons and separated from each other or the mainland shore by tidal inlets. Because barrier islands are mostly comprised of shifting sand, the structure and composition of each island is unique (Pilkey, 2003). The remainder of the coastline consists of mainland shore without an intervening bay or lagoon, including sandy beaches and bluffs and marsh shorelines. The Gulf Coast includes many large estuarine systems (e.g., Corpus Christi Bay, Galveston Bay, Sabine Lake, Barataria Bay, Mobile Bay, Pensacola Bay, Tampa Bay) and several hundred small estuaries (EPA, 1999). Many of the large bays (e.g., Mobile Bay, Alabama) are drowned river valleys that flooded as sea level rose following the Last Glacial Maximum, or are associated with inter-distributary bays in the Mississippi River Delta.

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<sup>1</sup>The northern U.S. Gulf of Mexico extends from Galveston Bay, Texas to the mouth of the Suwannee River, Florida.

<sup>2</sup>The western U.S. Gulf of Mexico is the Texas coastline, west of approximately 94° longitude. The central Gulf coast encompasses Louisiana, approximately 94° to 89° longitude. The eastern Gulf refers to Mississippi, Alabama, and Florida, approximately 89° to 83° longitude.



**FIGURE 2.1** General characteristics of Gulf Coast geomorphology, geology and ecology.  
SOURCE: Modified from Pendleton et al., 2010.

The Gulf barrier islands (Stutz and Pilkey, 2011; Anderson et al., 2014), along with other coastal landforms such as most modern major river deltas, estuaries and coastal wetlands, were formed approximately 5,000-6,000 years ago as sea level rise slowed during the late Holocene epoch. Most evolved along non-deltaic shorelines, while fewer (e.g., the Chandeleur Islands, Louisiana) are younger, having formed along deltas dominated by tidal and wave energies and characterized by moderate supplies of fluvial sediments (Penland et al, 1985, 1988; Otvos and Carter, 2013). Given some debate among investigators regarding the characteristics that distinguish barrier islands from other types of coastal islands (Otvos, 2012), the Gulf of Mexico includes 116 barrier islands of both non-deltaic (coastal plain) and deltaic origins, representing approximately 5 percent of the world's total number and a combined island length of 2,398 km (Stutz and Pilkey, 2011).

People have lived along the Gulf Coast for at least 14,500 years (Halligan et al., 2016). It is not known whether human modifications to the physical landscape during the pre-colonial period altered coastal geomorphology in significant ways, but there is clear evidence that people in the colonial period altered the local geomorphology in the coastal zone. In early 18th century New Orleans, the French built a mile-long bulwark on top of a natural levee. By 1763, 50 miles of waterfront had levees (Colten, 2005). Large reclamation projects, shoreline armoring, canal building, and port and channel dredging did not begin along the western Gulf Coast until the 19th and 20th centuries. These much more extensive changes broadly transformed the landscape and altered physical and ecological processes.

*BACKGROUND OF THE GULF COAST SYSTEM*

17

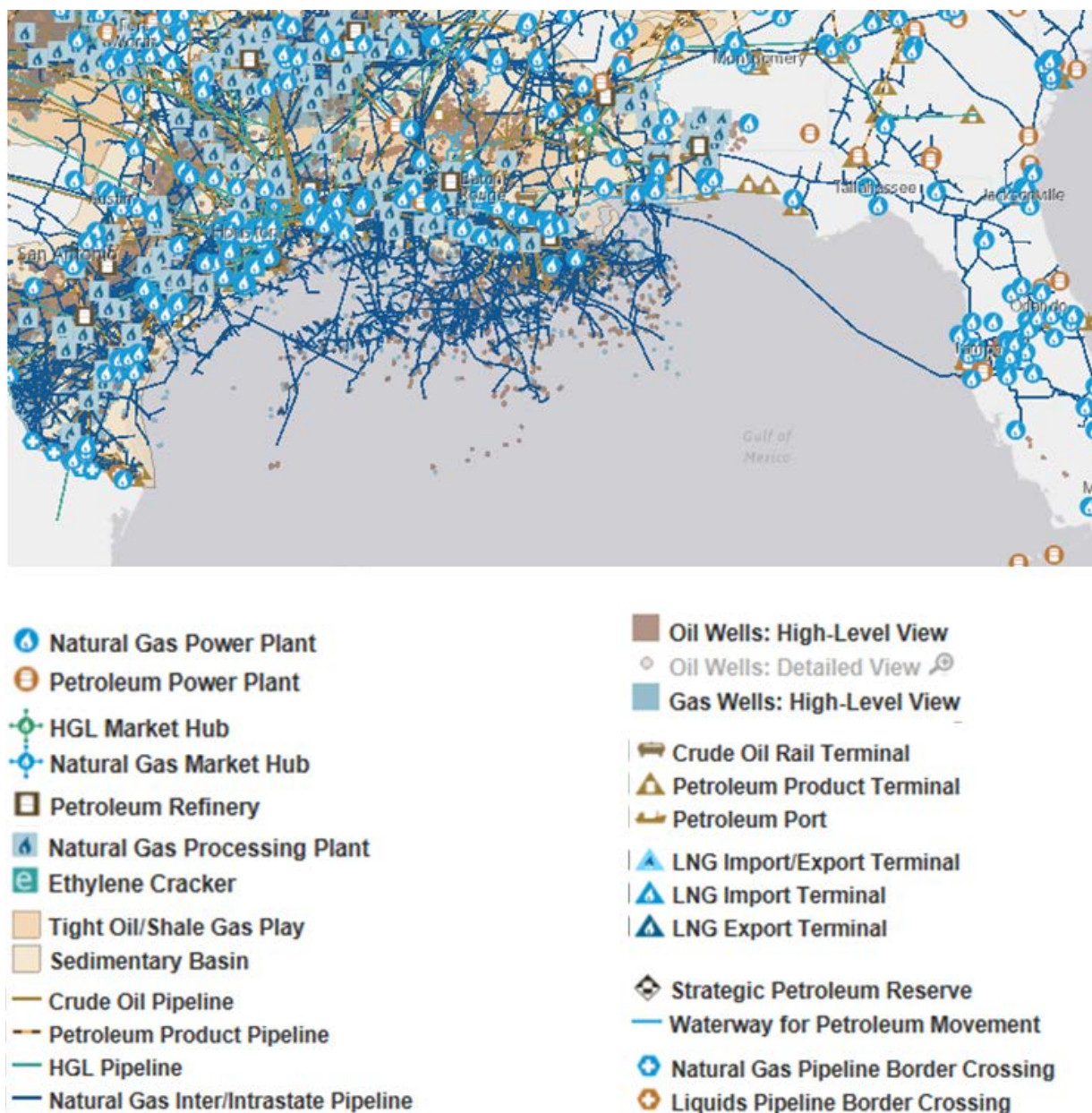
Today, the Gulf Coast states—Florida, Alabama, Mississippi, Louisiana, and Texas—are home to approximately 19% of the U.S. population.<sup>3</sup> The Gulf Coast is generally less urbanized than the rest of the coastal United States, and coastal counties are less densely populated compared to the U.S. average coastal county population density (Wilson and Fischetti, 2010). However, major metropolitan regions exist near Houston/Galveston, TX, Tampa Bay, FL and to a lesser extent around New Orleans, LA. Since 1960, the population in most Florida Gulf Coast counties (including the Tampa Bay area), as well as the Houston metropolitan region, increased at a rate faster than the U.S. population (Wilson and Fischetti, 2010; NOAA, 2013; U.S. Census Bureau, 2018). With a few exceptions, the remainder of the Gulf Coast counties increased at a rate slower than the U.S. average, or even decreased in population. The demographic and socioeconomic profile of Gulf Coast residents largely mirrors the United States population as a whole, but with somewhat greater ethnic diversity and larger income disparities than other regions. The Gulf Coast population tends to be older, earns a lower median household income, and includes a greater share of African American residents compared to the U.S. national average (NOAA, 2011). Gulf Coast communities also show higher poverty rates than the nation as a whole (NOAA, 2011). A growing literature suggests that these characteristics are associated with greater social vulnerability to the effects of sea level rise, hurricanes, and other hazards—meaning that they are less able to prepare, respond, rebuild, or relocate before or after disasters (Cutter and Emrich, 2006; Cutter et al., 2006, 2008; Picou and Marshall, 2007).

An important driver of human interaction with the environment, and a significant reason behind population growth and many of the modifications to the landscape and coastline over the last century, involved infrastructure related to the extraction of energy resources. President Truman's declaration of federal jurisdiction over the continental shelf led to the granting of offshore oil and gas leases, beginning in 1947. In 1953, the Submerged Lands Act granted states jurisdiction from the high-tide line to 3 miles offshore. These policies led to a significant increase in the construction of oil and gas infrastructure within the coastal zone (especially along the northern and western Gulf states), including production and processing facilities, canals dredged for providing access to construction equipment, land reclamation by draining and filling of wetlands, and the development of supporting transportation infrastructure such as deep water navigation channels and ports. The latter expanded dramatically in the post-WWII period, with the biggest changes in the 1950s-late 1970s (Theriot, 2014). As a result, the Gulf Coast has historically been, and continues to be, a dominant contributor to the nation's crude oil and natural gas production. More than 51% of total U.S. petroleum refining capacity, and over 50% of total U.S. natural gas processing plant capacity is located along the Gulf Coast (EIA 2017b, 2017c). There is a vast amount of infrastructure associated with not just production and processing facilities, but through the entire oil and gas supply chain, including storage (e.g., aboveground and underground tanks) and transportation and distribution (e.g., pipelines, ports, canals, waterways, roads, railroads) (see Figure 2.2; CCSP, 2008; Dismukes, 2011; Needham et al., 2012; Theriot, 2014). The result is an extensive and complex infrastructure that developed to meet national and global demands for the exploration, extraction, transport and use of Gulf of Mexico energy resources.

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<sup>3</sup>Percentage calculated from 2017 population estimates available at: <https://www.census.gov/data/tables/2017/demo/popest/state-total.html>.





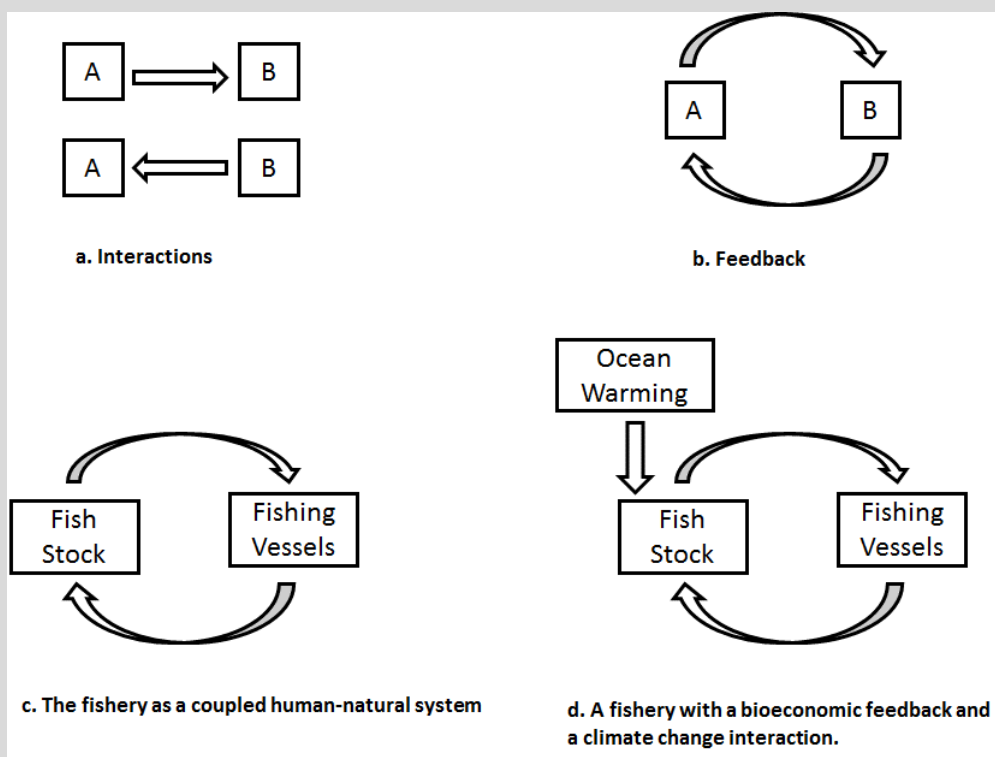
**FIGURE 2.2** Major components of oil and gas infrastructure along the Gulf Coast.  
SOURCE: EIA, 2017a.

### THE COUPLED NATURAL-HUMAN SYSTEM

A common theme in the Gulf Coast's environmental history is the importance of interactions and feedbacks between and within the natural (physical and ecological) and human systems. An interaction exists when system *A* affects system *B* or system *B* affects system *A*, while a feedback exists when system *A* affects system *B*, and system *B*, in turn, affects system *A* as a two-way interaction (see Box 2.1).

### BOX 2.1 Interactions and Feedbacks

This box draws on a wide range of sources from the coupled human and natural systems literature (e.g., Turner et al., 2003; Kennedy, 2006; Liu et al., 2007; Werner and McNamara, 2007; Smith, 2008; Carpenter et al., 2009; Horan et al., 2011; Brashares et al., 2014; Smith, 2014; Withey et al., 2014). Suppose there are two systems, *A* and *B*. An **interaction** exists when system *A* affects system *B* or system *B* affects system *A* (Fig. a). A **feedback** exists when system *A* affects system *B*, and system *B*, in turn, affects system *A* (Fig. b). When there is a feedback, there is always an interaction. However, when there is an interaction, there is not necessarily a feedback.



Feedbacks can be **positive** or **negative**. Positive feedbacks are self-reinforcing such that an increase in *A* triggers an increase in *B*, and the increase in *B* triggers a further increase in *A*. Negative feedbacks involve offsetting or equilibrating effects, potentially leading to establishment of an equilibrium state. An increase in *A* triggers an increase in *B*, but the increase in *B* triggers a decrease in *A*. The resulting decrease in *A* triggers a decrease in *B* and a subsequent increase in *A*.

A fishery illustrates the concept of a coupled natural-human system. The natural system is the stock of fish and the human system is the number of fishing vessels (Fig. c). A larger fish stock makes fishing more profitable, more fishers enter the fishery, and so there are more fishing vessels. With more vessels, fishers deploy more fishing gear and exert greater pressure on the resource and reduce the fish stock. As the fish stock declines, fishing becomes less profitable, vessels exit the fishery, and the fish stock rebounds. Because of the profit incentive, fisheries are generally characterized by negative feedbacks (Smith, 2014). Ocean warming is expected to influence the distribution of fish stocks globally



(Burrows et al., 2011). As such, warming is an important interaction that influences the natural system (Fig. d). Although fishing vessels burn fossil fuels and thus contribute to greenhouse gas emissions which, in turn, influence climate change and associated ocean warming, for any given fishing fleet, the contribution of greenhouse emissions to ocean warming is trivially small.

Examples of physical-ecological *interactions* in the coastal zone are numerous and include loss or gain of coastal habitats in response to sea level rise (e.g., Day et al., 2008; Kirwan and Megonigal, 2013), coastal storm inundation of wetlands (e.g., Howes et al., 2010; Tweel and Turner, 2012a), contribution to wetlands by sediment flow from a large river (Paola et al., 2011; Kolker et al., 2012; Esposito et al., 2013), damping of waves by vegetation (e.g., Kobayashi et al., 1993) and corals (Lugo-Fernández et al., 1998), reduction of storm surge by vegetation (Wamsley et al., 2010), and loss of barrier island ecosystems in response to sea level rise and low shoreface sand content (e.g., Fearnley et al., 2009; Otvos and Carter, 2013; Moore et al., 2014). Irish et al. (2010) showed increased back-bay flooding during coastal storms following barrier island dune degradation. There are also various physical-ecological *feedbacks*. An example is the alteration of barrier island topography as sea level rise and increasingly episodic inundation leads to loss of dune habitats and lower island topography, which is then more vulnerable to inundation (a self-reinforcing feedback; e.g., Durán and Moore, 2015).

The feedbacks between the natural and human components of the coastal system are especially important for understanding future coastal evolution. As natural coastal changes occur, people respond by making modifications, which in turn alter evolution of the natural system, thereby further influencing human behavior. A classic example of such a feedback is often set in motion by coastal erosion. In response to erosion, protective infrastructure (e.g., a seawall) is installed, which reduces erosion rates, leading to more coastal development because the risk of storm damage has been reduced. The seawall could also affect sediment dynamics at neighboring communities, possibly causing more erosion and triggering further installation of infrastructure. These types of feedbacks between the human and natural systems are discussed in more detail in the last section of this chapter.

### Tipping Points

An important concept used in modeling coupled systems is that of a *tipping point*, a critical point “at which a sudden and dramatic shift to a contrasting dynamical regime may occur” (Scheffer et al. 2009, p. 1). The long-term dynamics of the Gulf Coast raise the possibility of tipping points in the coupled ecological-physical system as well as providing a way to consider the coupled natural-human system. For example, continued sea level rise and changes in sediment supplies could eventually trigger a breach in a barrier island. While the initial breach area would be relatively small, given limited sediment supply and rising sea level the breach would very likely continue to widen, allowing more water in and out of the system, eventually leading to substantial land loss. In this way, a relatively small event (e.g., a breach) could lead to substantial changes in hydrodynamics that would cause a significant qualitative change in the coupled ecological-physical system because it would radically alter salinity and expose coastal marshes and other mainland habitats to waves, tides, and storm surge (Culver et al., 2007).

Large breaches or a total loss of barrier islands could also lead to tipping points in the coupled natural-human system; for example, the complete disappearance or relocation of the community occupying the island, or major shifts in flood frequency for a community behind the barrier island. In contrast to incremental outmigration in response to sea level rise (Hauer, 2017), a tipping point in this context is a point of no return, a critical juncture after which a coastal community eventually will cease to exist in its original location.

Even without full-scale disappearance of barrier islands, physical changes in the coastal zone could lead to tipping points in the coupled natural-human system. For example, as sea level rise and wetland loss continue, many oil and gas pipelines continue to be maintained even though their vulnerability to wave action increases. However, a tipping point may be reached after which further incremental change in sea level or wetland loss leads to increased damage and associated maintenance costs, triggering abandonment of some of these assets with resulting implications for cleanup costs and the need to move or store energy resources differently. Another tipping point relevant to the energy sector is the potential for a qualitative change in the energy workforce along the Gulf Coast. As sea level rise contributes to outmigration and land loss increases areas of open water, the labor force that can commute by car to oil and gas processing and distribution facilities in the coastal zone shrinks. Eventually, the labor force may rely on ships and aircraft to reach these facilities, increasing costs for facility operators that could, depending on the price of oil, cause their abandonment.

### Decision-Making Under Deep Uncertainty

Making very detailed or accurate predictions about exactly how the Gulf Coast will evolve over the next 10-200 years is not, of course, a realistic possibility. The uncertainties (both human and natural) over that period are simply too large, and outcomes will depend in large part on policy decisions occurring at the national or international level (e.g., carbon emissions mitigation, global economic trends). It may be difficult or even impossible to characterize these long-term uncertainties using probability distributions, or there might be substantial disagreement regarding the likelihood of different outcomes. This is sometimes referred to as *deep uncertainty*, and it challenges decisions made in the near-term that will nevertheless shape the long-term future (Lempert et al., 2003).

However, improving understanding of the Gulf Coast coupled system and its interrelationships can support better near-term decision-making, even when faced with deep uncertainty. Researchers and practitioners can use an “exploratory” approach when developing and applying simulation models to conduct future scenario analysis (Banks, 1993). This approach uses quantitative models as platforms to ask “what if?” questions rather than seeking to predict the future—providing a way to plausibly link different assumptions about future uncertainties to outcomes across the Gulf Coast coupled system, as well as to consider how policy changes or new investments might perform against a wide range of future conditions.

The field of decision making under deep uncertainty (DMDU) has evolved from this basic premise. DMDU methods include systematically testing policy choices or infrastructure plans against a wide range (thousands to millions) of plausible futures and identifying those plans that are more robust, meaning they perform well regardless of how the future plays out (Groves and Lempert, 2007; Hallegatte et al., 2012; Walker et al., 2013; Herman et al., 2015). Often, this entails creating adaptive plans that specify adjustments over time in response to new information, as well as key tipping points or conditions that could be monitored to inform these

adaptive pathways (Kwadijk et al., 2010; Groves et al., 2013; Haasnoot et al., 2013). DMDU approaches such as Robust Decision Making also help identify “low-regret” choices that are insensitive to future uncertainty, as well as “decision-relevant” scenarios that illuminate key tradeoffs and can help to inform policy deliberations (Bryant and Lempert, 2010).

## THE NATURAL SYSTEM

### Physical Processes

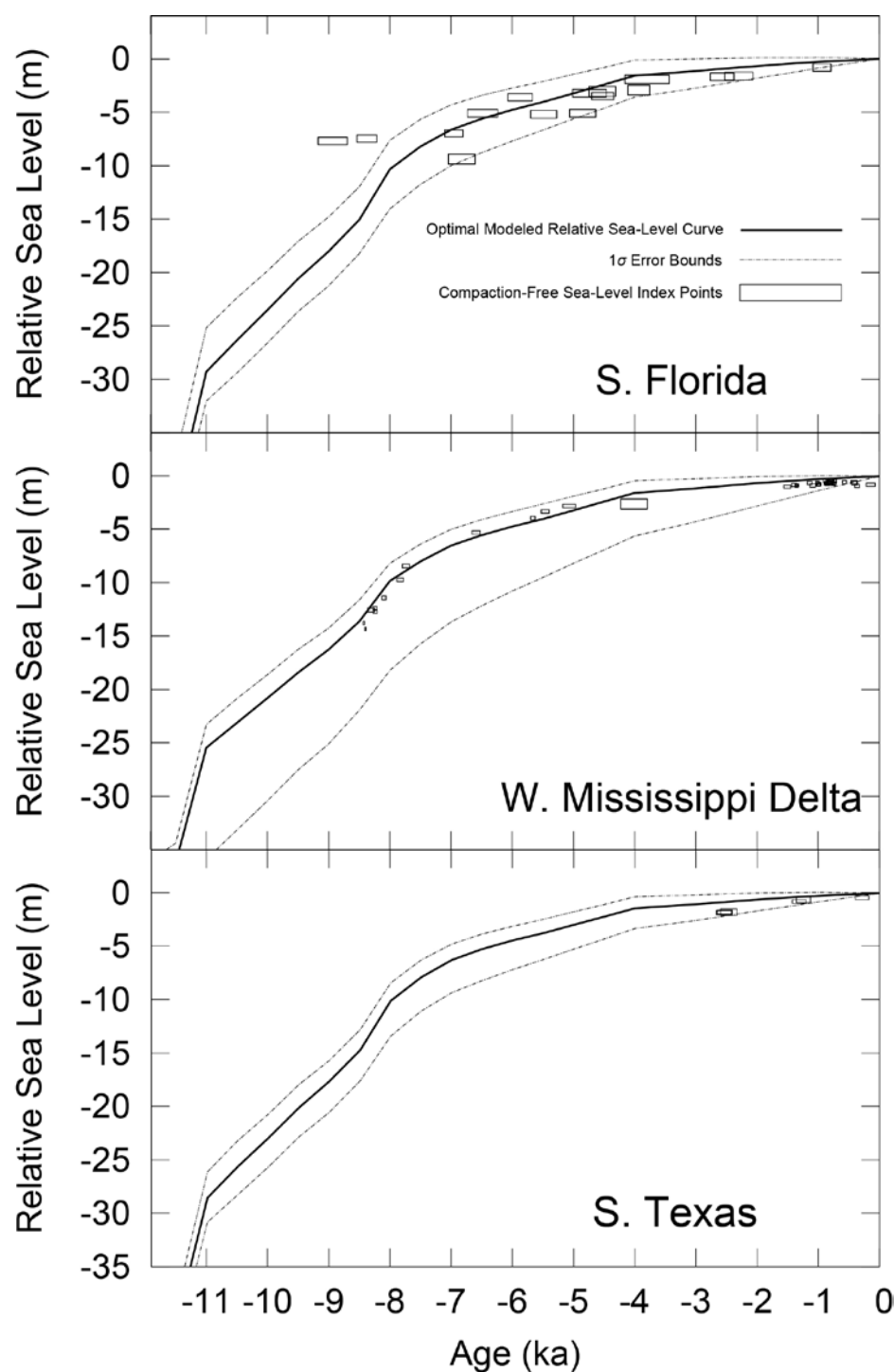
The Gulf Coast is a complex region, governed by a combination of physical drivers originating in the ocean, atmosphere, and on land. The Gulf Coast itself is a microtidal environment, with astronomical tides of 1 m or less in most places (NOAA, 2018). The region is dominated by broad, shallow continental shelves—except near the mouth of the Mississippi River, which discharges into deep water. Given the region’s mostly shallow bathymetry, estuaries and many nearshore coastal environments are often well-mixed. However, intense stratification occurs around the Mississippi River plume, where a lens of fresh water several meters thick can develop (Walker, 1996; Kolker et al., 2014). The Loop Current, a geostrophic current that originates in the southern Gulf and can extend northward, is a dominant feature of the pelagic region and can transport heat and moisture northwards to the coast (NASEM, 2018). Climatologically, the region is dominantly subtropical to tropical, with warm temperatures that fuel atmospheric convection, and intense thunderstorms (particularly in the summer) (Kumpf et al., 1999). During summer and autumn, the entire Gulf Coast is prone to experiencing tropical cyclones, which can be the most severe driver of physical processes in the region (Trenberth et al., 2007; Miner et al., 2009). The northern Gulf is also prone to cold fronts, which strike on an approximately weekly basis between October and April (Roberts et al., 1989; Allison et al., 2000). These can be important drivers of mixing, sea level change, and coastal erosion.

### *Sea Level Rise*

Low-elevation coastal zones such as the Gulf Coast face increased vulnerability, now and in the future, due to accelerating rates (Nerem et al., 2018) of relative sea level rise (global sea level rise plus change in land elevation associated with subsidence). These processes give rise to spatially and temporally variable patterns of local relative sea level change (i.e., relative sea level [RSL] rise).

Global sea level was about 135 m lower than today during the Last Glacial Maximum (21,000-26,000 years ago) (Lambeck et al., 2014) because ocean water was locked up in continental ice sheets, mainly those that covered large parts of North America and Eurasia. During the Last Glacial Maximum, the Antarctic and Greenland ice sheets, as well as mountain glaciers, were considerably larger than today. The transition into the present interglacial and associated global sea level rise occurred between 21,000 and 7,000 years ago – its end coincided with the demise of the Laurentide Ice Sheet in North America. Despite the slowdown in the rate of global sea level rise, which persisted until the early 20th century (Gehrels and Woodworth, 2013), the Gulf Coast has seen continuous RSL rise due to subsidence associated with glacial

isostatic adjustment. Figure 2.3 illustrates RSL curves for selected Gulf Coast regions, obtained from geophysical modeling of glacial isostatic adjustment and validated by geological RSL data.

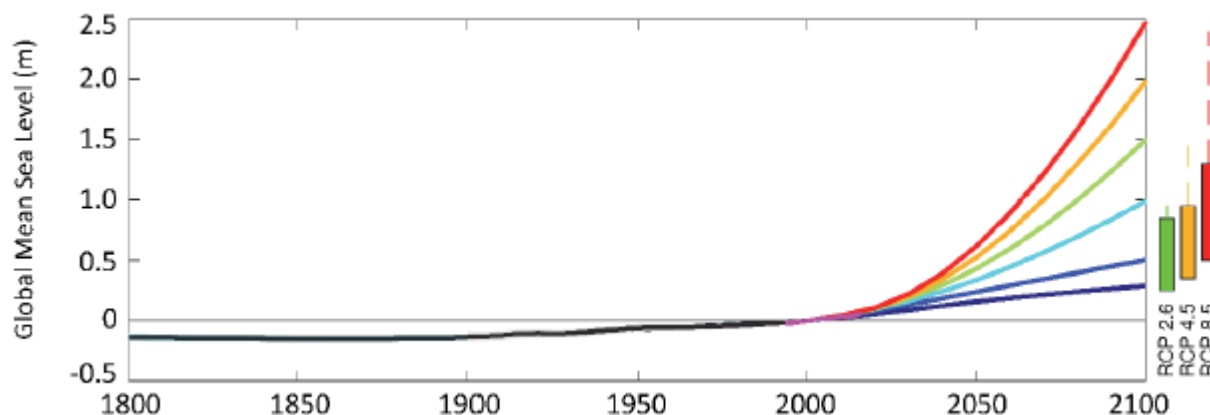


**FIGURE 2.3** Relative sea-level rise in the Gulf of Mexico over the past 12,000 years, as illustrated by discrete data (represented by error boxes) and geophysical model calculations (curves).  
SOURCE: Modified from Love et al., 2016.

Modern average, long-term, RSL rise rates determined from NOAA tide gauges along the Gulf Coast (including both changes in water level and changes in vertical land movement) range from 1.38 mm/yr at Apalachicola, Florida to a maximum of 9.65 mm/yr at Eugene Island, Louisiana (Pendleton et al., 2010). However, rates may be higher (or lower) for periods of time, e.g., during times of high fluid extraction (Kolker et al., 2011, Jones et al., 2016). Satellite altimetry data from the Gulf of Mexico show a mean present-day sea level rise (i.e., rise in water level alone) rate of  $2.0 \pm 0.4$  mm/yr (Letetrel et al., 2015), although spatial variability within this region is very high due to processes such as the migration of the Loop Current (which can be an important control on sea surface heights [Liu et al., 2016]). Other studies (Rietbroek et al., 2016) have suggested that rates in the western Gulf of Mexico are higher. Since changes in RSL are affected by the rate of change in all of the processes mentioned above, each is addressed in turn below.

Sea level is rarely stable because changes in ocean water mass and volume are ongoing. Changes in the cryosphere (glaciers and ice sheets) will have a profound impact on low-elevation coastal zones worldwide. In the Gulf of Mexico, ice loss in Antarctica is of particular importance, given that the largest sea level rise due to melt of the West Antarctic Ice Sheet (the most vulnerable portion of the Antarctic Ice Sheet) is centered on the northwestern Atlantic (Mitrovica et al., 2009).

Global sea level has been rising since the mid-1800s, and significant global sea level rise has been recorded in the last 100 years (see Figure 2.4), to a significant extent due to thermal expansion and melting mountain glaciers. Global sea level projections from the most recent IPCC report (2013) are now considerably higher, to a large extent due to the recognition of ice sheet instabilities (DeConto and Pollard, 2016). Future sea level rise predictions suggest a rise in global sea level of 0.3 to 2.5 m by the year 2100, with an intermediate-high value of 1.5 m (Sweet et al., 2017).



**FIGURE 2.4** Six representative global sea-level rise scenarios for the 21st century (the 6 colored lines represent low, intermediate-low, intermediate, intermediate-high, high, and extreme scenarios, respectively) relative to historical geological, tide gauge and satellite altimeter reconstructions from 1800–2015 (black and magenta lines), along with central 90% conditional probability ranges (colored boxes) of representative concentration pathway-based global sea level rise projections (Sweet et al., 2017, and references therein). The dashed lines above the central 90% probability ranges reflect the upward revision of projections due to improved understanding of the Antarctic contribution (DeConto and Pollard, 2016).

SOURCE: Sweet et al., 2017.

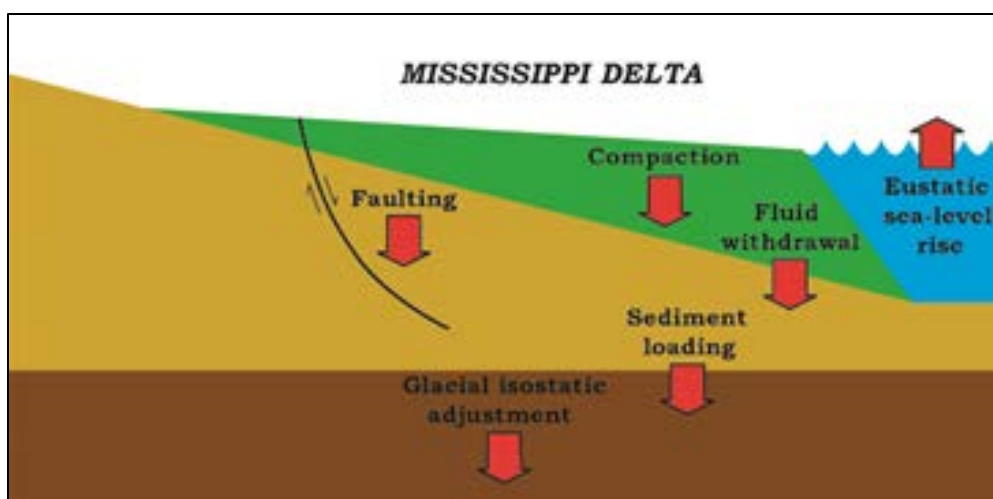
In addition to the processes discussed above, dynamical sea level adjustments also occur. These are changes in sea level that are driven by long-term (annual to multi-decadal) shifts in winds, atmospheric pressure, ocean currents, and similar phenomena that shift the distribution of water masses (Kolker and Hameed, 2007; Sturges and Douglas, 2011; Liu et al., 2016). These shifts can be driven by year-to-year fluctuations in weather, internal modes of climate variability (such as the El Nino Southern Oscillation, the North Atlantic Oscillation, and the Atlantic Multidecadal Oscillation), and long term changes in climate. Dynamical sea level can contribute to variability and trends on multiple time scales. On a year to year basis, it can result in about  $\pm 10$  cm of sea level change, which can potentially obscure tide gauge records that provide insights into climatically and subsidence driven sea level rise (Kolker et al., 2011). Dynamical sea level is likely to contribute about 5 cm of total sea level rise for the Gulf Coast during the remainder of this century (Hall et al, 2016 and references therein).

Dynamical processes drive sea level change on shorter time scales as well. Loop Current migration is one driver and can be addressed with data-assimilative efforts (e.g. Hoteit et al., 2013). Another particularly important process is the cold front cycle, which occurs on approximately weekly time scales from October through April and leads to local temporary sea level rise on the order of 0.25 to 1.0 m and temporary sea level drop on the order of 0 to 0.5 m (Allison et al., 2000). The asymmetry in the size of the surge vs. recession is often a function of the shallow nature of the system, and the duration of the pre-and post-front phases of a front (Roberts et al., 1989; Draut et al., 2005; Roberts et al., 2015). While the magnitude of frontally-driven sea level change is substantially less than a storm surge (e.g., up to  $\sim 10$  m during Hurricane Katrina), the regular occurrence of these events makes them among the most important current short-term drivers of sea-level change across the northern Gulf Coast.

### *Subsidence*

Much of the Gulf Coast is subsiding, but the driving processes and their rates vary by several orders of magnitude, depending on geographic location. Important drivers include tectonic processes, faulting, sediment loading, glacial isostatic adjustment, compaction, and fluid withdrawal (see Figure 2.5).

The Florida peninsula is tectonically stable, as it consists predominantly of Cenozoic carbonate bedrock. By comparison, the remainder of the Gulf Coast is underlain by thick clastic layers, dominantly mud and sand, that exhibit deep-seated subsidence rates of typically  $<0.25$  mm/yr (e.g., Woodbury et al., 1973; Paine, 1993). Shore-parallel growth fault systems are very common along the Gulf Coast west of Florida (Murray, 1961). However, few studies have quantified fault slip rates. Recent studies have focused mainly on the Baton Rouge Fault Zone in Louisiana, with reported time-averaged slip rates of 0.2 to 1.2 mm/yr over the past  $\sim 4,000$  years, although rates averaged over longer timescales ( $\sim 30,000$  to  $130,000$  years ago) are an order of magnitude lower (Yeager et al., 2012; Shen et al., 2017). An example of relatively recent fault activity, with maximum throw estimated at 75 cm over 40-50 years, was reported from a coastal wetland setting in central Texas (Feagin et al., 2013). The understanding of recent faulting in the region is an area of emerging research.



**FIGURE 2.5** Driving processes for subsidence along the Gulf Coast.

Glacial isostatic adjustment is related to the existence of the up-to-4 km thick Laurentide and Cordilleran ice sheets during the Last Glacial Maximum. The weight associated with these ice sheets led to a depression of the Earth's crust at their location and an associated uplift in a large region surrounding the ice sheets. Once the ice sheets retreated, the areas that experienced uplift, including the Gulf Coast region, began to subside. Associated subsidence due to glacial isostatic adjustment along the Gulf Coast continues today at rates between 0.3 and 1.5 mm/yr (Love et al., 2016). Widely spaced tide gauge-derived rates do not account for the full range of variability in subsidence in the region—rates of relative sea level rise are likely higher in places with high rates of shallow subsidence such as in coastal Louisiana (Nienhuis et al., 2017).

Compaction is an important process in settings that experience rapid sedimentation. It includes primary consolidation (the rapid release of porewater from the shallowest water-lain strata during burial with younger sediments) and secondary consolidation (the realignment of sediment grains due to gradually increasing stress resulting from increasingly deep burial). Sediment compaction has long been recognized as a major driver of subsidence in coastal Louisiana (Penland and Ramsey, 1990) but separating compaction from other subsidence processes is difficult. Recent studies have documented compaction rates of 5-10 mm/yr within the past decade (Jankowski et al., 2017) and 1-5 mm/yr within the past ~1,500 years (Törnqvist et al., 2008). Because compaction is so prominent in the shallowest subsurface (5-10 m depth), it exhibits high spatial variability (Jankowski et al., 2017); as a result, rates of relative sea level rise can vary spatially by an order of magnitude.

Another potential driver of subsidence along the Gulf Coast is sediment loading. Isostatic adjustment due to sediment loading is a smaller contributor to subsidence ( $< \sim 0.5$  mm/yr) than glacial isostatic adjustment even in a major area of sediment deposition like the Mississippi delta (Wolstencroft et al., 2014), and much smaller than sediment compaction. However, the spatial extent of sediment loading is relatively large compared to shallow subsidence processes, and its footprint may extend well beyond the actual area of sediment deposition.

Finally, the withdrawal of subsurface fluids can play an important role in Gulf Coast subsidence. Fluid withdrawal can take place at multiple depths across the sedimentary column and a variety of fluids can be withdrawn (e.g., oil, gas, water, sulfur) for energy production, industrial uses, drinking water, cooling, and management practices. In urban areas such as New Orleans and Houston, groundwater is often pumped out as part of stormwater management

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actions. The resulting desiccation and increased oxidation rates of buried organic matter cause further subsidence (e.g. Snowden et al., 1980; Stephens et al., 1984; DeLaune et al., 1994). A prominent example of this is metropolitan New Orleans, where water withdrawal has caused parts of the city to subside by 3 m or more in some places since about 1900 (Snowden et al., 1980; Dixon et al., 2006). Groundwater withdrawal has also been associated with up to 3 m of subsidence in Galveston and Houston (Winslow and Doyel, 1954; Kasmarek, 2013). Groundwater is also removed from the subsurface for industrial purposes. Jones et al. (2016) used Interferometric Synthetic Aperture Radar (InSAR) to examine subsidence patterns in the Greater New Orleans region for the period 2009-2012 and found that areas of high, localized subsidence exist and are often associated with industrial groundwater withdrawal. Oil and gas withdrawal is also associated with subsidence elsewhere along the Gulf Coast. Spatial and temporal patterns in subsidence across Louisiana are correlated with hydrocarbon and water withdrawal and can be related to regional wetland loss (Morton et al., 2009; Morton and Bernier, 2010; Kolker et al., 2011). White and Tremblay (1995) suggest that oil and gas withdrawal in Texas is associated with regional patterns of subsidence and wetland loss, and that this withdrawal may have activated faults along the Texas Gulf Coast.

### *Episodic Coastal and Riverine Flooding*

The Gulf of Mexico receives approximately 860 km<sup>3</sup> of water each year, including contributions from episodic riverine flood events. The Mississippi River system is the dominant source of freshwater to the Gulf, delivering about 534 km<sup>3</sup> of freshwater through the main stem of the Mississippi River and an additional 238 km<sup>3</sup> of freshwater through the Atchafalaya distributary. The next largest sources of freshwater are the Mobile (60 km<sup>3</sup>), Apalachicola (20.5 km<sup>3</sup>), and Sabine (13.1 km<sup>3</sup>) Rivers. Moderate-sized rivers include the Pearl, Pascagoula, Trinity, Suwannee, and Brazos Rivers, which each discharge 7-10 km<sup>3</sup>/yr (Dunn, 1996; Allison et al., 2012).

The amount of rainfall along the Gulf Coast can vary on seasonal, annual, and longer (e.g., decadal) time scales. In addition, episodic weather events such as tropical cyclones may produce much larger amounts of rainfall over hours than the total quantity accumulated over months prior to storm arrival. As climate change alters patterns of rainfall, the magnitude and timing of freshwater delivery to the Gulf Coast may also vary. Increased freshwater delivery during short time periods may result in substantial flooding in the coastal zone, particularly in highly urbanized settings with substantial hydrological alterations, especially if coincident with storm-induced surge.

The Gulf Coast is exposed to an average rate of three to four hurricane landfalls per decade (e.g., Doyle, 2009), frequently leading to inundation by storm surges (e.g., Needham and Keim, 2012). Because of its relatively gently sloping terrain, hurricanes generate significant storm surge when making landfall, with wind setup (water level rise due to momentum transfer by wind to the water column) being the dominant physical process. Forerunner surge inundation (arrival of high surge in advance of landfall), even if relatively small, will have more impact as sea level rises and could result in earlier inundation over greater areas and large, erosive waves over longer durations.

Eight of the top 10 most costly U.S. hurricane disasters occurred along the Gulf Coast (see Table 2.1). The very large spatial extents and intensities of Hurricanes Katrina and Ike led to



widespread, high storm surge (Irish et al., 2008, Irish and Resio, 2010). Hurricane Katrina devastated the Gulf Coast, crippling the New Orleans metro region (e.g., Padgett et al., 2008; Pistrika and Jonkman, 2010) and dramatically altering the coastline of Louisiana and Mississippi (e.g., Day et al., 2007; Fritz et al., 2007). Hurricane Ike, with its large forerunner surge (Kennedy et al., 2011), caused widespread flooding and extensive degradation of the barrier islands in the Houston/Galveston metro region (e.g., Sherman et al., 2013) and the Bolivar Peninsula. Hurricane Ivan made landfall near Gulf Shores, Alabama in 2004, eroding beaches, damaging or destroying thousands of homes, and overwashing major roadways throughout Alabama and Florida's panhandle (Stewart, 2004). Flooding in inland areas is generally controlled by the balance between storm surge and freshwater drainage. During Hurricane Harvey, some areas of coastal Texas were inundated with storm surge, but the record-breaking damage and inundation in the Houston metropolitan area was dominated by rainfall-related flooding. Later that same year, Hurricane Irma caused widespread damage across Florida.

The exacerbation of hurricane impacts along the Gulf Coast by the combined influence of sea level rise and urban growth cannot be overlooked. Relative sea level rise and substantial wetland loss over the last century (e.g., Day et al., 2007) are estimated to have increased Hurricane Katrina's surge height by 1.3 m (Irish et al., 2014). However, the impact of local sea level rise and long term changes in land cover and topography on hurricane-driven inundation is complex and difficult to predict with confidence (Bilskie et al., 2014). Such impacts vary depending on geographic location and storm track characteristics (Atkinson et al., 2012).

Relative sea level rise and coastal change, coupled with human development, will be the leading driver of hurricane flood hazard acceleration along low-lying sedimentary coasts like the Gulf Coast (Woodruff et al., 2013; Reguero et al., 2018). Given future changes in storm climatology and mean sea level—but ignoring sea level rise's influence on long term coastal change—several studies project a dramatic increase in hazard exposure. As an example from outside the Gulf, flood elevations in the New York metro region over a range of annual exceedance probabilities (e.g., 1% chance in given year) are predicted to increase proportionally to the increase in sea level, while changes in storm climatology are predicted to only marginally increase the flood hazard (Lin et al., 2012). In areas farther inland, however, the influence of sea level rise on flood elevation may not be well captured by linearly adding present day hazard curves to projected sea level rise. For example, the predicted relative increase in surge hazard in the bays of Panama City, Florida is not linear with sea level rise. It either increases more slowly (at a rate as little as 85%), or more quickly (at a rate as much as 115%) than sea level rise, depending on location (Taylor et al., 2015).

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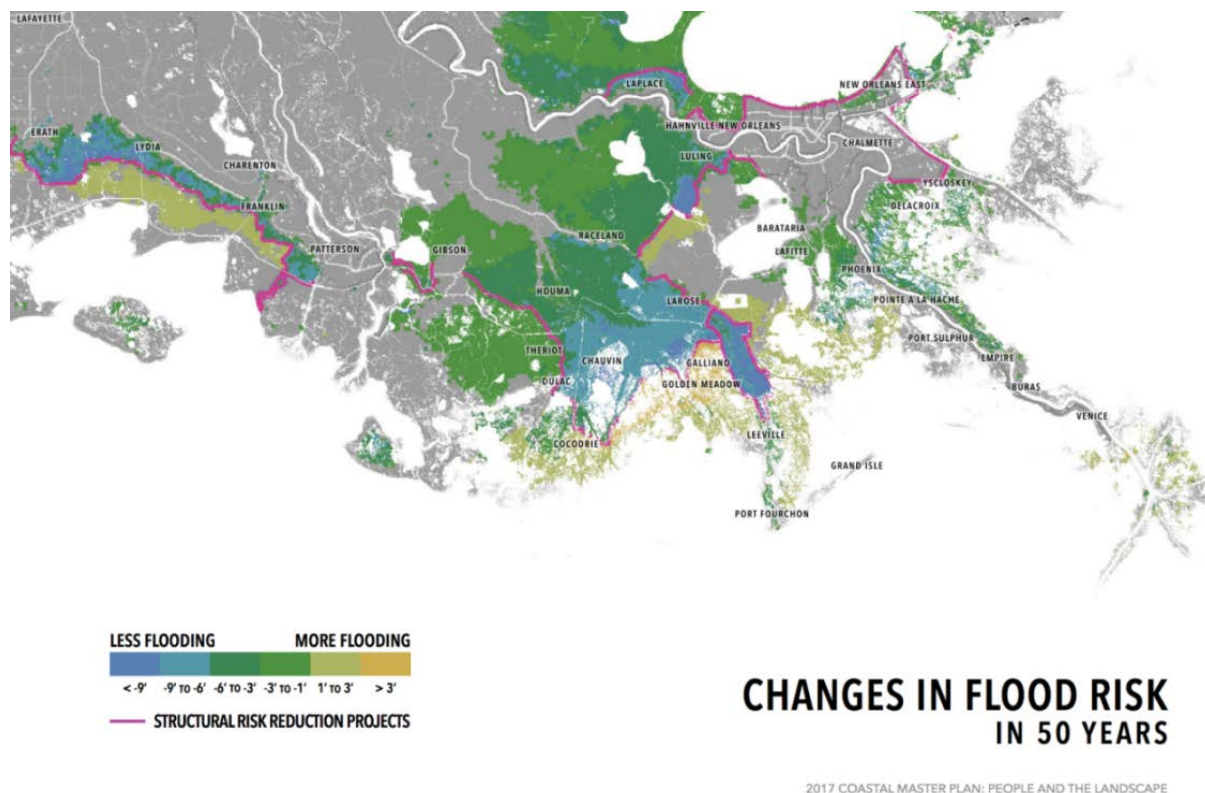
**TABLE 2.1** Mainland U.S. Tropical Cyclones Causing at Least 1 billion Dollars of Damage (not adjusted for inflation)

Rank	Tropical Cyclone	Year	Category	Damage (U.S.)
1	Katrina (SE FL, LA, MS)	2005	3	\$125,000,000,000
1	Harvey (TX, LA)	2017	4	\$125,000,000,000
3	Maria (PR, USVI)	2017	4	\$90,000,000,000
4	Sandy (Mid-Atlantic & NE US)	2012	1	\$65,000,000,000
5	Irma (FL)	2017	4	\$50,000,000,000
6	Ike (TX, LA)	2008	2	\$30,000,000,000
7	Andrew (SE FL, LA)	1992	5	\$27,000,000,000
8	Ivan (AL, NW FL)	2004	3	\$20,500,000,000
9	Wilma (S FL)	2005	3	\$19,000,000,000
10	Rita (SW LA, N TX)	2005	3	\$18,500,000,000

NOTE: Katrina also caused damage to Alabama, but it was not included in this reference.

SOURCE: National Hurricane Center, National Oceanic and Atmospheric Administration, 2018.

Levees, floodwalls, storm gates, and other infrastructure designed to reduce storm damage in specific geographic areas might impact storm surge and create damage in other nearby areas. When surge is prevented from entering the area landward of such a structure, the blocked water then either increases surge locally along the seaward side of the structure or this water will be redirected somewhere else. Often the response is for water to collect outside the area of protective infrastructure, increasing flood risks for homes and urban structures in that location. For example, the Louisiana Coastal Master Plan shows an increase in 100-year flood storm surges 50 years into the future for areas outside of the levees and other hard infrastructure (see Figure 2.6) (LACPRA, 2017). Across the Gulf Coast, new levees are in various stages of planning, construction, and completion. Notable examples include the Morganza-to-the-Gulf levee (USACE, 2013b), a 98-mile structure that is nearing completion of its initial alignment (but which has not yet been built to 100-year standards), and the extended Galveston area coastal barrier (often called the “Ike Dike”), which is in proposal stage but has not yet been federally authorized or funded (USACE, 2015).



**FIGURE 2.6** Changes in 100-year flood risk in the next 50 years in Louisiana with proposed master plan structural risk reduction projects in place. Areas highlighted in yellow show induced flooding in front of proposed levees and floodwalls (pink lines).  
SOURCE: LACPRA, 2017.

### *Riverine Sediment Transport*

The Mississippi River system is the dominant source of sediment for the Gulf system. It delivers about  $136 \times 10^6$  metric tons of sediment per year (MT/yr), with about  $88 \times 10^6$  MT/yr coming through the main stem and  $48 \times 10^6$  MT/yr through the Atchafalaya. The next largest sources of sediment are the Brazos ( $9 \times 10^6$  MT/yr) and the Mobile ( $4.5 \times 10^6$  MT/yr) Rivers. The Sabine, Pearl, Pascagoula, Trinity and Colorado each deliver about  $1 \times 10^6$  MT/yr of sediment (Allison et al., 2012). Coastal environments west of Mobile Bay, Alabama, are typically dominated by turbid waters, while coastal environments to its east typically feature clearer waters (Herrera-Silveira and Morales-Ojeda, 2009; Dzwonkowski et al., 2011). These differences stem, in part, from the nature of the river drainage basins. The Mississippi and Atchafalaya Rivers have a continental scale drainage basin that drains ~41% of the United States and contains diverse habitats including forests, farmland, arid lands, and cities, whereas many other rivers have regional-scale drainage basins with more homogenous land uses (Alexander et al., 2012). Because of their large absolute magnitude, the central Gulf rivers are important for both sediment and freshwater inputs (Horowitz et al., 2001; Lehrter et al., 2012; Turner et al., 2007; Allison et al., 2012; Fitzpatrick et al., 2017). Sediment budgets for the Mississippi River suggest that more than half of the sediment that enters at Tarbert Landing along the Mississippi-

Louisiana border is trapped in the river channel or is transported to distributary channels near the river (Allison et al., 2012).

### *Coastal Sediment Transport and Hydrodynamics*

Sediment sources within the Gulf of Mexico include offshore deposits from river discharge during periods of lower sea level and earlier periods in the Holocene, longshore drift from modern rivers, subsidence of coastal landforms, and biogenic production (Khalil et al., 2018). Sediments are available to be transported landward during normal coastal processes and during storm and overwash events and can be dredged for projects such as coastal restoration or mitigation of coastal erosion (Morang 2006; Hickey et al., 2010; Wallace et al., 2010). These sediments are reworked by coastal hydrodynamics arising from tides, waves, and flooding during episodic coastal storms. The proportion of sediment trapped on coastal or deltaic plains matters greatly to the continued existence of coastal landforms and wetlands, but can be quite variable (sediment retention efficiencies commonly in the ~30-70% range; Blum and Roberts, 2009). Esposito et al. (2017) showed that within the Mississippi Delta, sediment retention efficiencies can vary dramatically, with values of 5-30% near the open coast as opposed to  $\geq 75\%$  in more inland settings.

Most of the Texas shoreline is characterized by a mixed tide regime, while in Louisiana, Mississippi and Alabama the tides are diurnal. The west Florida shoreline has semi-diurnal tides in the northern part of the state and mixed in the southern (Eleuterius and Beaugez, 1979). With rising sea levels, tides will be propagating on deeper water and tide components will change slightly. Pickering et al. (2017) project that, with a 2 m sea level rise and no shoreline retreat, tidal amplitudes will be up to 10% higher than present on the west coast of Florida and west of the Mississippi River delta. With shoreline recession, the tidal amplitude changes will be less.

Waves are the principle driving force for coastal processes. The Gulf of Mexico is a low energy coastline, with non-storm wave heights on the order of 0.3 m.<sup>4</sup> Mean significant wave heights are larger in the eastern portions of the Gulf Coast (up to 2 m), while maximum significant wave heights (associated with hurricanes) can reach up to 20 m (Appendini et al., 2014). Results based on a 30 yr hindcast (Appendini et al., 2014) indicate that the largest wave heights over this timeframe occurred offshore of Louisiana. Peak wave period values along the Gulf are generally 5-8 sec, with the longer periods occurring in the eastern Gulf (Appendini et al., 2014). Panchang et al. (2013) showed, using a combination of wave modeling and buoy data, that 100-yr return period deep water significant wave height values on the order of 20 m were appropriate. They also showed an increase in maximum annual significant wave height with time in the eastern part of the Gulf. Appendini et al. (2014) reached different conclusions in observable changes in wave height with time, with these discrepancies likely due to the use of small data sets in both studies (~50 and ~30 years, respectively).

### *Evolution of Coastal Landforms and Embayments*

The geological record indicates that Gulf Coast bays and estuaries have a history of rapid and dramatic change in response to changes in sediment supply and relative sea level rise.

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<sup>4</sup>See <https://coastal.er.usgs.gov/coastal-classification/process.html>.

Bayhead deltas, often found within coastal bays, are particularly sensitive to the balance between sea level rise and sediment input. When sediment input is sufficient, these deltas may grow despite rapid rates of relative sea level rise (e.g., Wellner et al., 2005; Anderson and Rodriguez, 2008). The Wax Lake (Louisiana) and Atchafalaya River deltas, with their large sediment supplies, are among the few systems along the northern Gulf Coast that are likely to maintain and/or prograde during the next 50 years (LACPRA, 2017). Systems like the Trinity River (within the Galveston Bay estuary complex) or Mobile River deltas, with substantially less sediment supply, are likely to see land loss in the long term (Weston, 2014).

Many of the estuaries and bays of the Gulf Coast are fronted by sandy barrier islands and peninsulas. The remainder of the ocean coastline consists of marsh, bluff and sandy mainland beaches. As sea level continues to rise, sandy coastline response will depend largely on the balance between the rates of sediment supply and relative sea level rise. When there is a sediment deficit, the shoreline will erode; when rates are balanced, shoreline position may remain stable over the long term. In the case of barrier island or sandy peninsula shorelines, when the rate of relative sea level rise outpaces rates of sediment supply, shoreline erosion can lead to landform narrowing. If sea level rise continues to outpace rates of sediment supply, landward migration will likely occur when barrier islands or peninsulas become narrow enough for storms to impact their entire width (Leatherman, 1979). Migration is facilitated by overwash processes, which occur when the combination of tides, storm surge, and wave action brings the water level above the height of the frontal dune or berm (e.g., Sallenger, 2000). Overwash carries sand from the front of a barrier or peninsula to the interior of the landform. In this way, storms promote the maintenance of islands and sandy peninsulas by building them upward and moving them landward, thereby maintaining island elevation relative to sea level as sea level rises. Barriers constructed from muddy deltaic deposits require a greater amount of landward migration to liberate the same amount of sand, compared to locations where the substrate contains a higher proportion of sand (Moore et al., 2010). As a result, deltaic barriers are more vulnerable to disintegration than barriers underlain by sand (e.g., Moore et al., 2010; Otvos and Carter, 2013; Moore et al., 2014). In general, shoreline erosion rates are highest (up to 78.6 m/yr) in Louisiana along barrier island and headland shorelines associated with the Mississippi delta (Morton et al., 2004; 2005). High rates of erosion are also prevalent along some of the Texas barrier islands and headlands (up to 25 m/yr; Morton et al., 2004; see also Gibeaut et al., 2000; Wallace and Anderson, 2013), although some long beach segments in Texas have accreted due to the convergence of net longshore drift and the presence of tidal inlets that have been stabilized by long jetties. In contrast, barrier islands in Mississippi are migrating laterally (Morton, 2008; Otvos and Carter, 2008) due to net alongshore sediment transport rates in this region.

Interactions between vegetation and sediment transport cause localized sand deposition in the presence of beach grasses, giving rise to coastal dunes. When present, dunes may provide protection to inland habitats and coastal infrastructure by reducing vulnerability to overwash and flooding during storms. For this reason, dunes are sometimes constructed as part of beach nourishment efforts designed to provide recreational beaches and storm protection. Other coastal management strategies, including seawall construction or groin emplacement, are sometimes undertaken in an attempt to maintain shoreline position. Some of these strategies have been supplanted by beach nourishment efforts (Morton et al., 2004), likely because hard structures do not allow for landward migration and can lead to narrower beaches as erosion continues. They also block the transfer of overwash sediment to the back beach and back-barrier environments, with potentially detrimental long-term effects. Other residential and commercial infrastructure

can also have this effect, as demonstrated by a field study of several sites in New Jersey affected by Hurricane Sandy, where buildings and a boardwalk blocked the delivery of up to 90% of overwash sand. A companion modeling effort suggests this has the potential to prevent barriers from building upward and migrating landward, thus hastening island disintegration or drowning as sea level rises (Rogers et al., 2015).

Barrier islands are most able to maintain elevation above sea level when they retain their mobility. Competition between processes that form dunes or cause erosion determine the likelihood that dunes will recover and therefore maintain their height (Durán and Moore, 2015). It is the spreading, herbaceous species such as dune grasses, rather than rigid and tall woody growth form, that promote sediment accretion and increases in elevation (Feagin et al., 2015). If coastal management plans focus instead on sediment stabilization and the attainment of latter stages of vegetation succession, such as maritime forest, the system as a whole would lose its natural resiliency. When the substrate is no longer mobile, early successional stages disappear and colonizer species which are tolerant of burial under sand become locally extinct. As a result, biodiversity and the sand-binding function that works against erosion are diminished (Martínez et al., 2004). Roman and Nordstrom (1988) concluded that human-induced sediment starvation has profound effects on barrier island vegetation, including a threshold shoreline erosion rate beyond which vegetation does not recover. The exact magnitude of this threshold may vary among barrier islands and depend upon shoreline orientation, tidal range, storm frequency and the ability of native plants to bind sand (Roman and Nordstrom, 1988; Tsoar, 2005).

Forecasting the future behavior of the physical coastal system is challenging, but there are several ways that projections of future coastline position and landform state can be done. The first is to use the historical behavior of the shoreline or marshes, such as erosion rates over decades or vegetation growth rates, and to extrapolate these rates into the future. These empirical data-driven models have been used for short-term changes (years) as well as long-term (even geological) time scales. This procedure assumes that “what’s past is prologue;” however the recent past (last 2,000 years) provides only a guide. For example, sea level is currently rising far faster than previously, and so erosion rates in the future may be far higher than what has occurred in the past several thousand years.

Coastal evolution models, which simulate the evolution of the physical parts of the system, can be categorized by considering the domain across which a model operates and its underlying assumptions: coastal profile models only consider variations in water depth and topography in the onshore-offshore direction, either neglecting or parameterizing the effects of longshore variation (e.g., engineering models like SBEACH [e.g., Larson, 1990], see Ruessink et al., 2007 for a review); coastline models assume that the cross-shore beach profile is known and the same everywhere, but that the shoreline position can vary in the alongshore direction (e.g., Generalized Model for Simulating Shoreline Change [GENESIS; Hanson, 1989], Coastline Evolution Model [CEM; Ashton and Murray, 2006], COastal Vector Evolution Model [COVE; Hurst et al., 2015], or see Dean and Dalrymple, 2002); coastal area models allow the water depth to change in both the cross-shore and alongshore directions (e.g., Delft3D [e.g., Lesser et al., 2004]; XBeach [Roelvink et al. 2009]); and landform models predict the evolution of barrier islands (such as the Shoreline Translation Model [STM; Cowell et al., 1995] and those of Stolper et al., 2005; Masetti et al., 2008; and Lorenzo-Trueba and Ashton, 2014).

Coastal evolution models can also be classified according to whether they include all of the relevant processes at the smallest scale practical (e.g., DELFT3D) or include only those processes that are essential to capture realistic results (e.g., STM, CEM). Paola (2001) refers to

these two types of models as reductionist or synthesist (i.e., reduced-complexity) models, while Bukulich (2013) uses the terms predictive and explanatory, respectively. Because synthesist models are sometimes used in a predictive sense, the Paola (2001) framework is used here. Reductionist models explicitly account for the bathymetric evolution via sediment transport formulations that directly link the mobilizing and transport of sediment to hydrodynamics. These models are often used for short-term events such as bar migration or barrier island evolution over timescales of days to weeks. The synthesist models are based on reduced-complexity formulations developed by choosing macroscale variables to drive the model, such as sediment transport rates, which inherently consider all the sediment transport modes and physics, but use simplified relationships instead of explicitly calculating them as a function of the overlying hydrodynamics. Even further abstractions are possible—Pape et al. (2010) use neural networks to compute the location and elevations of sand bars, migrating on- and offshore. Reduced-complexity models can produce qualitatively reasonable behavior and some can be used to quickly explore parameter space within the context in support of engineering design, management planning, and decision-making.

### Ecological Processes

Gulf Coast ecosystems are highly diverse and provide essential services that are key in sustaining human and wildlife populations (de Groot et al., 2010). The dynamics, structure, and function of these ecosystems are broadly influenced by sharp environmental gradients that extend from the western to the eastern Gulf Coast, as well as substantial temporal variability that operates at different scales, ranging from episodic to seasonal to inter-annual. Human modifications of the coastal landscape, as well as climate change, also affect coastal ecosystems. Given the many interactions that can occur between natural environmental gradients and temporal variability, human coastal modifications, and changing climate, the impacts of such interactions on Gulf Coast ecosystems can be quite complex.

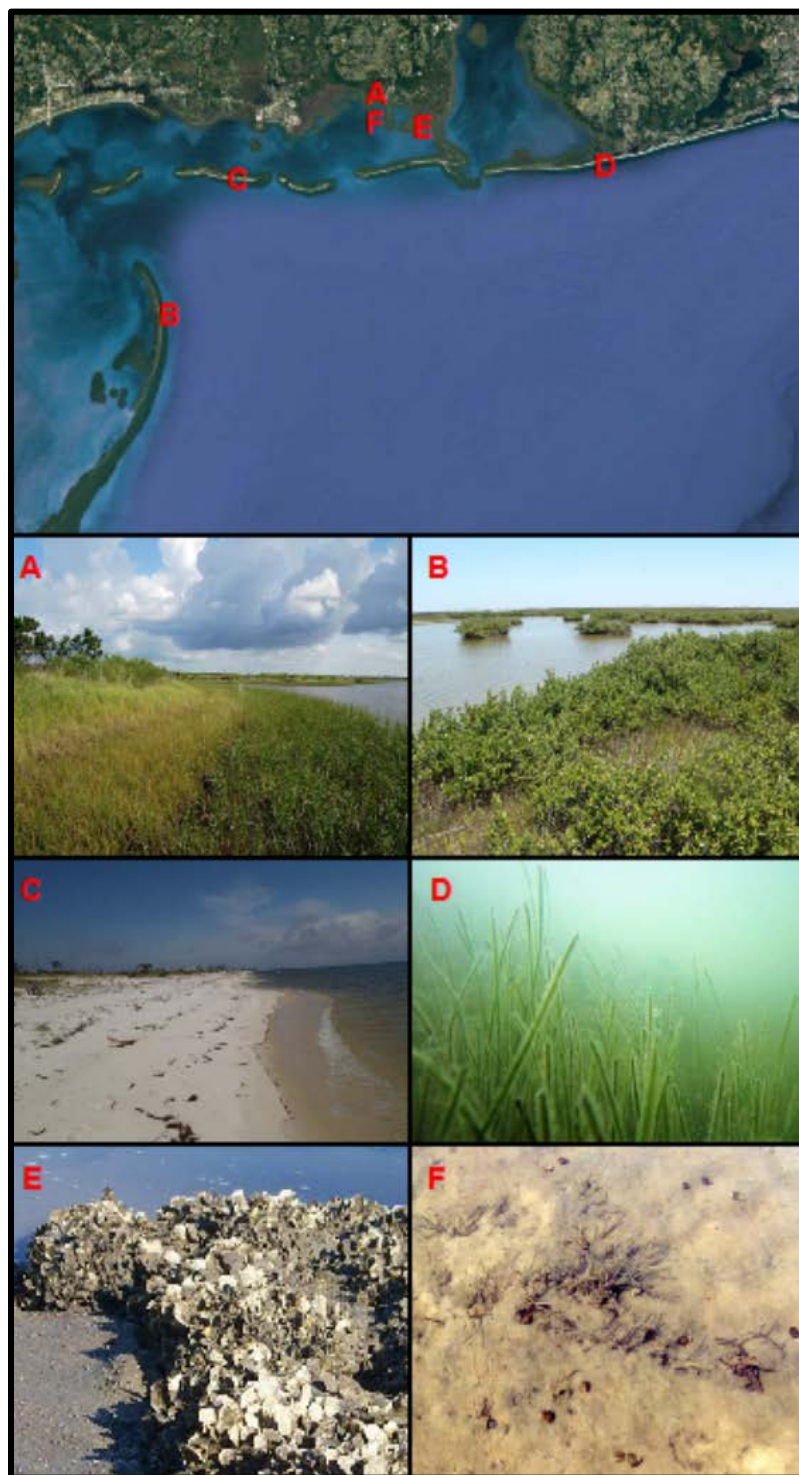
There are a multitude of ecological habitats along the Gulf Coast (e.g., submerged sediments, wetlands, woodlands, xeric dunes; see Figure 2.7). Wetlands fringe the coastline at the interface between land and the open waters of bays, lagoons, and the Gulf, comprising marshes and mangroves that are adapted to varying climate, seawater flooding, and salinity levels. With higher tolerance for cold weather, marshes tend to dominate in the northern Gulf Coast. Mangroves are currently more prominent along southern Florida and Texas, although there has been a recent northward expansion of red and black mangroves in the Gulf Coast (Scheffel et al., 2013; Armitage et al., 2015), most likely due to climate warming and a decrease in the frequency and duration of freezes in the northern Gulf (Osland et al., 2013).

The nearshore submerged bottom landscape includes seagrass beds, oyster reefs, and bare sediment flats. Turtle grass (*Thalassia testudinum*) and manatee grass (*Syringodium filiforme*) are more typical in marine, high salinity conditions, while shoal grass (*Halodule wrightii*) and widgeon grass (*Ruppia maritima*) tend to dominate in brackish, estuarine conditions (Handley et al., 2007). Seagrass beds tend to be less extensive and productive in the western Gulf Coast (west of Mobile Bay to Texas) (McDonald et al., 2016a), due to turbidity from greater amounts of suspended sediment discharge from rivers.



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**FIGURE 2.7** Ecological systems found in the coastal landscape of the Gulf of Mexico. Representative examples from the North-Central Gulf (from the Chandeleur Islands to Pensacola Bay) are presented. (A) Marshes at Point-aux-Pines (photo by Ryan Moody); (B) Mangroves on the Chandeleur Islands (photo by Ryan Moody); (C) Horn Island, a barrier island (photo by Caitlin Wessel); (D) Seagrasses in Little Lagoon (photo by Ashley McDonald); (E) Oysters in Portersville Bay (photo by Rochelle Plutchak); and (F) bare sediment flats at Point-aux-Pines (photo by Jason Stutes).

*PREPUBLICATION COPY: UNCORRECTED PROOFS*



Oyster reefs constitute prominent and productive ecosystems in many locations along the Gulf Coast. The extent and productivity of oyster reefs are temporally and spatially variable (Kim et al., 2010) due to the highly dynamic nutrient, salinity, and hydrodynamic conditions along the coast. Bare sediment flats (populated with benthic microalgae) are another common feature of the benthic landscape, whether interspersed among seagrass beds and oyster reefs or extending across vast expanses. Sediment type and grain size depends on the proximity of river inputs, geology of the region, and hydrodynamic processes, resulting in highly variable sediment mosaics. Due to the greater sediment loads, muddier sediments are often found in the western Gulf Coast (Bianchi et al., 1998).

Barrier islands support other important habitats along the Gulf Coast. The development of vegetation and geomorphic features may be more tightly coupled on barrier islands than in nearly any other ecosystem (Oosting, 1954; Godfrey et al., 1979; Stallins and Parker, 2003; Feagin et al., 2005; Durán and Moore, 2015). Plant species found on barrier islands are adapted to a broad range of stressors including drought, salt spray, and fresh or saltwater flooding (Oosting, 1954; Lee and Ignaciuk, 1985; Shao et al., 1996). The degree of shelter from these stressors provided by dunes and other landforms, along with soil characteristics, depth to the water table and groundwater salinity, determine the composition and spatial distribution of barrier island ecosystems (Hayden et al., 1995). Exposure to fresh or saline water is determined by the influences of surface elevation and topography on precipitation, infiltration and runoff and likelihood of tidal (saltwater) flooding (Hayden et al., 1995).

Gulf Coast ecosystems provide habitats for a wide variety of fauna (including shellfish, finfish, waterfowl, and marine mammals), many of which have commercial, recreational or conservation interest. For instance, many fish species spend their early lives in wetlands, seagrass beds, oyster reefs, and sediment flats, and upon maturity migrate to deeper coastal waters where they are harvested by commercial and recreational fishing (Beck et al., 2001). More than 90% of all commercial fish landings in the Gulf of Mexico are species that use coastal shallow systems during some portion of their life stage (Kennish, 1999; Lellis-Dibble et al., 2008). Coastal habitats are also hotspots for many resident and migratory species of waterfowl, many of them protected or managed for hunting, as well as the endangered West Indian manatee (*Trichechus manatus*) (Hieb et al., 2017).

Seagrass beds, oyster reefs, wetlands, and barrier islands have the potential to reduce wave energy and help protect shorelines from erosion (Shepard et al., 2011). Wave energy reduction also leads to enhanced sediment deposition, which contributes to shoreline accretion and protection (Manis et al., 2015). This is most evident when comparing storm impacts between coastlines with or without the protective effect of barrier islands, and also when comparing developed coastlands with no buffering vegetation in place to landscapes with buffering vegetation between the developed land and the coastline. Most often, storm impacts on land are more prominent with the absence of barrier islands and buffering vegetation (Woodruff et al., 2013; Sutton-Grier et al., 2015).

Coastal systems can also filter nutrients, preserving water quality. Through plant uptake and bacterial denitrification, wetlands can remove much of the nitrogen and phosphorus in land-derived runoff before it enters coastal waters (Sparks et al., 2015). Oyster reefs filter large amounts of particles out of the water column, enhancing water quality and clarity (Dame, 1993). Substantial nutrient filtration may also occur as terrestrial groundwater crosses the sediment before reaching the water column (Santos et al., 2012). Wetlands and seagrass beds can also act

as large carbon sinks, since a portion of the carbon fixed through photosynthesis is stored as recalcitrant carbon (Macreadie et al., 2014; Hunter et al., 2015).

### *Impacts of Coastal Development and Climate Change on Ecosystem Dynamics and Function*

The rapid expansion of human populations throughout the Gulf Coast during the last century has caused large changes in the coastal landscape (Jackson et al., 2001). Natural vegetation such as maritime forests and wetlands have been replaced with farmlands, residential areas, industrial facilities and other urban structures (Valiela, 2006). Urban development increases the area of impervious surfaces in the watershed, leading to larger surface runoff to coastal waters. Farmlands and residential areas contribute to increased nutrient loading from fertilizers and septic systems. As an example, nutrient inputs due to human development may vary by five-fold across coastal watersheds in western Florida (Christiaen et al., 2016; Stutes et al., 2017). Canals also can contribute to saltwater intrusion and wetland loss (Penland et al., 2000).

Taken together, these human-derived inputs may lead to pollution in coastal waters. This can result in fish kills and other losses of marine life (Cloern, 2001) and may also increase the turbidity of coastal waters, which in combination with dredging and boating activities (e.g., damage caused by grounding and propellers), may cause losses of seagrass beds (Hauxwell et al., 2003; Anton et al., 2011). Several studies in the Gulf have documented large seagrass losses following intense nutrient loading (Anton et al., 2011; Stutes et al., 2017), which can be exacerbated due to boating activities (Trevathan-Tackett et al., 2018). Hydrological modifications in the watershed can also drastically affect freshwater flow into coastal waters, which may lead to salinity and sediment alterations that are damaging for marine life. Examples of deleterious impacts of hydrological alterations by humans in the Gulf include decreases in system productivity, wetland erosion, and habitat loss (Beck et al., 2011; Garrote-Moreno et al., 2014; Sharma et al., 2016c).

Resource exploitation constitutes another human impact in coastal ecosystems. Historically, many Gulf Coast fisheries were subject to overfishing, including red drum, red snapper, bocaccio, and several species of groupers (Coleman et al., 2000, 2004; Cowan et al., 2011). The 2007 reauthorization of the Magnuson-Stevens Fishery Conservation and Management Act required strictly enforced annual catch limits and rebuilding plans for overfished stocks in federal waters. As a result, red snapper, gag grouper, hogfish, greater amberjack, and gray triggerfish were considered rebuilt and/or removed from overfishing lists; overfished stocks decreased from >33% to <5% (NOAA, 2017). However, many commercially and recreationally fished species do not have fishery management plans and are not assessed (NOAA, 2017). Moreover, rules for state-managed fisheries vary by state and may put some stocks at risk of overfishing.

Climate change is also a driver of change in coastal ecosystems. Increasing temperatures are shifting the ranges of occurrence for several species—for example, tropical species such as mangroves (Osland et al., 2013), manatees (Pabody et al., 2009), and surgeon and parrot fishes (Fodrie et al., 2010) are expanding northward to the northern Gulf due to warmer temperatures. Acidification, through enhanced carbonate dissolution and depressed carbonate precipitation, can affect the health of calcifying organisms (Lemasson et al., 2017), which are abundant in many areas of the Gulf Coast. Altered rainfall patterns through changes in the salinity of coastal

waters, can cause large changes in coastal ecosystem structure (Christiaen et al., 2016). Increased frequency of extreme weather events, including major storms and hurricanes, may also alter substantially the dynamics of coastal ecosystems (Chen et al., 2017). Rising sea level will be a particularly important driver of coastal ecosystem change in the years ahead. High rates of relative sea level rise will lead to landward transgression of coastal wetlands provided there is space available for upland wetland migration (i.e., no coastal squeezing). If sediment supply is not adequate to maintain their elevation, wetlands will drown (e.g., Morris et al., 2002; Kirwan et al., 2010; Jankowski et al., 2017). There is evidence that sediment discharge from major rivers is declining, which will likely lead to decreased marsh accretion rates. Coupled with increases in sea level rise, this will put marshes at increased risk of succumbing to rising seas in many areas of the northern Gulf (Weston, 2014).

Sea level rise will also affect barrier island ecosystems. With a rise in sea level, depth to the water table is reduced. This creates wetter habitats at the expense of drier ones, and profoundly changes species diversity, community structure and ecosystem function (Ehrenfeld, 1990). Ehrenfeld (1990) noted that the effect of sea level rise on barrier island vegetation had not been studied. However, over the nearly three decades since, and facilitated greatly by the development and increasing availability of GPS and LIDAR technology for measuring surface elevation (Gibeaut et al., 2003), substantial gains have been made in understanding this phenomenon. Recent studies in the northern Gulf Coast show changes in the predominance of barrier island habitats from drier to wetter plant community types over the past several decades (Lucas and Carter, 2010; Jeter and Carter, 2016). Critically, these changes are highly sensitive to even decimeter-scale changes in island elevation above sea level (Lucas and Carter, 2013; Anderson et al., 2016; Funderburk et al., 2016).

### *Effects of Strategic Natural Resource Conservation and Restoration on Coastal Ecosystems*

Humans can alter coastal ecosystems through development of the coastal landscape, exploitation of marine resources, and climate change, with potential consequences for the ecosystem services that humans rely on. These changes point to the need for policies to promote sustainable development, with a goal to achieve environmentally and economically “smart” growth that preserves ecosystem service provision. Smart growth policies aim for vibrant economies and healthy environments.

Part of effective management is strategic natural resource conservation. Coastal development will inevitably cause some loss of ecosystem function, but can be minimized by conserving ecosystem functional hot spots that can help regenerate resources where they have been lost or grow those resources somewhere else in the developed coastal environment (McDonald et al., 2016b). Functional hot spots can regenerate or implant resources through larval production and dispersal (Kim et al., 2010), export of plant seeds that will subsequently settle (Kendall et al., 2004), and migration of adult individuals that can then reproduce in the colonized areas (Sala et al., 2002). For instance, a fringing marsh buffer may preserve many of the ecosystem services present in the pre-development environment, such as filtering runoff (e.g., Sparks et al., 2015), providing habitat for juvenile fish (Moody et al., 2013; McDonald et al., 2016b) and attenuating wave energy to reduce the impacts of storm surge on nearby communities (Roland and Douglas, 2005). The preservation and use of marsh buffers in green and hybrid infrastructure approaches has recently gained interest (Sutton-Grier et al., 2015). Engineering in

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ways that make use of nature's ecosystem services and allow the natural system to adjust to changes in changing conditions (for example, by allowing marsh buffers to shift through time) is a main tenant of the emerging innovative "Build with Nature" approach (e.g., de Vriend et al., 2015).

Coastal restoration, defined as the recovery of ecosystem structure and functions where they have been lost (Sparks et al., 2013; La Peyre et al., 2014), is another aspect of effective management. Coastal restoration can be achieved through various strategies, including land-building or ecosystem creation. Restoration activities are now standard in many environmental management plans at local, county, and regional levels. In Louisiana, marsh creation and barrier island restoration occur through dredging and sediment pipelining, and partial diversion of the Mississippi River is being used to restart natural land building processes. Restoration of degraded ecosystems can, at least to some extent, improve environmental health and help promote resilience in developed coasts (Christiaen et al., 2013; Sharma et al., 2016b). Restoration actions also have the potential to help reduce coastal hazards, such as storm damage and nutrient pollution. However, the success of restoration efforts is highly variable, with some projects performing poorly and others performing quite well (Sparks et al., 2013).

**THE HUMAN SYSTEM**

Although the scientific challenges of projecting physical and ecological changes such as sea level rise or marsh loss over the next 10-200 years are formidable, and sizable uncertainty is expected, the scientific basis to predict most significant human processes with any degree of confidence on these time scales is lacking. To provide some context for the difficulty in understanding human processes over the long run, some of the changes in U.S. society and the Gulf Coast over the last century are discussed.

By 1910, the U.S. population was 92.2 million<sup>5</sup> and average U.S. life expectancy in 1910 was still far below modern levels, at 50.0 years.<sup>6</sup> New Orleans was no longer among the ten largest urban centers in the United States.<sup>7</sup> The population of Florida was just 752,619 (compared to almost 21 million in 2017).<sup>8</sup> There was a small but emerging oil and gas industry in the Gulf Coast (Theriot, 2014), the tourism industry was nascent, and the seafood industry was dramatically smaller. From 1950-2016, total commercial fishery landings in the Gulf Coast grew by a factor of three, from 258,841 metric tons (MT) in 1950 to 791,433MT.<sup>9</sup> Over the same span, total U.S. commercial fishery landings only grew by a factor of two. Gross Domestic Product per capita in the U.S. more than tripled from \$13,819 in 1950 to \$51,338 in 2016 (in 2009 dollars).<sup>10</sup>

While quantitative changes in the size of the population, life expectancy, the U.S. economy, and Gulf Coast industries have been massive over the last century, qualitative changes in the nature of economic activity and how people live are arguably even more substantial and would have been impossible to predict. For example, the 20th century saw electrification of rural

<sup>5</sup>Data available at: [https://www.census.gov/history/www/through\\_the\\_decades/fast\\_facts/1910\\_fast\\_facts.html](https://www.census.gov/history/www/through_the_decades/fast_facts/1910_fast_facts.html).

<sup>6</sup>Data available at: <https://data.cdc.gov/NCHS/NCHS-Death-rates-and-life-expectancy-at-birth/w9j2-ggv5>.

<sup>7</sup>Data available at: <https://www.census.gov/population/www/documentation/twps0027/tab07.txt>.

<sup>8</sup>Data available at: <https://www.census.gov/dmd/www/resapport/states/florida.pdf> and <https://www.census.gov/quickfacts/FL>.

<sup>9</sup>Data available at: <https://www.st.nmfs.noaa.gov/commercial-fisheries/>.

<sup>10</sup>Data available at: <https://fred.stlouisfed.org/series/A939RX0Q048SBEA>.

areas, widespread adoption of interior plumbing in rural areas, improvements in safe drinking water and sanitation in both rural and urban areas, widespread adoption of air conditioning (especially important for Gulf states), radical transformations in transportation systems with the emergence of aviation and the ubiquitous use of the automobile, major advances in medicine including the development of antibiotics, the emergence of the computer industry, and revolutions in both communications technology and media (Gordon, 2016). These examples are well known, and are mentioned as a humble reminder of how difficult it is to anticipate what human society can or will achieve over something like a century time scale.

However, there is an argument that many of the most important changes in the 20th century are unique to that time, and changes like them can never occur again. Economist Robert Gordon argued that growth in the U.S. economy, including the Gulf Coast, in the 20th century was largely attributable to qualitative changes and adoption of technologies such as the ones described above that improved human well-being in ways that cannot be repeated in the future (Gordon, 2016). Moreover, population growth in the U.S. has slowed substantially. It may not be entirely absurd to model human processes with the expectation that fundamental ways of living and basic construction, transportation, and infrastructure technologies will continue to be similar to today.

Many aspects of coastal storm damage or erosion reduction have remained unchanged over centuries. The basic design principles of these structures, such as breakwaters or jetties, date back centuries (e.g., Bruun, 1972; Charlier et al., 2005), though refinements and economies have been implemented in more recent times. Many other practices, such as beach nourishment, have been refined and documented, but the basic principles have remained the same. There have been some refinements—while streams have long been diverted, the scale at which diversions are being planned for land building in the Gulf is new (e.g., channels that carry  $75,000 \text{ ft}^3 \text{ s}^{-1}$ ; LACPRA, 2017).

While not all coastal changes will have direct day to day impacts, many of them will require some adjustment in management strategies and decision making. There are two primary pathways through which humans can respond to coastal change: they can adapt in place or they can migrate. Adapting in place might entail defensive capital expenditures (such as government and household spending on durable goods for climate change adaptation), restrictions on development, or simply choosing to do nothing. Relevant decisions may be made at the federal level (e.g., by the U.S. Army Corps of Engineers [USACE], the Federal Emergency Management Agency, and the National Flood Insurance Program), the state level, locally at the town or municipal level (e.g., floating municipal bonds to fund a nourishment project), by individual households (e.g., installing revetments and bulkheads), or jointly by combinations of these groups. For example, the management of public flood risk has long focused on large-scale engineering projects, such as seawalls and levees, designed and implemented by government agencies (USACE, 2010; 2014). An important Gulf Coast example is the Greater New Orleans Hurricane and Storm Damage Risk Reduction System, which is intended to prevent or reduce flooding from storm surge and waves from tropical cyclones in New Orleans. After the system catastrophically failed during Hurricane Katrina, USACE upgraded the system at a cost of approximately \$14.5 billion (USACE, 2012). Much of the coastal flood control infrastructure built by USACE, in fact, is located in Louisiana and Texas (NRC, 2013; USACE, 2018).

Recently, there has been a shift towards a more integrated approach, including flood prevention and damage alleviation through small-scale measures taken by communities and households such as flood protection devices (e.g., flood vents), adaptive building uses, elevating

homes, and flood insurance (McDaniels et al., 1999; Samuels et al., 2006; Interagency Climate Change Adaptation Task Force, 2011; LACPRA, 2017). The success of these programs depends on local residents' willingness and ability to undertake those measures.

### **Adaptation Decision-Making**

Of the Gulf Coast states, only Louisiana and Texas have developed some version of a coastal adaptation plan. Louisiana recently finalized its third 50-year Coastal Master Plan (LACPRA, 2017), which provides information to stakeholders about the potential impacts of climate change and other drivers on Louisiana's coastal areas. The Coastal Master Plan also identifies and prioritizes risk reduction and mitigation and restoration projects. Louisiana's coastal master planning process originates from the 2005 hurricane season, which included Hurricanes Katrina and Rita. The planning process is supported by one of the most sophisticated and integrated coastal simulation modeling efforts to date, with a series of linked models that allow the state to project future coastal changes as well as potential mitigation and restoration scenarios.

Texas also recently issued its first Coastal Resiliency Master Plan, which provides information about the risks and impacts of climate change, as well as guidance for adaptation measures (Texas General Land Office, 2017). Both the Louisiana and Texas plans included the involvement of state and local constituents, as well as input from federal agencies, academic institutions, think tanks, and non-governmental organizations. The effort in Louisiana invested significant in policy analysis as well as public and stakeholder engagement (LACPRA, 2017; Speyrer and Gaharan, 2017). In addition, Louisiana, Florida, and Texas have adaptation planning activities at the local and regional level.<sup>11</sup> Florida has initiatives in the counties of Sarasota, Pinellas, Miami-Dade, Broward, and Lee; and Texas has initiatives in the Austin and Galveston-Houston areas. While not all states have adaptation plans designed to address the impacts of climate change, all do have some level of coastal restoration activities that include elements of adaptation with implications for coastal resiliency (see NASEM, 2017b, for examples).

There is a growing understanding of how adaptation decisions are made. Individual adaptation measures have demonstrated ability to reduce flood damage (Kreibich et al., 2005; Schanze, 2008), yet relatively few people undertake adaptation measures voluntarily (Kunreuther, 1996; Wong-Parodi et al., 2017). It has been commonly assumed that individuals adapt due to high levels of perceived risk, however a recent review found that individuals' willingness and ability to take such measures was unrelated to their perceptions of flood risk (Bubeck et al., 2012). The authors suggest that taking steps to reduce risk (adaptation measures) is associated with decreasing levels of perceived personal risk, even if absolute risk remains unchanged. They also found less willingness to act among individuals who estimated higher costs for these measures, preferred public flood defense measures, or saw government as responsible (see also Kellens et al., 2013). Conversely, and echoing findings with respect to climate change perceptions (Lee et al., 2015), the review found greater stated willingness to adopt individual adaptation measures among people who viewed them as effective, who knew more about flooding hazards, and who had experienced flooding directly.

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<sup>11</sup>Additional details can be found at: <http://www.adaptationclearinghouse.org>.

Other factors may also be important for the adoption of adaptation measures, in terms of being actually able to follow through with the intent to take action (see Box 2.2). For example, some studies find those who have greater social support (e.g., someone to call if they need help) are more likely to take protective measures before, during, and after disasters (Riad et al., 1999; Kaniasty, 2012). Other studies find, however, that people who have greater levels of social support are more likely to take risks than they would otherwise as they can treat that support like a safety net (Weber and Hsee, 1998; Hsee and Weber, 1999; Schneider et al., 2013). A recent study found among individuals impacted by Hurricane Sandy, those who reported greater social support also reported greater tolerance for flood risks and greater confidence in community adaptation measures, suggesting an important but complex role of personal connections to collective resilience—both keeping people in place and helping them survive there (Wong-Parodi et al., 2017). These findings are likely generalizable to the Gulf Coast, where individuals face similar threats due to extreme weather events and geography. For example, a study conducted in Mississippi found that individual and community characteristics make a difference with respect to overall resilience. Sherrieb et al. (2010) found that factors such as greater median household income, greater education, and greater employment was associated with greater overall community resilience.

### BOX 2.2

#### Examples of Adaptation Studies Looking at Human Behavior

There is growing interest in developing behavioral interventions to encourage the adoption of adaptation measures. A recent study conducted by Wong-Parodi et al. (2018) examines the predisposition to action and the impact of a sea level rise decision aid that emphasizes the risk, the adaptation measures, both, or neither on the adoption of adaptation measures among a representative sample of 1,201 at-risk coastal residents (living within a 100-year floodplain) in New Jersey, New York, and Connecticut. The researchers found that individuals who report having taken action previously are more responsive to decision aid messages, with the exception of the combined message (risk and protective actions). The combined message had a positive effect on those who had not previously acted, but a negative effect on those who had. This result suggests that combining risk information with plausible adaptation strategies can enhance adaptation among most people, who do not typically take action. However, among those who do take action, the decision aid may have generally reduced participants' estimates of flooding risk. The purchase of flood insurance is one type of adaptation measure that people can take to protect their homes. Landry and Jahan-Parvar (2011) found certain household characteristics, including greater household income and higher perceived risk, was associated with greater likelihood of having flood insurance in seven southeastern counties, including some in Texas and Florida. Michel-Kerjan and Kousky (2010) found policy holders tended to be single-family residential households and in flood risk zones. The authors also found that people who do not experience a catastrophic loss tend to drop their policy over time.

In addition to the adoption of long-term adaptation measures, some studies have looked at shorter-term behaviors intended to protect property from damage due to immediate threats such as from a hurricane. In a recent study, Wong-Parodi and Feygina (under review in *Weather, Climate and Society*) followed 521 Florida residents (including those living on the Gulf Coast) in the days leading up to and during Hurricane Matthew. They found that those who are within an evacuation zone, are informed by a first responder,

and express greater acceptance of climate change are more likely to take measures to protect their homes. Moreover, those people with greater mental health and higher levels of self-efficacy respond in ways commensurate with the risks they face—they take protective measures if they are within an evacuation zone and do not if they are not at risk. However, those with lower levels of mental health and self-efficacy do not respond in ways that are commensurate with the risk—those with lower levels of mental health prepare when not at risk and those with lower levels of self-efficacy do not prepare when they are at risk. Finally, the researchers found that social support moderates the relationship between self-efficacy and protective behaviors with the lowest and highest levels of social support exhibiting greater self-efficacy.

### **Government and Household Spending for Climate Change Adaptation**

Long-lasting community and individual investments are often made to mitigate potential harms from environmental change. These investments are known as durable goods or defensive expenditures (e.g., Bunten and Kahn, 2017), and they tend to be large purchases. At the household level, a family may decide to invest in a truck with high ground clearance in order to avoid having their daily commute impacted by recurrent nuisance flooding. As another example, a household trying to mitigate flood risk may invest in interventions such as elevating the structure on pilings or installing hard, waterproof flooring instead of carpeting that is susceptible to mold. The Louisiana Coastal Master Plan specifically calls for these nonstructural interventions based on projected future 100-year flood depths: waterproofing for 1-3 feet, raising structures for 3-14 feet, and acquisition of the property (abandonment) for more than 14 feet (LACPRA, 2017). Such decisions may enable households to continue living in coastal areas that experience moderate environmental changes. However, the ability of individual households to invest in structure mitigation or other adaptation measures will vary greatly with financial capacity and knowledge to take action, and also depends on the availability of incentives to support such investments.

Governments also invest in durable goods, often in the form of defensive engineering structures, to adapt to climate change. For example, a beachfront municipality may choose to build a seawall or nourish their beach to mitigate against storm damages. Household decisions on durable goods for adaptation can theoretically feedback on the natural system as well. A group of houses on a barrier island that are raised on pilings could allow more overwash to occur compared to the same houses that were not elevated (e.g., Rogers et al., 2015). Nevertheless, the effects of large-scale government defensive expenditures may create more pronounced feedbacks. For example, the Lake Borgne Surge Barrier constructed after Hurricane Katrina was expected to alter hydrodynamics in ways that are relevant to navigation (USACE, 2010).

Key drivers of defensive expenditures in the coastal zone are (1) federal, state, and locally-funded projects for maintaining channels, dredging ports, stabilizing shorelines with seawalls and beach nourishment, and building levees; (2) federal, state, and locally-subsidized flood insurance; (3) ecological restoration and land creation projects that are funded with the explicit intent of reducing storm surge impacts and restoring coastal habitat (LACPRA, 2017), and (4) investments made in elevating or flood proofing at-risk buildings. For example, all of the



Gulf states have undertaken beach nourishment projects using federal funding.<sup>12</sup> The extent to which federal, state, or local governments continue to contribute to these initiatives (and the potentially changing role of the private sector) is a key driver of which projects are built, development patterns in flood-prone areas, and how much local public finance will compensate by spending more of its local tax base on defensive investments. The possibility for important feedbacks implies that modeling the long-term evolution of the natural-human coastal system involves understanding when, where, to what extent, and what forms of defensive expenditures will occur (Smith et al., 2015; Gopalakirshnan et al., 2016).

### Migration

The study of migration in response to coastal environmental change is a broad topic that touches on many academic fields, including demography (Hauer et al., 2016), anthropology (Oliver-Smith, 1996), human geography (Colten et al., 2008; McLeman, 2017), history (Gutmann and Field, 2010), psychology (Thompson et al., 2017), political science (Aldrich, 2012), sociology (Myers et al., 2008; Meyer et al., 2018), and economics (Bunten and Kahn, 2017). These various fields approach the problem with different theories, data and methodologies. For example, recent work in demography uses past empirical patterns of migration to extrapolate future patterns in response to sea level rise without modeling the causal drivers of migration explicitly (Hauer, 2017). In contrast, work in history and human geography usually relies on detailed case histories of natural hazards and other environmental changes (McLeman and Smit, 2006; Colten et al., 2008; Gutmann and Field, 2010). Political scientists and economists tend to use statistical methods that relate relocation and migration decisions to underlying structural drivers (Timmins, 2007; Bayer et al., 2009; Aldrich, 2012).

Despite methodological differences, there is some agreement on the factors that influence migration. People move or stay in response to economic opportunities, physical and economic ability to migrate, preferences for public goods (e.g., environmental amenities, schools, cultural amenities), and social capital (e.g., family, friends, civic engagement, religious organizations, ethnic/language ties). In general, communities with greater social vulnerability are less able to migrate away from increasingly hazardous areas (e.g., Myers et al., 2008). As an example, Isle de Jean Charles is a small island in Terrebonne Parish, Louisiana. Located outside the Morganza-to-the-Gulf levee, the island has seen a loss of more than 98% of the community's land during the past 60 years, and only 320 acres of the island's original 22,400 acres remain (HUD, 2017). Over the past half century, as residents left (largely due to hurricane or flood damage), and access to work and schools became restricted due to regular flooding of the road connecting to the mainland, the number of residences (many now elevated and protected by ring levees), has dwindled by over 60% (HUD, 2017). Based on the historic trends of land loss and future projections of sea level rise as well as damage from storms, the island will most likely not be habitable in a few decades. The community has partnered with the State of Louisiana to look at resettlement options, which will be funded by a \$48.3M grant awarded by the United States Department of Housing and Urban Development (HUD, 2017).

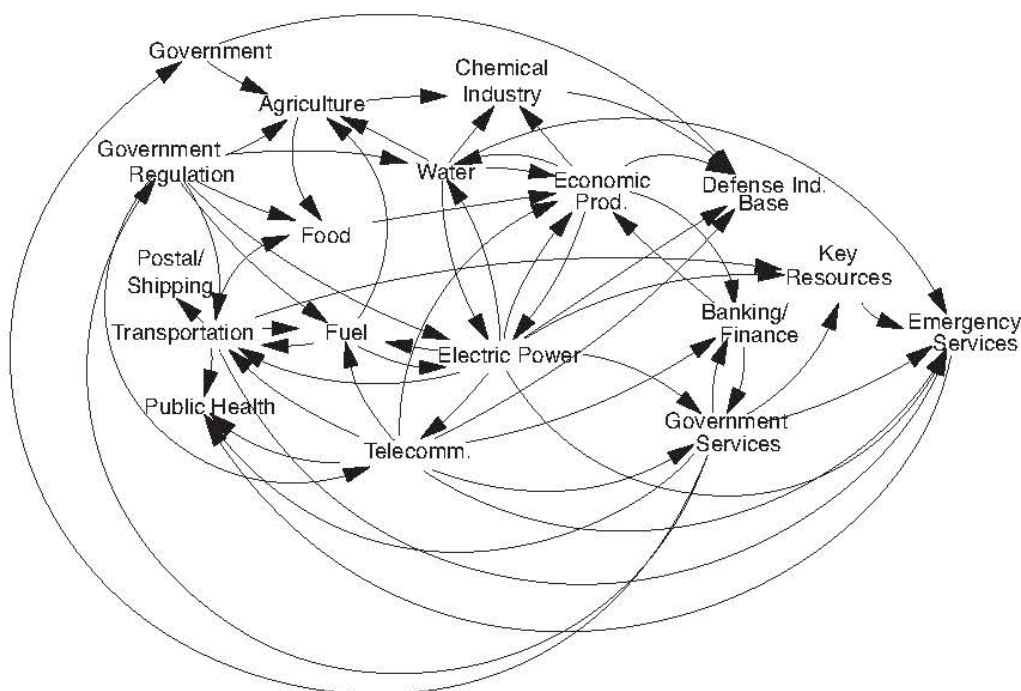
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<sup>12</sup>Details about the Program for the Study of Developed Shorelines are available at: <http://beachnourishment.wcu.edu/>.

### Energy-Related Infrastructure

The energy sector is characterized by a complex and diverse set of infrastructure components (social, economic, environmental, and built) within a multifaceted operational environment. Energy infrastructure provides essential fuel to a multitude of dependent economic sectors. In turn, energy infrastructure depends on other sectors such as transportation, information technology, communications, financial services, human and environmental resources, and government facilities (DHS-DOE, 2015). An illustration of these complex connections (see Figure 2.8) demonstrates that a disruption in a single component can generate disturbances to other sectors. While the scale of the disturbance depends on the size of the disruption, the interconnections can amplify the effects of a disruption, both in terms of severity and extent, causing large cascading failures (Wilbanks and Fernandez, 2013). For example, as part of a study commissioned to assess the impacts of hurricanes on energy infrastructure along the Gulf Coast, Dismukes (2011, p. v) concluded that “a disruption in the region’s infrastructure can have dramatic implications for not only domestic but also world-wide energy markets.”

The American Society of Civil Engineers (ASCE) grades the current state of national energy infrastructure as “D+”, due to a combination of aging and increased vulnerability to storm impacts (ASCE, 2017). For example, 70% of the United States’ high-pressure natural gas pipelines were installed before 1980 (DOT, 2011; DOE, 2015). Much of this oil and gas pipeline network is along the Gulf Coast.



**FIGURE 2.8** Illustration of infrastructure connections.  
SOURCE: Wilbanks and Fernandez, 2013.

The geology and geography of the Gulf Coast has influenced access to resources and the technologies used to exploit them (Priest, 2007). For more than half a century, major refineries and petrochemical plants have been in operation in the region, albeit with process and capacity modifications, reconditioning, and upgrades along the way. Much of the fabrication and shipbuilding industry supporting oil and gas exploration is also over a century old (BOEM, 2014). Age might not necessarily be directly indicative of vulnerability, but it is suggestive of older design practices that may be more vulnerable to changing future conditions. Infrastructure age often acts together with and may reinforce the effect of other factors such as design, maintenance, and operation in increasing the vulnerability of infrastructure to these various threats (DHS, 2010).

Additionally, the nation's strategic petroleum reserve, which stores over 700 million barrels of oil, is located along the Gulf Coast, tapping into salt domes and other subsurface features at points along the Texas and Louisiana coasts. The four storage facilities are at risk from storm surge caused by sea level rise and hurricanes (USACE, 2013a; Bradbury et al., 2015). A recent assessment by the U.S. Department of Energy noted that much of the Gulf Coast energy infrastructure is past its design life (DOE, 2016).

Storm surge flooding is the predominant hazard responsible for damages to energy infrastructure during hurricane events (NIST, 2006; Harris and Wilson, 2008). Storm surge flooding impacts to energy infrastructure have occurred in the past when perimeter berms around facilities, intended to provide protection, were overtopped by storm surge that exceeded the berm elevations. Flood protection of structures (e.g., by floodwalls, levees, and berms) or raising the height of existing structures and elevating critical equipment and control systems above design flood elevations have been the primary hardening efforts undertaken by the industry (DOE, 2010). In terms of resiliency, the industry has invested in preparedness activities such as maintaining minimum tank volumes, staging command vehicles and portable generators, coordinating priority restoration of services, and employee evacuation exemption and/or reentry (DOE, 2010). Another issue is external metal corrosion from salt water, which is especially destructive to energy infrastructure (DOE, 2010)—for example, between 1994 and 1999, of the major (i.e., resulting in injury, fatality or more than \$50,000 in property damage) hazardous liquid and natural gas transmission pipeline accidents, 65% and 35%, respectively were due to external corrosion (Beavers and Thompson, 2006). Besides the infrastructure components discussed above, there is a large group of ancillary but equally critical oil and gas infrastructure that interacts with coastal physical processes. These include fabrication yards for various oil and gas infrastructure (e.g., see Dismukes, 2011); water intakes and outfalls; facilities for wastewater and storm-water detention; facilities for management, disposal, construction and operation of diverse products and materials (e.g., Barr, 2014); seawalls, revetment, levees, dikes and wetlands for protection of facilities (e.g., van der Meer et al., 2008; DOE, 2010); and groins, breakwaters, natural materials, and rock/concrete armor for protection of pipelines and pumping stations (e.g., NRC, 1994; Nature Conservancy, 2013). Similar to the larger main production and processing units, these types of infrastructure are also vulnerable to risks associated with the changing coastal system, especially to impacts from subsidence and sea level rise via changes in their relative elevation. In the central Gulf Coast region (between Galveston, Texas and Mobile, Alabama), 72% of ports, 27% of major roads, and 9% of rail lines are at or below 4 feet of elevation (CCSP, 2008).

The Gulf Coast region is projected to remain an important contributor to crude oil and gas production in the world (API, 2017; EIA, 2018). In addition to increased core energy

infrastructure that will be needed to support higher demand for oil and gas resources, supporting infrastructure critical to the supply chain along the Gulf Coast can also be expected to increase (API, 2017; ASCE, 2017). It is worth noting that recent analyses of energy cost and policy suggest that renewable energy growth across the United States is poised to continue (Breton and Moe, 2009; Lopez et al., 2012; NREL, 2016). While renewable energy resources and infrastructure are currently relatively scarce across the Gulf Coast, this could change over the next several decades.

In addition to energy infrastructure, the Gulf Coast is home to a wealth of other infrastructure assets, many of which could be impacted by long-term physical changes to the coast. Some of this infrastructure is unique to the Gulf Coast, and some is typical of many parts of the United States. Other critical infrastructure includes numerous ports, the Mississippi River (which is among the most important shipping pathways in the nation), and the Gulf Intracoastal Waterway (which connects inland waterways across the Gulf). The region has an extensive road and railway network, with some roads located at or near sea level. Some roads were built as causeways and others (e.g., the portion of LA-1 from Golden Meadow to Port Fourchon) were originally built as surface roads and have been elevated to provide an evacuation route from areas prone to flooding. An extensive inventory and description of Gulf Coast non-oil and gas infrastructure and its vulnerability to relative sea level rise and hurricane hazards can be found in CCSP (2008), DOE (2010), Wilbanks and Fernandez (2013), and DOE (2015).

## FEEDBACKS WITHIN THE COUPLED NATURAL-HUMAN SYSTEM

Especially important in feedbacks between the natural and human systems are the efforts undertaken to control coastal erosion and reduce flooding hazards through infrastructure approaches. Contemporary practice in coastal engineering for long-term and chronic coastal hazard mitigation includes consideration of traditional “gray” (hard) approaches—seawalls, bulkheads, groins, etc.—and “green” (nature-based)—beaches, dunes, barrier islands, wetlands, mangroves, reefs, etc.—infrastructure, and the hybrid combination of the two, along with hazard mitigation investments in structure elevation, flood-proofing, and buy-outs. The design, performance, and impact of gray infrastructure in the coastal zone have been studied for some time (ASCE, 2003). Thus, the following discussion emphasizes green and hybrid infrastructure.

There is strong evidence that green infrastructure affects long-term coastal change—for example by slowing chronic shoreline erosion and promoting sustainability of critical habitats—and plays a role in episodic inundation- and wave-driven processes (e.g., Sutton-Grier et al., 2015; Narayan et al., 2016)—for example, by damping waves and wave runup (e.g., Mendez and Losada, 2004; Irish et al., 2014). This is certainly true with existing in-place green infrastructure. As with any engineered coastal structure, green infrastructure may at once serve to reduce the hazard (long term or episodic) in the intended design area and potentially lead to an increase in hazard in other areas (e.g., Wamsley et al., 2009), an unintended consequence. Green and “Build with Nature” approaches (e.g., de Vriend, 2015), are more likely than gray infrastructure to provide the benefit of other ecosystem services, which makes them possible alternatives in new and ongoing coastal resilience initiatives.

Hybrid approaches incorporate nature-based approaches (e.g., oyster reef emplacement or dune construction) to enhance the effectiveness of gray infrastructure (Sharma et al., 2016a). Besides helping human-made features become more efficient, hybrid approaches can also help

preserve ecosystem function and services. One example is the use of marshes, revetments, and breakwaters to reduce wave energy and enhance coastline resilience against storm surge. Marshes may further help protect shorelines from erosion when conserved or restored alongside gray infrastructure, and can provide ecosystem functions such as fisheries productivity and filtration. As with any engineered erosion control measure, care needs to be taken to minimize erosive impacts downdrift. In Louisiana, a hybrid “multiple lines of defense” approach has emerged. This strategy posits that a combination of natural features (marshes, barrier islands), built infrastructure (levees, floodwalls), and non-structural measures (evacuation planning) are necessary to reduce the impacts of storm surges (Lopez, 2009). This concept has been partially incorporated into the development of Louisiana’s Coastal Protection and Restoration Authority (CPRA), which is responsible for coastal restoration and flood risk reduction (LACPRA, 2017). See Box 2.3 for further discussion of feedbacks associated with grey and hybrid approaches.

### BOX 2.3

#### **Feedbacks Related to Coastal Protection Infrastructure: Examples from the Gulf Coast**

A classic example of a self-reinforcing feedback is the emplacement of hard structures to protect coastal development such as the engineering solutions undertaken after the 1900 hurricane devastated the city of Galveston. The emplacement of defensive engineering tends to lead to increases in coastal development and therefore the need for additional defensive engineering, leading to reductions in coastal erosion and prevention of island rollover. For example, the devastation following the 1900 hurricane led to a decision to raise the elevation of Galveston Island by 8-16 feet and to emplace a concrete seawall along part of the island (USACE, 1981; Hansen, 2007). Numerous modifications and extensions to the seawall, the beaches in front of the seawall, and the embankments behind it have been made since original construction, continuing to the present day (Songy, 2017). This change in the natural system significantly reduced vulnerability to future storms, preventing damage and loss of life during subsequent hurricanes such as the 1915 Hurricane and Hurricane Ike in 2008. These changes have also prevented the shoreline from eroding beyond the location of the seawall and continue to prevent the natural landward migration of the barrier island. Erosion of the landward beaches by storms led to a proposal for a groin field and artificial nourishment back in the 1930s; even then, a hybrid solution was envisioned. This hybrid approach continues to this day—a massive nourishment project on the western portion of the seawall was carried out in 2017 (Songy, 2017). By comparison, on nearby Bolivar Peninsula, which was not elevated following the 1900 storm and where the beach was backed only by low-lying dunes, the impacts of Hurricane Ike were catastrophic. Approximately 3,600 buildings out of 5,900 were destroyed (FEMA, 2009), the island was stripped of nearly all vegetation, and there was significant loss of life (Kennedy et al., 2011; Sherman et al., 2013). However, the lack of hard structures on Bolivar Peninsula allowed the landform to adjust to changing conditions, enhancing the likelihood that this feature (and the protection it provides for the bay and mainland behind it) will persist in the long term.

Similarly, construction of a shore-perpendicular groin or groin field that curbs erosion by trapping sediment also causes a self-reinforcing feedback. The potential for a groin in isolation to cause downdrift erosion is well-recognized, so groins are generally designed as a groin field and used in conjunction with beach nourishment. When not properly designed, constructed, or maintained, however, groins can transfer the erosion problem downdrift or cause locally worsening erosion, continuing the cycle of a change in the physical system

which leads to further manipulation by humans and then further alteration to the physical system. At Longboat Key along Florida's Gulf Coast, a groin field consisting of closely spaced groins without beach nourishment was ultimately removed in favor of a nourishment-only approach (Morton et al., 2004), but was later supplemented with the emplacement of new permeable groins (Harthill, 2014).



**FIGURE** Multiple structures (a seawall, revetment, and failed groin field) were unable to stop erosion at Longboat Key, FL. These structures were later removed and the beach was nourished. Ultimately, permeable groins were added and the nourishment program continued.

SOURCE: Morton et al., 2004.

Another example of feedbacks related to coastal engineering approaches comes from Dauphin Island, the only barrier island located offshore of coastal Alabama. The island protects mainland Alabama's coastal communities and resources from storms, while also providing recreational opportunities and other economic benefits to the local community and the state. Extreme events have severely impacted the island over the past decades, including most recently Hurricanes Ivan, Katrina, and Isaac, as well as the Deepwater Horizon oil spill. To combat beach erosion, hard structural solutions have been implemented, including bulkheads and seawalls (on the western end of the island) and rock revetments and bulkheads (eastern end) (Morton et al., 2014). Recently constructed seawalls to protect homes on the western end of the island (some of which have been rebuilt multiple times) are believed to be worsening erosion downdrift and to the west of the homes (Janasie and Deal, 2015). Coastal development and settlement patterns with their varying needs (permanent residents on the eastern end and vacationers/renters on the western end) have compounded the physical differences in the morphological evolution and stability of the eastern and western parts of the island. Nonetheless, residents have an extremely strong sense of place and a desire to preserve their way of life, including hopes to develop additional waterfront infrastructure and support services (Janasie and Deal, 2015). With continuing chronic erosion, an average elevation of only 7.2 feet, and a width of barely a mile at its widest point, Dauphin Island is highly vulnerable to changing conditions, such as accelerating sea level rise and predictions for increased frequency of intense hurricanes. A collaborative study between the USGS, USACE, and the State of Alabama (funded by the National Fish and wildlife Foundation) is currently underway to investigate options for increasing Dauphin Island's resiliency (USGS, 2017).

Projecting the future evolution of the coupled natural-human system is particularly challenging as it requires both an understanding of, and the capability to simulate, the evolution of each system and its components, as well as the dynamics of feedbacks among all aspects of the system. There are recent examples of integrated simulation models used to project future conditions and support long-term coastal planning on the Gulf Coast that include consideration of feedbacks between the natural and human coastal systems. Specifically, models developed for the 2012 and 2017 CPRA Master Plan (LACPRA, 2012; Peyronnin et al., 2013; Brown et al., 2017; LACPRA, 2017) encode a series of relevant relationships among coastal processes. Key relationships include, for example, feedbacks between coastal eco-hydrology and wetland morphology (Meselhe et al., 2013; White et al., 2017) or morphology and coastal vegetation (Visser et al., 2013). Interactions include influences from these drivers on either key habitat types or on the propagation of storm surge and waves during tropical storm events (Peyronnin et al., 2013; Brown et al., 2017). This system of models, however, does not yet consider dynamic feedbacks between the human and natural system, except for the effects of specific restoration or risk reduction projects considered for the master plan.

Coupled models can be perceived as oversimplifications of human agency and the human decision environment. However, the modeling approach does not require distilling human decision-making into a single deterministic driver. It can account, for example, for heterogeneous preferences and cultural influences (Smith et al., 2010), both optimizing and heuristic decision rules (Slott et al., 2008; Smith et al., 2009), heterogeneous information and beliefs (McNamara and Keeler, 2013), voter participation in the political processes (Mullin et al., in press), and stochasticity (McNamara et al., 2015).

Although such models are still in their infancy, these tools provide a means for beginning to consider a range of different future scenarios. Some examples from various regions of the U.S. are listed in Box 2.4.

#### BOX 2.4

##### Recent Examples of Coupled Modeling of the Natural-Human System in Several Coastal Regions

**U.S. East Coast:** Coupled natural-human systems models of the coastal zone have recently applied concepts from nonlinear dynamics and bioeconomics to analyze erosion and storm damage (McNamara and Werner, 2008a; Smith et al., 2009; Gopalakrishnan et al., 2016). One example of this work addresses the decision of a community to nourish its beach. Wider beaches provide recreational amenities and convey storm protection benefits on homeowners, creating an economic incentive to rebuild eroded beaches. The width of the beach is a function of natural erosion dynamics and the engineering intervention to rebuild the beach with sand dredged from another location. The beach width and property values thus evolve together in a coupled natural-human system (Smith et al., 2009). Current empirical parameterizations are largely based on barrier-island systems in the U.S. Mid-Atlantic region (McNamara and Werner, 2008a; Gopalakrishnan et al., 2011; McNamara et al., 2015).

**U.S. West Coast:** Another example involves community decision-making along the U.S. West Coast where sea level rise, increased storminess, and recent development that has left communities more susceptible to flooding and erosion. The work involves sustained engagement with the Tillamook County Coastal Knowledge-to-Action Network<sup>a</sup> that is made

up of researchers and students, outreach specialists, and coastal community members in Tillamook County, OR. The Knowledge-to-Action Network is co-developing a scenario modeling tool to explore adaptation strategies for reducing vulnerability to coastal hazards. Probabilistic simulations of extreme total water levels, long-term coastal change, and storm-induced erosion are considered, and a range of alternative futures are imagined related to policy decisions using input from stakeholders. The impact of both policy scenario narratives and climate change scenarios on a range of stakeholder defined metrics (e.g. property values, or desire for beach access) can then be assessed (Lipiec et al., 2018).

**U.S. Gulf Coast:** Key interactions in the coupled natural-human system have also recently been applied to project plausible future change in Louisiana. The Coastal Louisiana Risk Assessment (CLARA) model, for example, calculates statistical estimates of the likelihood of property and infrastructure damage based on a set of high resolution hydrodynamic storm surge and wave inputs, which in turn build on simulated changes to the coastal landscape, wetlands, and vegetation (Fischbach et al., 2012, Johnson et al., 2013). In addition to considering different plausible scenarios of sea level rise, land subsidence, and other environmental drivers looking 50 years into the future, the CLARA model also incorporates uncertainty related to the performance of hurricane protection systems (e.g., levee fragility) as well as a range of population projections for the Louisiana coast (Fischbach et al., 2017).

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<sup>a</sup>More information is available at: <http://envision.bioe.orst.edu/StudyAreas/Tillamook/>.





### 3

## Research Gaps

The dynamic nature of the coastal environment and predominance of coastal development and infrastructure located along the Gulf Coast at low elevations—in association with estuaries, river channels, floodplains, wetlands, barrier islands and inlets, all of which are responding to rapidly changing conditions—puts the natural (physical and ecological) and human components of the complex coastal system at odds in ways that significantly alter the future evolution of both components and the system as a whole. For example, when the natural system begins to change in ways that are undesirable to people, decisions are often made to modify it to prevent change and protect valuable infrastructure. This further alters how the natural system evolves. In this way, the natural and human components are tightly coupled, influencing each other through time. Although the two systems can be considered in isolation, a true understanding of how each will evolve in the face of changing environmental conditions necessitates an understanding of the ways in which each system feeds back into the other. Understanding these feedbacks becomes especially challenging when environmental conditions change at unprecedented rates, as is projected to occur for the Gulf Coast (see Chapter 2 for additional discussion). When this occurs, there is the potential for both natural and human systems to evolve in ways that cannot be predicted by past empirical data, leading to the need for novel approaches to project and understand future changes in the Gulf Coast's coupled coastal system.

Understanding long-term coastal zone dynamics under changing conditions within the coupled natural-human coastal system will require addressing research gaps related to (1) the physical and ecological components of the natural system (as well as interactions and feedbacks among these components), (2) the human system and (3) the dynamics of interactions and feedbacks between the natural and human systems. In this chapter, high-priority research gaps are presented that, if addressed, would transform the ability to understand the Gulf Coast coupled system and the capability to project its future evolution.

Given the interconnectedness of the coupled coastal system, all of the research gaps that were identified require some level of consideration of the entire system. As with Chapter 2, this chapter begins with the holistic view. Subsequently, research gaps that involve one-way interactions between various aspects of the system are grouped by considering the disciplinary lens through which they can best be addressed. Research gaps that involve the consideration of feedbacks among all system components are discussed separately in the last section. Each research gap is presented accompanied by a selected list of associated research questions that, if answered, would address the gap.

It is important to note that the research gaps are not provided in any type of ranking, nor are the associated research questions listed with them. The research gaps, and to some extent the

number of associated research questions, do tend to reflect the fact that there is a much greater amount of scientific research that has already been conducted on the physical and ecological components; the research on the human system is less well-developed. This leads to varying levels of granularity in the questions related to different research gaps.

### THE COUPLED NATURAL-HUMAN SYSTEM

Physical drivers (e.g., sea level rise, episodic storms) can cause not only physical modifications such as coastal erosion and landform migration, but also ecological alterations such as wetland loss and displacement of biological communities. Such changes can trigger human responses that, in turn, lead to further adjustments in the physical and ecological systems. Human activities can also be drivers for change in the natural system. For instance, the impacts of coastal development on coastal ecosystem function or physical processes can generate feedbacks. Current, understanding of these feedbacks for the coupled natural-human system in the Gulf Coast is limited.

Projecting the range of potential future behaviors of the coupled natural-human coastal system is challenging, particularly for longer time scales such as decades and centuries. Systems models can be applied to consider a range of scenarios representing a future without action or with a range of proposed coastal restoration and/or risk reduction projects implemented, yielding future forecasts or projections of system behavior. Developing such projections depends not only on sufficient understanding of the physical, ecological, and human processes involved, but also on an understanding of the interactions and feedbacks between these components and accurate projections of external factors that could influence the system. Models that couple the natural and human components of the system to explore its holistic evolution are under development, though such efforts are still in their infancy, and the encoded relationships are at times simplistic. Further, such complex and nonlinear models can also give rise to emergent (even chaotic) behavior and may need to be constrained with observations to lead to usable results. Although the performance of simulation models over the historical long-term can be evaluated using hindcast analysis, verification of future projections is exceedingly difficult (and rarely undertaken, though potentially possible). Evaluation requires long-term iteration between making future projections and subsequently collecting targeted long-term data sets for comparison over long timescales.

### THE NATURAL SYSTEM

Natural coastal and nearshore systems have been the focus of several white papers that lay out future research agendas (e.g., Elko et al., 2014). This section refines the information from those white papers, focusing on research gaps that are most pressing to further understanding of the Gulf Coast. The importance of studying the natural and human systems in tandem, and their feedbacks and interactions, is also emphasized.

## Physical Processes

Physical processes that drive changes in the natural coastal system occur over varying time scales and range from episodic (e.g., hurricanes, local or distant rainfall events) to longer-term processes (e.g., rising sea level, subsidence). These processes also act across a range of spatial scales and cause short-term disturbances such as flooding, shoreline erosion, and changes in water quality, as well as longer-term changes in the landscape such as river channel migration, wetland loss, and the migration of barrier islands and tidal inlets.

### *Sea Level Rise*

Future sea level rise along the Gulf Coast cannot be viewed in isolation from global sea level rise, even though there is an important role for regional considerations. Understanding the oceanic component of sea level rise along the Gulf Coast is foundational to projecting the future evolution of the coupled natural-human coastal system. Beyond global-scale influences, sea level rise will depend on regional changes in temperature and salinity, dynamic changes associated with ocean circulation, and subsidence (which is particularly important in the northern and western Gulf Coast, and discussed in the next section). While there are numerous tide gauges along the entire Gulf Coast, the majority have produced time series that are incomplete or too short (NOAA, 2018), probably reflecting the fact that many of these instruments were never established to monitor relative sea level change. As a consequence, there is only a sparse network of tide gauges that have operated long enough (>30-50 years; Pugh, 1987; Douglas, 1991; Shennan and Woodworth, 1992) to track rates of relative sea level rise in a meaningful way.

Perhaps the greatest challenge to monitoring sea level rise in coastal zones is that current space-based sensors are unable to produce meaningful signals of sea level change in the near-coastal ocean from satellite altimetry (NASA, 2016). The Surface Water and Ocean Topography<sup>1</sup> (SWOT) satellite mission, scheduled to be launched in April 2021, may offer a new means to monitor climate-driven sea level rise near the coastline.

**Research Gap 1: Current data sets, monitoring systems, and approaches are insufficient to track and understand how the oceanic component of sea level (i.e., excluding subsidence) is changing along the Gulf Coast and to predict how it will change in the future.**

- What are the spatial and temporal variations and impacts of steric sea level rise (i.e., due to changes in ocean temperature and salinity, including the effect of changes in freshwater discharge from large rivers)?
- What are the spatial and temporal variations and impacts of dynamic sea level rise due to factors such as shifts in the position of major ocean currents (such as the Loop Current) and shifts in winds that force water on and offshore?
- What are the spatial and temporal variations and impacts of changes to the Earth's gravitational field, primarily due to melting ice sheets?

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<sup>1</sup>More information about SWOT is available at: <https://swot.jpl.nasa.gov/>.

*Subsidence*

Subsidence rates are higher than the rate of climate-driven sea level rise along considerable portions of the Gulf Coast, notably its western half (e.g., Nienhuis et al., 2017). Although this will likely change in the future because global sea level rise rates are projected to accelerate, subsidence will remain a compounding factor for many regions. There are compelling scientific and practical reasons to reduce the uncertainty in the quantification of subsidence rates and their spatial patterns. While considerable progress has been made over the past decade in understanding subsidence mechanisms and rates, substantial gaps in such understanding still remain.

The rod surface-elevation table–marker horizon (RSET-MH) method (Webb et al., 2013) has led to major advances in understanding shallow subsidence rates in coastal Louisiana (e.g., Jankowski et al., 2017; Osland et al., 2017). This method could be expanded to encompass wetlands along the entire Gulf Coast, similar to the broad and comprehensive Coastwide Reference Monitoring System network in Louisiana.<sup>2</sup> Although there is a considerable network of GPS stations along the Gulf Coast (Karegar et al., 2015; Yu and Wang, 2016) it has inadequate spatial resolution to resolve localized phenomena such as faulting and fluid extraction. It is critical that newly installed GPS stations have well-documented anchor depths so that the interpretation of their records is straightforward. To date, this has not always been the case.

One of the obstacles toward progress in subsidence research has been the fact that this problem has traditionally been investigated in a monodisciplinary fashion. Recently, concerted efforts have been undertaken to integrate different measurement techniques in a systematic way through experiments known as “subsidence superstations” (Allison et al., 2016). Superstations can integrate measurements obtained with different techniques, such as GPS, optical fiber strainmeters, and RSET-MH, with stratigraphic and geotechnical analyses of continuous sediment cores. These new integrated data are expected to lead to a host of new insights.

There are also opportunities to introduce other new measurement techniques, including corner reflectors installed in wetland environments to be used by satellites for InSAR (Interferometric Satellite Aperture Radar) measurements, a space geodetic technique that can track changes in surface elevation. This method has been used successfully elsewhere (Strozzi et al., 2013), with emerging utilities around the Gulf Coast (e.g., Jones and Blom, 2014; Jones et al., 2016). However, while traditional InSAR has been applied successfully in urbanized settings (Dixon et al., 2006; Jones et al., 2016), extending it to wetlands has proven challenging due to the scarcity of hard surfaces suitable for signal reflection (though it has been done successfully; e.g., Jones and Blom, 2014).

Significant gaps in understanding remain with regard to the role of faulting and fluid extraction as contributors to subsidence along the Gulf Coast. While progress can be expected from recent studies of fault patterns based on industry-grade 3D seismic data, little is known about the contribution of hydrocarbon extraction to subsidence in areas with significant production (Morton and Bernier, 2010; Kolker et al., 2011; Chang et al., 2014).

There is a need for dedicated studies that link the production history of oil and gas fields to subsidence rates and the impacts of shallow fluid withdrawal, both to understand drivers of historic change and to inform future projections. In addition, because shallow subsidence due to compaction of Holocene sediments is a dominant process in many portions of the Gulf Coast

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<sup>2</sup>More details about the Coastwide Reference Monitoring System are available at: <https://www.lacoast.gov/crms2/home.aspx>.

(notably in coastal Louisiana; Törnqvist et al., 2008), there is a particularly great need for geotechnical models that include loading, dewatering, and other compaction processes in the prediction of subsidence rates. To date, there have been few studies of this kind, so there is significant scope for continued work, especially for studies that use newly collected data to calibrate and validate predictive geotechnical models.

Integrated coastal subsidence modeling is currently still in its infancy (Allison et al., 2016), and existing models typically focus on just one of the many processes discussed above. Some modeling efforts, such as glacial isostatic adjustment modeling, have seen considerable progress (Love et al., 2016). An example of an underexplored yet critical area is geotechnical modeling of shallow, highly unconsolidated coastal deposits. Ultimately, subsidence models developed by one specific community will need to become fully accessible to other communities (e.g., geophysics versus geotechnical engineering).

**Research Gap 2: The causes, rates, and patterns of subsidence along the Gulf Coast are not sufficiently well understood to allow for accurate prediction at the local to regional scale.**

- How can next-generation subsidence modeling approaches that integrate models from different fields improve the capability to accurately predict subsidence?
- What are the contributions of faulting and fluid extraction to subsidence, as revealed through studies of oil/gas field production histories and denser networks of instruments (e.g., GPS) that monitor deeper crustal processes?
- How can geotechnical models contribute to a better understanding and prediction of shallow subsidence processes?

### *Episodic Coastal and Riverine Flooding*

Flooding events along the Gulf Coast are related to the effects of major landfalling hurricanes and other storms, but are also affected by precipitation and related changes in river discharge. Storms can cause major devastation and their flood damage potential will increase in the future due to concomitant sea level rise. Studies have suggested that sea level rise, more than changes in storm climatology, will be a dominant driver of future hurricane-induced flooding (e.g., Woodruff et al., 2013). However, successfully predicting how sea level rise will affect storm-induced flooding along the Gulf Coast will require improved understanding of the processes involved, particularly in areas farther inland where precipitation and related changes in river discharge are also important.

Climate change stressors (e.g., changes in precipitation intensity and patterns, sea level rise and potential backwater effects) are likely to change the hydrology of watersheds draining into the Gulf Coast. Humans also alter the hydrology of the system by diverting freshwater for uses like drinking water or flood control, modifying land use patterns (e.g., development, agriculture, industry, deforestation, channelization), constructing and operating dams, and developing river diversions that direct water away from large rivers and into coastal embayments for coastal land creation. Changes in watershed hydrology alter sediment availability, salinity, and nutrient flow (including those from upstream runoff) into the coastal system, which contribute to both physical and ecological alterations (such as shoreline erosion, subsidence, wetlands accretion, changes in river channel morphodynamics, barrier island formation, oyster reef formation, and estuarine and

marine eutrophication). Bio-physical changes, in turn, influence important ecosystem services such as storm damage reduction, flood control, waterfowl, and fisheries. Many major changes to the coastal system are directly or indirectly linked to inland water resources (NRC, 1994).

The effects of human activities on the coast, including urbanization, building of infrastructure, and loss of natural systems such as wetlands, may exacerbate the impacts of storm-driven flooding. However, these effects are not well understood. An emerging area of concern is how the development of levees, floodwalls, storm gates, and other infrastructure designed to reduce flooding impacts in designated areas might impact flooding in other neighboring areas. For example, building storm surge levees may have the effect of redirecting surge and waves from the design area toward neighboring areas, which may then experience adverse effects. Proposals to build a storm surge barrier across the mouth of Lake Pontchartrain show that the barrier could redirect floodwaters towards communities outside the lake, including St. Bernard Parish in Louisiana and Hancock County in Mississippi (Fischbach et al., 2017). In addition, in several locations in Louisiana, efforts to control storm surge through the use of storm surge barriers or gates could exacerbate vulnerability to river flooding—closing the gates to keep coastal flooding out could lead to flooding from the river instead, as the freshwater piles up and has nowhere to go.

**Research Gap 3: The combined effects of freshwater input from Gulf Coast watersheds, storm surge, sea level rise, and development on coastal flood hazards are not well understood, thereby limiting the capacity to include and model these effects in predictions of Gulf Coast dynamics.**

- How is storm surge affected as the coastal landscape (landforms, ecosystems, and land use) changes in response to sea level rise and coastal development?
- What controls the relative importance of storm climatology and sea level rise in driving the storm flood hazard, including consideration of flooding due to the combined effects of precipitation (and associated river discharge) and storm surge?
- What processes (e.g., astronomical tides, cold fronts, meteotsunamis, coastal evolution, landcover changes associated with ecosystem change) most influence currently observed and future increases in nuisance flooding as sea level rises?
- How do human modifications of the coastline, including urbanization, built infrastructure, grey and green coastal engineering approaches, and land use, affect storm surge and nuisance flood hazards?

### *Riverine Sediment Transport*

Many low-elevation coastal zones worldwide experience reduced sediment input from their drainage basins, commonly due to damming upstream (Syvitski et al., 2005). This is the case in several Gulf Coast rivers (e.g., Rio Grande River) that have seen dramatic reductions in their sediment delivery to the coast (Milliken et al., 2017). Reduced sediment fluxes have been proposed as a major cause of coastal degradation along the Gulf Coast (e.g., Blum and Roberts, 2009), and may be compounded by the embankment of major rivers for flood reduction purposes. Levees prevent the natural dispersion of sediment across the coastal landscape, resulting in elevation deficits and, in many cases, eventual wetland loss. This problem is particularly acute in the Mississippi River delta, where planning is currently well under way for mitigation measures via

managed sediment diversions at strategically selected locations (LACPRA, 2017). Decreased sediment delivery by rivers also affects smaller bayhead deltas that occupy estuaries (e.g., in Texas) and barrier islands (Anderson, 2007). However, this is less of an issue for Florida's Gulf Coast, which has historically had lower sediment inputs due to relatively small, shallow gradient watersheds (Kumpf et al., 1999).

One poorly resolved issue is whether the present-day sediment flux of the heavily managed Mississippi River, by far the largest sediment source in the Gulf of Mexico, is significantly smaller than in its natural state without human modifications. Many studies suggest this is the case, based on instrumental records that show a distinct reduction of sediment flux during the 1950s when a series of major dams were constructed on the Missouri River (the largest sediment source within the Mississippi River drainage basin) (Meade and Moody, 2010). However, it is possible that historic sediment fluxes were significantly higher than prehistoric fluxes, due to clearing of the drainage basin for agriculture and the associated increase in erosion rates (Keown et al., 1986; Tweel and Turner, 2012b). Resolving this uncertainty would contribute to a better understanding of sediment management within this large region, because it would help clarify the degree to which current landform change is a response to historic changes in sediment supply, current changes in hydrodynamics, or relative sea level rise.

Another poorly resolved issue is the amount of sediment that is available for ecosystem creation and land-building projects across the Gulf Coast, whether “new” sediment recently transported to the Gulf of Mexico via rivers or “existing” sediment from river beds, the seafloor, submarine shoals and tidal deltas, and other coastal sedimentary features. While there has been some progress to date to quantify these resources, much remains unknown about the total volume of sediment that exists for ecosystem creation projects, its quality (e.g., particle size, contaminant potential), and the location of sedimentary resources in relation to coastal restoration areas where sediment is needed.

Along the eastern Gulf Coast, questions also remain about the availability of sediment necessary to sustain coastal systems in the face of sea level rise. These stem from a need to better understand alongshore sediment transport pathways and the impacts of structures (e.g., groins, jetties) on sediment transport pathways, and the capacity for biogenic sediment production to supply meaningful amounts of sediment.

**Research Gap 4: The relative contributions of naturally occurring and artificially managed riverine sediment delivery (availability and fluxes), diversion and management activities, and how they impact the evolution of coastal landforms (e.g., river deltas, barrier islands) and ecosystems (e.g., wetlands) is poorly understood.**

- How does the present-day sediment flux of the Mississippi River and other Gulf Coast rivers compare to historical and pre-historical fluxes?
- What sources of sediment are available for restoration efforts and in what quantities?
- How will the availability of sediment to wetlands and barrier islands change in the future, and what will be the likely effect of these changes on coastal evolution?



*Coastal Sediment Transport and Hydrodynamics*

The fate, delivery, and transport of sediments exert a major control on the evolution of the coastal landscape. Significant progress has been made in understanding the dynamics of sediment transport on sand and cobble beaches and barrier islands along unprotected coasts. However, understanding of sediment transport remains limited in areas where cohesive sediments or mixed grain sizes are dominant, and in the presence of coastal development and hazard mitigation infrastructure. Formulations of sediment transport are an important component of many coastal evolution models. Currently, sediment transport formulations in wide use within coastal evolution models are empirically based and do not fully represent all governing physical processes. Though there have been significant recent advances in understanding transport at the sand grain scale (e.g., Simeonov and Calantoni, 2011), there is currently an inability to upscale these grain-scale processes to the spatial and temporal scales required for predicting long-term coastal change while retaining the fundamental physics of sediment transport. Recent advances use statistical approaches as a way to move toward addressing this issue (Palmsten et al., 2017) and have the potential to provide an important additional means for predicting patterns of coastal behavior at large spatial and temporal scales under changing conditions. It is also important to better understand the critical role of biota (e.g., microphytobenthos, dune grasses, mangroves) in influencing sediment transport and to incorporate improved understanding of this role into sediment transport formulations.

Developing reliable projections of long-term coastal evolution will also require improvements in the ability to project future hydrodynamic conditions. The recent development of a novel statistical approach that uses climate-model-derived wind field output to predict future wave climate (e.g., Camus et al., 2014a,b) represents an opportunity to improve the representation of such processes in future projections (Antolínez et al., 2016). It is critical to develop more such methods for making reasonable projections of hydrodynamic conditions. In addition to wind and wave forcing, regional-scale hydrodynamics such as the Loop Current have the potential to influence large-scale evolution of coastal features, yet the understanding of hydrodynamics at this scale and the relationship between such processes is insufficient to include their effects in modeling efforts.

**Research Gap 5: Limited understanding of sediment transport processes and uncertainties in predicting future hydrodynamic conditions hamper the ability to project long-term coastal evolution.**

- What improvements can be made in methodologies to predict sediment transport in the coastal zone?
- How do biota influence sediment transport and what modifications to sediment transport formulations are necessary to include these effects?
- What are the main drivers and sensitivities connecting regional-scale hydrodynamics to large-scale coastal evolution?
- How will hydrodynamic conditions (waves, tides, inundation, currents) change in the future?
- How might shelf-scale oceanographic processes control coastal evolution (e.g., effects of the Loop Current)?

*Evolution of Coastal Landforms and Embayments*

Coastal landforms and embayments in the Gulf (including mainland shores, deltaic plains, chenier plains, river deltas, barrier islands, peninsulas, tidal inlets, bays, and lagoons) change and evolve over a range of time scales in response to waves, tides, and currents that drive sediment transport (both in fair weather and during storms), and sea level rise, subsidence, ecological processes, and human processes. As sea level rise accelerates and environmental conditions such as storminess, precipitation, and temperature shift in the future, the dynamic coastal landforms and embayments of the Gulf Coast will respond by evolving even more rapidly than they have in the recent past.

The ability to simulate and forecast the long-term evolution of coastal landforms and embayments is critical to understanding the coupled natural-human system and would draw directly from progress towards addressing Research Gaps 1-5 (described in previous sections). Models that forecast evolution of the physical coastal system have progressed over the last decade, though the capability to make regional-scale, long-term predictions of coastal change across landscapes that include a range of different types of coastal environments is still far away. Development and testing of these models also hinges on the availability of recent, synoptic-scale bathymetry and nearshore topography for the Gulf Coast.

Contributions of sediment from rivers, alongshore sediment transport, and shoreface erosion, along with human activities that modify them, determine the rates at which sediment is supplied to or lost from the coast across a range of timescales. Assessing these contributions is essential for modeling and projecting coastal evolution, however these contributions are not well understood (see Research Gaps 4 and 5). The amount of sediment supplied to wetlands and barrier islands is especially critical in determining whether they can accrete and maintain their position and function as sea level rises. Sediment reduction or blocking can have long-term consequences (e.g., Rogers et al., 2015). Climate change-induced shifts in dominant vegetation type (e.g., Zarnetske et al., 2012; Armitage, 2015) or characteristics (e.g., Emery et al., 2015) on barrier islands and mainland, or estuarine shores, can alter patterns of sediment transport and deposition (e.g., Hacker et al., 2012; Durán and Moore, 2013). This can lead to landscape alterations that affect ecosystem function and coastal vulnerability. Furthermore, although it is well-known that vegetation can act as a strong stabilizing agent against erosion in a variety of ecosystems (e.g., forest, riparian, agricultural, etc.), much remains to be understood regarding the specific interaction of barrier island vegetation and substrate accretion and stability (e.g., Maun, 1998; Tsoar, 2005). Wave climate changes can also alter sediment transport, affecting patterns of shoreline erosion and accretion and leading to changes in coastline shape (e.g., Slott et al., 2006; Moore et al., 2013; Thomas et al., 2016), and current and future coastal vulnerability (e.g., Wahl and Plant, 2015). In addition, understanding the role of pre-existing geologic conditions (e.g., Rodriguez et al., 2004; Mallinson et al., 2010), and feedbacks between physical processes and ecological processes (e.g., Short and Hesp, 1982; Psuty, 2008; Houser and Hamilton, 2009) along the Gulf Coast is still evolving. These all lead to a need to identify coastal tipping points, such as the loss of wetlands and barrier islands, in light of anticipated rapid changes in environmental conditions in the future.

As discussed in Chapter 2, there have recently been important advances in the development of coastal area models. These predictive models require significant computational resources (e.g.,

Delft3D<sup>3</sup> [Lesser et al., 2004], XBeach [Roelvink et al., 2009]) and typically are only capable of simulating short-term coastal change. However, various schemes have been proposed to reduce computational time (e.g., time-averaging the equations over time scales of tides or longer to allow for longer model time steps, or morphological factors that accelerate bathymetric change) to arrive at models that can be executed rapidly for very long periods of time [Roelvink et al., 2006]. Further reductions in the computational needs of predictive coastal area models are also possible (for example, new computational methods and future computational power), and would enhance their utility by allowing simulation of coastal evolution across longer time and spatial scales.

In addition to developments in predictive coastal area models, there have been advances in reduced-complexity modeling approaches (e.g., French et al., 2016) that include explicit treatment of key processes (e.g., Coastline Evolution Model [CEM; Ashton and Murray, 2006]; COastal Vector Evolution Model [COVE; Hurst et al., 2015]; ShorelineS [Roelvink, personal communication<sup>4</sup>]). These models are computationally efficient and lend themselves to being coupled with other system models to make predictions over large spatial and temporal scales. However, these reduced-complexity models can involve significant simplifying assumptions and may need extensive, site-specific calibration. Further development of these types of models is needed, for example through data assimilation approaches (e.g., Vitousek et al., 2017), to expand the temporal and spatial scales across which they can make realistic predictions.

Finally, opportunities exist to combine the strengths of coastal area, coastal evolution (planform), and landform evolution models (e.g., of barrier islands, wetlands, estuaries) by coupling them in appropriate ways. For example, importing relevant information from high-resolution hydrodynamics-based coastal area models into the input conditions of other types of models can more effectively address long-term evolution of the coastal system (e.g., van Maanen et al., 2016). In addition to coupling different types of coastal models, it is important to include feedbacks between physical processes and ecological processes in models of coastal evolution. There has been some progress toward this end, such as the inclusion of connected barrier-marsh and bay processes in landform evolution models (e.g., Walters et al., 2014) and inclusion of the effects of vegetation on bed friction in XBeach (Passeri et al., 2018).

Ensemble approaches, including stochastic forecasting such as Monte Carlo methods, have been used effectively in other branches of geoscience and engineering, as well as in meteorology, to characterize uncertainty associated with variable or unknown processes, or to consider the range of predictions derived from the use of different modeling approaches. Many studies of long-term coastal change already adopt an ensemble approach to address a lack of constraints on the exact sequencing and spatial distribution of physical, ecological, and human drivers (e.g., Barkwith et al., 2014). Extending the ensemble approach to include consideration of the range of future behavior predicted for the same future conditions by different long-term models and/or modeling approaches, such as those so commonly used in climate modeling and hurricane forecasting, would provide a more comprehensive means for developing and assessing the reliability and range of future predictions (e.g., Kirwan et al., 2010). Pairing this approach with long-term monitoring of landscape change would allow comparisons with and among model results over time, facilitating model development and improvements in capability.

Data assimilative approaches have also been explored in related geoscience fields to constrain and guide model solutions or determine poorly-known boundary conditions or parameter

<sup>3</sup>Additional information about Delft3D can be found at: <https://oss.deltares.nl/web/delft3d/about>.

<sup>4</sup>Communication during committee's open session in New Orleans, LA, on September 18, 2017, with Dano Roelvink, IHE Delft Institute for Water Education.

values (e.g., surf zone bathymetry estimation [Wilson et al., 2014]; sediment distribution estimation [Wiberg et al., 2015]). Such approaches can lead to model configurations that are better suited to accurate predictions. They can also result in an understanding of crucial observations and can therefore inform the design of long-term observational programs.

**Research Gap 6: There is a critical need to understand and project the future response of coastal landforms and embayments to changing climate, and the conditions under which they will no longer be able to keep pace with relative sea level rise.**

- What are the critical tipping points beyond which barrier islands, river deltas, and wetlands will be unable to keep up with relative sea level rise, and what indicators can be used to reveal when the system is approaching them?
- How will sediment budgets, transport rates, and patterns change in the future and what will the impacts be on the evolution of barrier islands, mainland shores and wetlands?
- How do feedbacks between biota and sediment dynamics, under current and future conditions, affect the structure and evolution of coastal landforms along the Gulf Coast?
- How has the Gulf coastline responded to changes in wave climate, rates of relative sea level rise, and sediment supply in the past and what effects might future changes in these variables have on coastal evolution?
- How can future evolution of the physical coastal system (e.g., open-ocean coastline, estuaries, barrier islands, river deltas) be reliably modeled and projected over long timescales and large spatial scales?
- What monitoring and observational studies can be developed to capture and understand changes as they begin to happen even more rapidly in the future?
- How can existing approaches to modeling the physical system be improved or new approaches developed (for example, by incorporating data assimilation approaches and the expanded use of ensemble modeling) to encompass a full range of future landform and embayment projections?
- What improvements in the reliability of modeling future landform and embayment projections can be realized through iteratively incorporating new understanding of the physical system?
- What are the best means for testing and further improving the reliability of modeled future projections of landforms and embayments?

### **Ecological Processes**

Gulf Coast ecosystems continue to evolve over the decadal to centennial scale. These changes are inherently linked to changes in the physical system and are often human-induced, arising from factors such as changes in the built environment, industrial practices along the coast, and climate change. Placing the ecological component within the coupled natural-human Gulf Coast system first entails understanding how ecosystems function under natural conditions, and then how human alterations affect ecosystem function.

*Effects of Current and Future Physical Forcing and Environmental Conditions on Coastal Ecosystems*

The Gulf Coast is characterized by sharp longitudinal contrasts in terms of sediment, nutrient and freshwater inputs, and resulting environmental conditions for its biota. Although these natural environmental gradients strongly affect the composition, structure, and species richness of coastal ecosystems, the impacts of these gradients on ecosystem function and resilience are not well understood. For instance, understanding the functional changes from the phytoplankton-dominated western Gulf to the macrophyte-dominated eastern Gulf (Anton et al., 2011; McDonald et al., 2016b) is far from complete. While the knowledge of compositional and structural shifts in Gulf Coast ecosystems is detailed, it is not well-known how these shifts translate into functional changes.

Along the Gulf Coast, physical forcing varies over diverse timescales (e.g., daily, weekly, monthly, seasonal, inter-annual). Episodic events such as hurricanes and cold fronts can also induce substantial temporal variability. However, the impacts of physical temporal variability on the dynamics and function of coastal ecosystems are not fully understood. For instance, more research is needed on how Gulf Coast ecosystems respond to rising water level driven by global sea level rise and subsidence, which takes places over years to decades; changes in wind-driven setup, which take place over hours to days (but also have a multi-decadal component); and river floods, which take place over days to months; and the interactions among these.

Coastal shorelines in the Gulf of Mexico will continue to experience impacts from coastal development such as residential, commercial, and energy infrastructure; legacy impacts associated with this infrastructure; hydrological alterations; and exploitation of natural resources. All of these impacts can cause deterioration and compromise the resiliency and sustainability of coastal ecosystems. There have been meaningful advances in understanding the impact of coastal development on Gulf Coast ecosystems, but much remains to be learned. A warming climate and rising sea level will certainly cause further coastal ecosystem change in the decades ahead. Changes in watershed hydrology will also affect sediment flux, resulting in wetland accretion or erosion and affecting services provided by wetlands and other coastal ecosystems. There is substantial evidence (Osland et al., 2013; Weston, 2014) that anthropogenic impacts on Gulf Coast ecosystems will likely become more pronounced in the future, but how this may alter their dynamics and function is less well known. In particular, the compounding effects of coastal development and climate change on ecosystem dynamics and function are poorly understood, yet are crucial to understand and co-manage ecosystems and human populations along the Gulf Coast in future years. It is also important to put the interactive effects of development and climate change on coastal ecosystems in the context of associated changes in the physical system (e.g., open-ocean coastline, estuaries, barrier islands, river deltas) to acquire a holistic understanding of the future evolution of the natural-human coastal system in the Gulf Coast. This can be achieved through the use of integrated models that synthesize the response by physical and ecological systems to climate change and coastal development.

**Research Gap 7: There is limited understanding of the individual and combined effects of current environmental gradients, physical forcing, climate change, and coastal development (including energy-related infrastructure) on Gulf Coast ecosystems.**

- What are the effects of current and predicted future (climate change and coastal development) gradients in physical and environmental conditions (e.g., sea level rise, sediment loading, water clarity, tropicalization, ocean acidification, changes in storm frequency and intensity, and watershed hydrology) on the distribution and abundance of key ecosystems along the Gulf Coast, including oyster reefs, salt marshes, submerged grass beds and barrier islands?
- How are ecosystem services (e.g., fisheries productivity, shoreline stabilization through wave attenuation, filtration/removal of pollutants, mitigation of climate change through carbon sequestration) affected by these current and predicted future gradients?
- How prominent will the effects of relative sea level rise be in relation to other stressors such as coastal development, and what are the interactions between these processes and consequences for ecosystem survivability?
- What are the most important interactions between and among physical forcing, coastal development, and climate change driving changes in environmental conditions and ecosystem response both in terms of their structure and function?

#### *Effects of Strategic Natural Resource Conservation and Restoration on Coastal Ecosystems*

There is evidence to suggest that strategic natural resource conservation (for example, functional hot spots) can help attain sustainable and resilient coastal systems (McDonald et al., 2016a). However, knowledge on how to identify functional hot spots, and their viability and effectiveness to maintain ecosystem function under current and future climate regimes, is still in its infancy. Restoration efforts (such as creating land through river diversions) can fill a similar role. These efforts come in many forms and can vary widely in design, cost, execution, evaluation, and performance. Unsuccessful efforts are often grounded in a lack of understanding and consensus about desired recovery targets, as well as limited understanding of the response of the resource to existing environmental conditions (Sparks et al., 2013). Research on the response of restored resources to environmental conditions on the developed coast under current and future climates, as well as criteria for the establishment of restoration benchmarks sought relative to current or past conditions, is needed for more effective outcomes and higher success in restoration efforts.

**Research Gap 8: The understanding of strategic natural resource conservation and restoration activities for effective coastal management is limited.**

- Which functional hot spots best contribute to the preservation of ecosystem resources, function, and services in developed coasts under current and future climate conditions?
- How do restored resources respond to stressors from human development and climate change?
- What are reasonable, realistic benchmarks for gauging restoration success in developed coasts under current and future climate conditions?

## THE HUMAN SYSTEM

Understanding the evolution of the coupled natural-human coastal system necessitates an understanding of the components of the human system that interact with and feedback on the natural (physical and ecological) system. The examples of human dynamics and decision-making discussed in Chapter 2 underscore the lack of scientific basis to predict significant human processes with any degree of confidence over decadal to centennial time scales. These types of projections will be particularly challenged if thresholds and tipping points are exceeded, leading to new equilibrium states for any of the coupled natural or human system components. An argument can be made that many of the most important human changes in the 20th century are unique to that time, and such significant changes are not likely to occur again. It may be reasonable to model human processes with the expectation that fundamental ways of living over the next 10-200 years will be similar to today. However, many environmental changes in the coastal zone will be unprecedented and may lead to entirely new ways of living in the coastal zone and new patterns of economic activity—these will need to be considered in modeling efforts. The essential features of the human system that models will need to consider can be grouped into three broad areas: decision-making and adaptation, the built environment, and human migration.

### Decision-Making and Adaptation

Individual households and governments sometimes invest in durable goods to adapt to climate change. Households might purchase high-clearance cars or trucks to transit areas of minor flooding, replace carpet with tile to deal with nuisance flooding, or raise homes on pilings to avoid damages from storm surge. Governments might build a seawall or fund a beach nourishment project to mitigate against storm risk or erosion associated with sea level rise. Future investments in durable goods may come in the form of new innovations or adaptation technologies based on ideas that are not yet known or cannot yet be feasibly implemented. Which durable goods individuals and governments choose, and when they choose them, ultimately influences the long-term evolution of the coupled natural-human system.

#### *Adaptive Decision-Making*

Most efforts to model human adaptation measures do not incorporate insights on how humans make decisions. Models of defensive adaptation expenditures such as sea walls, levees, beach nourishment, and other gray, green, or hybrid interventions typically impose decisions on the physical system. Similarly, to the extent that there is modeling of zoning, setback rules, or building codes, the focus is on modeling the effects on the physical, ecological, or human system. Less understood in the long-term evolution of the coupled natural-system system is how these decisions are determined endogenously (i.e., within the system in response to feedbacks, rather than external to the system). Imposing a particular decision or defensive expenditure on the coupled system without a model of how future decisions will adapt to future conditions will likely not produce complete or useful scenarios to analyze long-term changes in the coastal zone. A handful of studies have coupled human adaptive decisions with physical coastline change models and have focused on beach nourishment along sandy coastlines, including property value and beliefs about climate change as socioeconomic drivers (McNamara and Keeler, 2013; Williams et

al., 2013; McNamara et al., 2015), or both policy and climate change scenarios on a range of stakeholder-defined metrics, such as number of impacted buildings or considerations of beach access (Lipiec et al., 2018).

Modeling long-term coastal zone change requires understanding a broad set of mechanisms that drive a range of defensive expenditures and development restrictions, as well as an understanding of the feedbacks that these decisions create on the physical and human systems, particularly how individual decisions evolve with associated human-imposed physical change. More research is needed to apply insights to coastline types and adaptation settings beyond those included in previous studies. More data are needed regarding state, federal, and community-level defensive expenditures and decisions to restrict development. While there are some datasets that track federal payouts on disaster relief (FEMA, 2018), flood insurance, and expenditures on projects such as beach nourishment (PSDS, 2018), datasets that compile federal, state, and local decisions about defensive capital expenditures and local development restrictions are sparse and not uniformly tracked over time and space.

**Research Gap 9: There is a need to understand how decisions about the built environment will be affected by coastal change, and how these decisions create feedbacks between the natural and human systems.**

- How do flooding events influence decisions about future infrastructure siting? How does existing infrastructure influence current and future flood hazard?
- How do decisions about coastal development and/or abandonment alter the natural evolution of coastal landforms, and what are the feedbacks on subsequent behaviors and decisions?
- How will existing policies or policy changes that provide incentives or disincentives to develop/redevelop (e.g., flood insurance, zoning, buyouts) influence future decision-making?
- How willing are coastal residents to pay higher taxes to support defensive capital expenditures or other adaptation interventions to support existing coastal communities?
- At the household level, what decision-making process and/or what circumstances lead to investments in adaptation measures such as structure elevation or floodproofing?

### **The Built Environment**

The built environment refers to the places and spaces created by people. These are the areas where they live, work, and recreate on a day-to-day basis, such as buildings, infrastructure, and parks. The built environment can be affected by coastal change as seen, for example, in the damage to energy and port infrastructure, destroyed homes and commercial buildings, and damaged levees and other structural defenses during and in the aftermath of Hurricane Katrina and other major Gulf Coast hurricanes. Subsequent decisions about the built environment can also affect coastal environmental change.



*Effectiveness of Green or Hybrid Infrastructure*

One important issue that exemplifies the need for a better understanding of natural-human system feedbacks is the use of grey-green (or hybrid) infrastructure. Hybrid approaches use nature-based approaches (e.g., wetland creation) to enhance the effectiveness of built infrastructure (Sharma et al., 2016a). Besides helping traditional, human-made (gray) features become more efficient, nature-based (green) approaches can also help preserve ecosystem function and services. However, like gray infrastructure, nature-based methods also affect the long-term evolution of the coupled coastal system. However, there are critical gaps in understanding how green infrastructure performs from an engineering perspective (e.g., Cunniff and Schwartz, 2015), as well as how these systems evolve over time, particularly in the face of rapid sea level rise, and how this evolution impacts their performance. An understanding of how to best combine both green and gray infrastructure in cost-effective ways is limited, as is reconciling variations in performance between the two (green infrastructure is inherently variable), overcoming differences in gray and green infrastructure useable life span, and mitigating adverse effects that may stem from a combination of approaches.

Even when engineered with spatial uniformity, within a single season green infrastructure can undergo significant life cycle changes (e.g., marsh plants senescing in winter)—altering its ability to trap sediment and dampen waves and introducing spatial variation along with temporal evolution. These spatiotemporal changes alter the green infrastructure's actual performance in the short- and long-term (Koch et al., 2009). Because of these natural discontinuities, green infrastructure intended to mitigate chronic and/or episodic inundation, wave, and erosion hazards may evolve to be more or to be less effective than intended (Feagin et al., 2010), and in some hazard conditions might become ineffective or even increase the hazard locally (e.g., Irish et al., 2014).

Improving such understanding is essential for ensuring resilient coastal communities in a future of increased human pressure and climate change. A better understanding of how to best combine green and gray infrastructure into hybrid approaches lies directly at the intersection of the natural and human systems in the Gulf Coast. However, more work is needed to evaluate the applicability, versatility, and effectiveness of these approaches.

*Energy-Related Infrastructure*

Given that a large percentage of the U.S. energy infrastructure lies along the Gulf Coast, sea level rise, subsidence, increased hurricane intensity, and loss of wetlands and other ecosystems could lead to direct impacts on infrastructure, such as damage to equipment from coastal erosion or flooding. Other indirect impacts, such as increased costs from raising vulnerable infrastructure to higher elevations or building future energy projects further inland, thereby increasing transportation costs, can also occur (CCSP, 2007). In addition, vulnerabilities and risks associated with aging energy infrastructure cascade not just into transportation systems, but also water infrastructure, ecosystems, agriculture, forestry, communities and social systems (Wilbanks and Fernandez, 2013). With increased risks of coastal hazard impacts to aging energy infrastructure, social vulnerability for communities co-located with them has also increased (Bernier et al. 2017).

With the expected future impacts of changing environmental forcing on the Gulf Coast's dynamic landscapes, it may become important to prioritize the most critical infrastructure. This is

relevant not only for energy-related infrastructure, but also for other critical infrastructure such as water, power, and transportation infrastructure. There is a need for more knowledge regarding which infrastructure would need more protection (e.g., levees, floodwalls), which would require better physical resiliency (e.g., low-elevation buildings), which would need more flexibility (e.g., working operations), and which might need to be relocated or abandoned. Determining which option works for different types of infrastructure necessitates development of models that can couple the significant physical (e.g., flooding) and economic factors (e.g., profitability of the infrastructure). For instance, in the case of infrastructure that has to be abandoned, there needs to be discussion of how abandonment would occur, what type of demobilization would be needed, and where debris would be moved. For infrastructure that is to be relocated, there needs to be discussion of where it would be appropriate to move, and what the community, economic, and environmental impacts would be for both the original and new locations.

As an example, Port Fourchon is the largest deep draft oil and gas port along the Gulf Coast, providing services for the offshore oil and gas industry. Recognizing its vulnerability to storm surge and erosion, energy companies dependent on that infrastructure have previously invested in engineered protection as well as beach and dune nourishment. In addition, Port Fourchon is conducting feasibility studies of a major expansion that would include deeper draft navigation channels and beneficial use of the dredged sediment has been proposed to create wetland habitat areas and reduce storm surge impacts (Guidry, 2016; Duchmann, 2018; Guidry, 2018).

### *Evolution of the Coupled System in the Presence of Energy Infrastructure*

A comprehensive, field-based assessment of impacts to coastal habitats from the construction and operation of oil and gas infrastructure (e.g., pipelines, navigation channels and waterways, onshore facilities), emphasized the importance of a variety of interrelated factors in establishing causal relationships between the infrastructure and the response of the natural system (Wicker et al., 1989; Cranswick, 2001; Nairn et al., 2005; Johnston et al., 2009). Depending on the site physiography, the construction methodology employed, and mitigative measures, the impacts attributable to infrastructure ranged from an absence of any impacts to significant direct and indirect impacts. Uncertainty still exists in accurately quantifying the relative contribution of human activities such as oil and gas operations to subsidence, versus other natural mechanisms including tectonics, such as faulting (which in turn may be caused by fluid extraction), and which also vary across the Gulf Coast (Kolker et al., 2011).

Limited understanding of individual and community level decision-making under different scenarios of coastal change hinders the ability to constrain the range of possible future outcomes. In order to model the long-term evolution of the coupled Gulf Coast natural-human system, relevant scenarios about individual and government decisions to invest in durable goods for climate change adaptation need to be developed. There is also a need to devise and evaluate the performance of novel mitigation strategies and emerging types of hazard mitigation infrastructure that are intended to be adaptive and resilient to change. Similarly, understanding the vulnerability of energy and energy-related infrastructure to relative sea level rise and storms, as well as the consequences of infrastructure failure, is limited. This is also true for understanding the direct and indirect causal relationships between energy-related infrastructure and the coupled response of the natural system. Taken together, these issues point to an overarching challenge to improve

understanding of how the Gulf Coast's built environment interacts with the natural system, including residential housing, commercial buildings, transportation and energy infrastructure, port infrastructure, and defensive structures such as seawalls and levees.

**Research Gap 10: There is a need for better understanding of how coastal changes affect the built environment and which aspects of the built environment are most vulnerable to coastal changes.**

- What strategies for coastal development are most cost-effective when considering future climate change, relative sea level rise, and episodic events?
- How do coastal engineering approaches and/or development restrictions feedback on continued coastal development and the tax base that supports engineering interventions?
- Under what circumstances might radically different ways to develop the coastal zone emerge, and how might those approaches affect evolution of the coupled natural-human system?
- In the near-decadal time scale, what Gulf Coast energy infrastructure is vulnerable to factors such as sea level rise, subsidence, increased hurricane intensity, wetland and other habitat loss, or age-related failure?
- How will construction and operation of infrastructure associated with coastal development and energy infrastructure (e.g., pipelines, waterways) affect evolution of the natural system across different temporal and spatial scales, and vice versa?

### Migration

Although study of human migration has advanced in general terms, less understood is the relative importance of driving factors for migration decision-making across different regions, groups of people, and types of coastal change processes. There is also disagreement and a knowledge gap with respect to the relative importance of long-term, gradual changes (such as relative sea level rise driving the movement of the community of Isle de Jean Charles [HUD, 2017]) as opposed to episodic events (such as households moving from New Orleans to Houston and other cities following Hurricane Katrina or evacuation of coastal Florida residents in response to Hurricane Irma) in influencing migration (Colten et al., 2008; Gutmann and Field, 2010; Hauer, 2017). Complicating the problem further are the compounding effects of economic and social stressors and multiple interactive and simultaneous sources of environmental change (e.g., sea level rise over the long term, increasing frequency of nuisance flooding, the sudden shock of a major storm). Some economic and social stressors are external to the coupled system; for instance, major changes in energy markets or the decline of entire economic sectors. Someone employed in a declining sector might be more vulnerable to environmental change or less able to adapt to change due to socioeconomic status.

However, some economic and social stressors are internal to the coupled system; a natural hazard that destroys an individual's property could directly trigger a decision to migrate. Scientists and planners are not yet able to project or predict the long-term push and pull factors that influence human migration on the Gulf Coast in response to coastal change. This lack of understanding, particularly with respect to the direct and indirect relationships between environmental change and

human decision-making, make it challenging to incorporate these factors into models of the future coupled natural-human system. Consequently, current modeling of long-term coastal change and human response do not include migration decisions in response to coastal change and associated feedbacks on the Gulf Coast system.

Despite growing attention to migration in response to climate change, there is limited understanding of the drivers of human relocation and migration (both external and internal) in response to coastal change along the Gulf Coast, as well as how these decisions create feedbacks in the coastal environment. To include individual/household/community migration decisions in response to coastal change in modeling scenarios for long-term coastal zone planning, significant advances in understanding are needed. Developing a long-term, longitudinal<sup>5</sup> dataset to follow Gulf Coast residents, in-migrants, out-migrants, and geocoded information on coastal changes would significantly improve the ability to understand complex migration decisions, and could also provide information on rebuilding decisions and household-level changes in response to coastal change.

**Research Gap 11: There is an incomplete understanding of the vulnerability of different Gulf communities to coastal dynamics, how coastal dynamics trigger migration and relocation decisions, and how these decisions create feedbacks to the natural system.**

- How will people respond to unprecedented accelerations in environmental change, and how can this understanding be used to identify potential tipping points of human response (e.g., when might at-risk coastal communities across the Gulf Coast be abandoned?)
- How do migration decisions influence the tax base and the ability of communities to fund local public goods and defensive expenditures in response to coastal change?
- How do community-level investments in defensive capital, social capital, and local public goods influence migration decisions, including decisions to migrate into or out of a community?
- When do migration decisions (in or out) decrease or increase existing social capital, trigger further migration, and potentially reach a tipping point that undermines community viability or lead to complete abandonment?
- Which household characteristics, economic factors, and types of social capital drive household decisions to stay or migrate in response to coastal change, and how do these decisions aggregate up to larger population dynamics?
- When does evacuation in response to an acute storm event become a permanent migration decision?
- When people opt to rebuild rather than migrate after an acute storm, how do these decisions influence patterns in housing and urban development?

## FEEDBACKS WITHIN THE COUPLED NATURAL-HUMAN SYSTEM

The research gaps discussed above primarily involve one-way interactions between the various system components—how one aspect of the system (i.e., physical, ecological, or human)

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<sup>5</sup>Longitudinal studies collect repeated observational data on cross-sectional units (e.g., individuals, groups of people, cities) over time.

is influenced by or responds to other components. As such, these research gaps can be addressed while being viewed primarily through the lens of a specific component. In contrast, this research gap involves the consideration of the entire coupled system. Addressing this research gap entails an adequate understanding of the individual components, but the emphasis is on the understanding of the feedbacks among the components and the resulting evolution of the entire system, which can be best understood and projected through integrated modeling.

**Research Gap 12: Understanding how decisions about the built environment and human migration will affect the coupled natural and human coastal system is limited and can be furthered through integrated modeling.**

- What are the feedbacks among the coupled natural-human system, and how can they be incorporated into models?
- When will feedbacks reach tipping points that fundamentally change the coupled system?
- How can models account for household decisions in response to novel environmental changes (e.g., types or magnitude of changes that households have not yet experienced, such as unprecedented levels of sea level rise)?
- What are the impacts of gray, green, and hybrid engineering measures on the long-term evolution of coastal systems?
- How can modeling of the coupled natural-human system in the Gulf Coast incorporate the deeply uncertain possibilities of novel infrastructure or technologies that could radically change the experience of living along the coast?
- How do emerging or novel engineering approaches perform relative to traditional structures such as seawalls, groins, and beach nourishment?
- How does the performance of coastal engineering approaches in reducing flood hazards influence people's attitudes or behaviors toward further coastal development?

## 4

## Barriers to Effective Communication Between Scientists and Stakeholders

There is a recognized gap between scientists and stakeholders in terms of the use of scientific information to influence decision-making and to collaborate on the development of usable knowledge and understanding (Bartunek et al., 2001; Bartunek and Rynes, 2014). Here, “stakeholder” is broadly defined as any person or entity with an interest or stake in the issue at hand (EPA, 2017). Stakeholders can be members of the general public, a community, a company or industry, an organization, a government agency, or a practitioner such as a city planner or emergency manager. In the Gulf Coast, stakeholders live in the region and maintain and contribute to the state of the coast, making decisions on a range of issues from coastal restoration to adaptation planning. Moreover, they are likely to be influenced by adaptation planning and management decisions (Alexander, 2013). While this chapter touches on the perspectives of stakeholders in general (especially in the sections on Scientist Perspectives and Media and Communication), there is particular focus paid to “practitioner” stakeholders working on coastal issues related to resiliency and planning.

Clear and consistent communication between scientists and stakeholders (particularly at the federal, state, and local levels) is of paramount importance to project success (Byrnes and Berlinghoff, 2012). Despite the recognition that evidence-based decision-making improves outcomes (Small and Xian, 2018) and that collaboration and coproduction of scientific information is beneficial to the process (Prokopy et al., 2017), effective communication<sup>1</sup> often remains elusive. Task 3 of the committee’s Statement of Task (see Box 1.1) was to “identify barriers to, and opportunities for, more effective communication among scientists and coastal stakeholders about improved monitoring, forecasting, mapping, and other data collection and research regarding long-term changes in U.S. coastlines.” The committee determined that this report would have greater value if it not only focused on the Gulf Coast, but also broadly addressed effective communication between scientists and stakeholders with regard to scientific knowledge and understanding, which encompasses the specific information mentioned in the Statement of Task. This chapter identifies the barriers, while opportunities are addressed in Chapter 5. The following sections describe one proven model for effective communication (boundary organizations) and some barriers from the perspective of stakeholders and scientists.

Over the past few decades a model for more effective communication has emerged, one that involves two-way flows of information among all those involved (Newstrom and Davis,

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<sup>1</sup>There are numerous models of efficient or effective communication. This chapter focuses on effective communication where information is conveyed with a shared meaning or a common language to achieve a goal (see Grice, 1975; Granek et al., 2010; NASEM, 2017a).

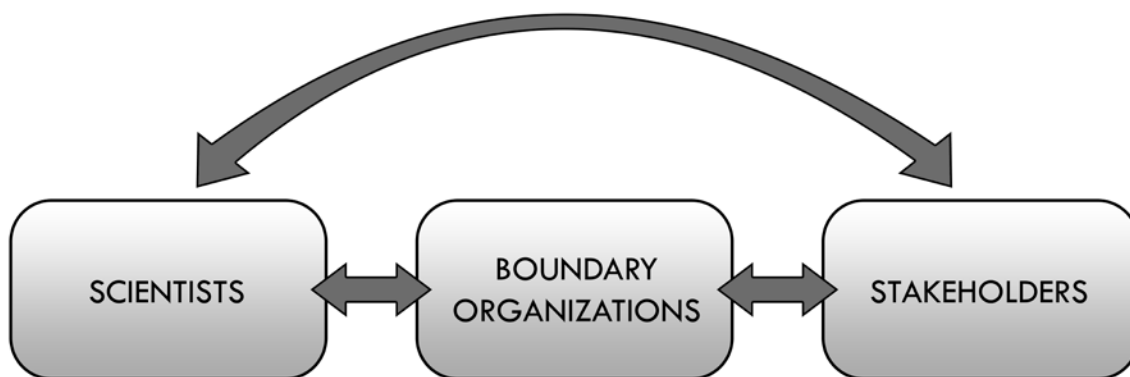
1986), sometimes mediated by a third party such as a boundary organization.<sup>2</sup> In this chapter, boundary organizations are conceptualized broadly as playing an intermediary role between different disciplines and expertise, by facilitating relationships between information producers and users and integrating user needs with the activities of information producers (Feldman and Ingram, 2009). Boundary organizations create and sustain meaningful links between knowledge producers and users, and are accountable to both. These organizations generally focus on user-driven science, seek to provide a neutral ground for discussion, and help deliver the resulting science to audiences that can use it. Some of the institutions that play the role of a boundary organization in the Gulf Coast include the National Oceanic and Atmospheric Administration (NOAA) Sea Grant network (Sea Grant, 2018), non-government organizations such as The Nature Conservancy (2018), and research institutions and consortia such as The Water Institute of the Gulf (2018) and the Consortium for Resilient Gulf Communities (2018).

Scientists and stakeholders sometimes play a more direct, engaged role in communication, whether they communicate among themselves or with the assistance of a boundary organization (Feldman and Ingram, 2009). Typically, a scientist or a stakeholder who is involved in the practice of communication plays the role of a “boundary spanner” (sometimes also called an “intermediary”)—an individual working at the edge of different groups who serves to connect those groups with each other. Boundary spanners engage in communication and outreach, whether professionally or more informally, that often serves to process information and represent the voice of outside stakeholders. Boundary spanners also work to engage stakeholders, negotiate relationships, and build connections between the different individuals or groups (scientists, stakeholders, and boundary organizations) (Sandmann et al., 2014). For example, in the Gulf Coast, individuals in organizations such as the U.S. Army Corp of Engineers and initiatives such as the Louisiana Coastal Master Plan have played the role of boundary spanner for a number of years.

Figure 4.1 shows an idealized representation of effective communication flow among scientists (those who generate data and improve system understanding, whether from the government, academia, or the private sector), practitioner stakeholders (e.g., city planners, emergency managers, coastal planners), and boundary organization facilitators (who serve as brokers, negotiators, and translators between scientists and practitioner stakeholders or the public). It is important to note that scientists can also be stakeholders and that there may be some overlap between the three groups. Communication may occur across and among all three of these groups (and this is often most ideal), or the pathways may be two-way. For example, when communication occurs directly between scientists and stakeholders, scientists will tend to communicate the latest results that are usable and actionable by stakeholders while stakeholders communicate their knowledge and understanding, which in turn informs and grounds scientific research.

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<sup>2</sup>The Belfer Center for Science and International Affairs (Guston et al., 2000) offers a well-recognized definition of boundary organizations: “Boundary organizations are institutions that straddle the shifting divide between politics and science. They draw their incentives from and produce outputs for principals in both domains, and they internalize the provision and ambiguous character of the distinctions between these domains. It is hypothesized that the presence of boundary organizations facilitates the transfer of usable knowledge between science and policy.”



**FIGURE 4.1** An idealized two-way communication among all interested parties: scientists, stakeholder, and boundary organizations.

### STAKEHOLDER PERSPECTIVE

As has been mentioned in previous sections, the Gulf Coast is heavily influenced by the energy industry. As such, much of the population along the northern and central Gulf Coast is integrally linked with the energy industry's successes and challenges (see Figure 4.2). This is especially relevant in the face of projected changes to the natural coastal system and underlying feedbacks with the industry infrastructure and operational activities. There is awareness among the populace that the energy industry needs a highly skilled workforce (Theriot, 2014; Hochschild, 2016), and that the energy workforce is an integral part of a coupled natural-human system. Furthermore, there is understanding that long-term physical changes to the Gulf Coast influence both the local population and the energy industry, and that an increase in flood risks affects energy infrastructure, the people who work with that infrastructure, other people in the region, and the infrastructure that they use (LACPRA, 2017). This is a potential opportunity for effective communication.

However, the deep historical linkages and dependencies between the energy industry and surrounding communities can create challenges for effective communication, especially regarding research needed to understand long-term physical changes along the Gulf Coast. For example, several authors have noted that people in Louisiana are simultaneously grateful to the energy industry for providing well-paying jobs in a region that has not always had a strong economy, while also being critical of the energy industry's role in wetland loss and environmental contamination (Theriot, 2014; Hochschild, 2016). Hochschild (2016) describes how these complex employment and social ties impact the flow of information between citizens, stakeholders, and decision makers at public forums in southern Louisiana.





**FIGURE 4.2** A poster from the annual Shrimp & Petroleum Festival held in Morgan City, LA. This festival exemplifies the integral role that the energy industry plays in coupled natural and human systems in the northern Gulf of Mexico.

SOURCE: Shrimp & Petroleum Festival.

It is within this sometimes contradictory backdrop that the committee discusses more effective communication among scientists and stakeholders. Insights reported here come primarily from in-person discussions at a committee workshop held in September 2017 and from information-gathering sessions during other committee meetings (see Chapter 1 and Appendix B for additional information). Insights presented here are drawn from those discussions and subsequent deliberation within the committee, supplemented by relevant scientific literature. The stakeholders who participated in the workshop and in meetings represent practitioners working on the front lines of issues related to coastal resiliency and planning at the local and regional level, including state and local agencies, the energy industry, organizations working with the public, and boundary organizations. These practitioner stakeholders are both producers and consumers of scientific information, as well as translators of that information to other stakeholders, including

members of the general public. Stakeholders not present at the workshop but who may have a focused interest in coastal systems include those who fish for a living or for recreation, those who depend on or engage in tourism, and business owners in flood-prone areas, among others. These individuals are likely to be served by practitioner stakeholders and boundary organizations, and may engage with scientific information through media. The majority of insights from the literature come from the Gulf Coast Climate Needs Assessment (GCCNA) (Needham and Carter, 2012), conducted in 2012 by the Southern Climate Impacts Planning Program.

Five main barriers emerged from discussions with stakeholders in the region: resource constraints, limitations on the usefulness of scientific products to support decision-making, factors contributing to communication success and failure, difficulties with communication among stakeholders, and challenges for boundary spanners and organizations. Each of these is discussed in further detail below.

### **Resource Constraints**

Four resource constraints—money, time, availability, and expertise—reportedly make the use of scientific information difficult in stakeholder decision-making. Lack of financial resources and/or budgetary constraints can hinder access to information, especially when there is a fee associated with information access (Needham and Carter, 2012). Limited time is another constraint. Given the broad range of activities that practitioners engage in during their work day, it can be difficult to block out the time necessary to sort through available scientific research and interpret data for informed decision-making. Even if time were not a constraint, it is difficult for stakeholders to know about all possible types and sources of available information that may be of use to them. In addition, the proprietary nature of some scientific information collected by the private sector (e.g., some data collected by the energy industry or others in the private sector) may prevent access. Lack of expertise may also hamper stakeholder ability to use scientific information to make informed decisions even if they have access. Some stakeholders may not be able to easily discern trustworthy or credible sources, and thus may be ill-equipped to judge the quality of scientific research.

### **Limitations on the Usefulness of Scientific Products to Support Decision-Making**

Relevance, timeliness, and usability of information are all central to the extent to which it can be used effectively for decision-making. Stakeholders get their scientific information from a variety of federal, state, and local sources. In addition to these sources, stakeholders also draw from local knowledge and citizen science to inform their decisions with respect to resiliency and planning. Stakeholders felt that decision-making in the Gulf Coast could be improved if stakeholders had more rigorous, comprehensive information that better characterizes and integrates the ecological, physical, and human components of the coupled natural-human system.

Practitioner stakeholders use scientific products (e.g., decision support tools, simulations, data sets) to inform their decision-making. Products tailored to specific user needs tend to be the most useful, applicable to, and used in stakeholder decision-making (NRC, 2009; Dietz, 2013). These could be developed in conjunction with stakeholders or with stakeholder needs in mind from the beginning. However, many products are neither directly relevant to stakeholder needs nor

vetted for quality and applicability. Many scientific products and tools are not accompanied by sufficient instructions or training on how to apply the provided information to the decision-making process, which means potentially useful information could go unused or be misused. Many stakeholders acknowledge they end up relying on products that are intuitive and easy to use, rather than potentially more sophisticated but complicated tools.

Practitioners also have to be careful that scientific information is not seen as serving the interests of one group over another, which could affect the extent to which stakeholders are willing to engage or use certain data. This was a particular point of focus for Louisiana when developing its Coastal Master Plan (LACPRA, 2017). To build trust in the plan results, the state appointed a number of scientific advisory panels to oversee and review scientific research during plan development, conducted regular public and stakeholder outreach to explain the science behind the plan, made resulting datasets available for public use, and ensured all relevant policy analyses, metadata, and quality control were well-documented.

### **Factors Contributing to Communication Success and Failure**

There are many modes of communication among scientists, practitioners, and the general public, ranging from preparation and sharing technical documents and convening outreach meetings to posting on social media and presenting educational displays in public spaces.

Workshop participants expressed the view that communication success can be measured in a number of ways, including whether projects are supported by the larger constituency, even though some conflicts among the parties may exist; whether there is consensus among diverse groups (or at least mutual understanding of others' perspectives); whether conflict is reduced; and, whether the public are able to discern between facts, assumptions, and values when making decisions. Some case studies related to communication success with Gulf Coast stakeholders are found in Box 4.1.

A lack of financial resources, the logistical complexity of communication between scientists and stakeholders, difficulty in identifying other relevant stakeholders, and lack of human resources contribute to communication failure. Stakeholders at the Houston committee workshop also reported, specifically, that some members of the public in the Gulf of Mexico do not understand or accept scientific consensus about climate change, which makes it difficult to talk about issues such as sea level rise (as also noted by Needham and Carter, 2012). There is a growing body of literature suggesting the extent to which science, especially politically polarizing topics such as climate change, provide barriers to effective communication. More research is needed to understand how to enhance evidence-based decision making (see, e.g., NASEM, 2017a). Moreover, regional differences among stakeholders and the general public can make it difficult to know how to best translate information for delivery to different groups.

**BOX 4.1****Case Studies of Gulf Coast Stakeholder Communication**

Previous regional studies that aimed to enhance stakeholder communication focused on understanding how personal experiences influence perceived (not actual) impacts; paying close attention to communication content and delivery modes; and including those with extreme views, with a goal toward mitigating them.

For example, in a transdisciplinary research project called the *Ecological Effects of Sea Level Rise in the Northern Gulf of Mexico* (DeLorme et al., 2016), there was a focus on what made communication between scientists and stakeholders successful (or not). Important factors included close attention to communication content and format, delivery and outcomes throughout the lifetime of the project, and being open to improvements and refinement as necessary.

When studying the results of a citizen science project on rapid marine invasion by lionfish in the northern Gulf of Mexico, Scyphers et al. (2015) found personal observations of invasive species strongly influenced perceived impacts and positively influenced support for initiatives.

A multicriteria decision analysis focused on coastal resilience in Mobile Bay, Alabama (Bostick et al., 2017) brought together ~30 stakeholders from local and regional emergency and environmental management, the port industry, and public works with the U.S. Army Corp of Engineers subject matter experts, with a goal of keeping those with extreme views in the discussion so that they would be able to gain an appreciation of other views and to see if scenarios of concern would influence the priority order of decision-making when the group came to consensus. “Increased storm frequency” and “greater urbanization and development” had the largest influence on changing priorities, while “increased storm severity” and “decline in shipping industry” had the least.

**Difficulties with Communication Among Stakeholders**

Stakeholders engage regularly and well with local, state, and federal agencies, elected officials, non-governmental organizations, the private sector (e.g., engineering firms, consultants), and the public. Generally, interactions with academics (both within the Gulf Coast region and beyond it) were considered to be better when there was a two-way flow of information among scientists and stakeholders rather than a one-way flow from scientist to stakeholder or vice versa (e.g., Beierle, 2002; Kirchhoff et al., 2013). However, there is a need for greater interaction with industry and with vulnerable populations.

Based on workshop and information-gathering meeting discussions, there appears to be limited information sharing between stakeholders and some parts of the private sector (most notably, the energy industry, although other sectors mentioned included the insurance and reinsurance industries, finance sector, and the military) on topics such as industry assets, risk mitigation and communication, and emergency planning. Communication among stakeholders and industry is complicated for a number of reasons. First, the energy industry is not homogenous and represents many large, complex organizations, so it is not always clear to whom stakeholders should be directing their inquiries or how to develop a strategy for communication. Second, industry representatives may have reasons for not sharing data, including the desire to prevent their

competitors from gaining an economic advantage, financial disclosure laws or regulations, impacts of disclosures on financial markets, and the possibility of litigation.

Stakeholders often have difficulty reaching out to vulnerable populations such as rural and indigenous communities, low-income communities, people who speak different languages, communities with low literacy levels, and disabled or elderly people. Box 4.2 discusses an approach taken by Louisiana to address this issue.

#### **BOX 4.2**

##### **LA SAFE: An Example of Involving Vulnerable Stakeholders in Adaptation Planning**

The Louisiana's Strategic Adaptation for Future Environments (LA SAFE) is an outreach initiative led by the state government that includes a wide range of practitioner and other stakeholder partners.<sup>a</sup> LA SAFE takes a “people driven approach” to engage with communities in six parishes at risk of coastal flooding and land loss, with a goal to develop an adaptation plan that is sensitive to the culture and needs of the people who will be affected. LA SAFE takes a holistic approach by explicitly engaging with stakeholders on topics related to demographics (social vulnerability, population shifts, age and diversity, housing and income), economics (tax base, real estate, jobs and industry, fishing and farming, commuter patterns), social issues (health and safety, organizations, education, culture and politics), and environment (pollution, subsidence, land change, sea level rise, and habitats and risk). Community stakeholders are recruited mainly through not-for-profit organizations that work on the ground and who already have deep roots in hard-to-reach, immigrant, and vulnerable and rural communities. Recruitment efforts involve some combination of phone calls, flyers, and door knocking, among other methods. LA SAFE develops and maintains a relationship with these community stakeholders and provides them with feedback on what other community members are saying, including summarizing results and presenting it to stakeholders in meaningful and understandable terms, and provides food and child care to make it easier for community stakeholders to participate in meetings.

<sup>a</sup>More information about LA SAFE is available at: <https://lasafe.la.gov/>.

### **Challenges for Boundary Spanners and Organizations**

Boundary spanners and boundary organizations face three main challenges. The first challenge is obtaining approval or acceptance from local- or state-level officials and community members to participate in community engagement, which, if achieved, can help community leaders see the value of engaging with boundary spanners or organizations. The second challenge is establishing true two-way flows of information among scientists and stakeholders, especially if participants on either side hold more parochial perspectives about their roles and the provision of scientific information. The last challenge is that the process of communication necessitates the involvement and coordination of multiple entities and individuals, which can make progress slow or difficult.

## SCIENTIST PERSPECTIVE

Despite growing recognition over the past few decades of a need for improved communication between scientists and stakeholders, such communication often remains limited to scientists (whether in academia, industry, or as a practitioner) who are either inclined to do so, have some level of job security (e.g., tenured faculty), or both (Jacobson et al., 2004). Three main barriers are discussed below: institutional and interpersonal barriers, “outsider” status, trust, and underuse of science communication tools.

### Institutional and Interpersonal Barriers

Weerts and Sandmann (2008) discuss factors that are barriers or facilitators to effective communication at institutions such as research universities. Institutional barriers arise for a variety of reasons, such as leadership (e.g., chairs, deans, provosts, supervisors, senior colleagues) who may discourage a perspective that views community members as stakeholders or learning partners, and thus oppose community engagement. A personal barrier exists if a scientist does not see value in communication and mutual learning with stakeholders.

Some scientists hold the view that stakeholders, especially members of the general public, do not act rationally (Ariely, 2009). Therefore, engaging with stakeholders is pointless because the presentation of scientific facts will be met with fear, dismissiveness, misunderstanding, or worse. Other research suggests that people do not in fact act irrationally (Fischhoff, 2013; Fischhoff and Scheufele, 2013); rather, they react to information in view of their unique circumstances, understandings, ideologies, and so on. Other scientists view activities such as engaging with stakeholders as a form of advocacy, which they do not see as their role in society (Lackey, 2007). Engaging the community may force scientists to either take a position that undermines their credibility and legitimacy or influences their ability to be objective, which could compromise their research.

### Trust

Trust and confidence may also limit effective communication between scientists and stakeholders. Research in risk communication suggests that trust (comprised of perceived competence, objectivity, fairness, consistency, and faith) and confidence (an enduring experience of trustworthiness over time) are necessary for effective communication (Renn and Levine, 1991). Both trust and confidence are necessary components when assigning credibility to a source of information or organization. Trust in media, industry, experts, government, and non-governmental organizations is on the decline (Harrington, 2017). This lack of trust and confidence can make it very difficult for communication among scientists, boundary organizations, and stakeholders to be effective.

### **“Outsider” Status**

Another factor that limits communication is that scientists from outside the Gulf Coast may be considered as “outsiders” by “insider” stakeholders. Research in social psychology and sociology suggests relationships between ingroup and outgroup members tends to be marked by discrimination, whether that be favoritism towards insiders and an absence of favoritism towards outsiders, or dismissive of outsiders if they are seen as a threat or hindrance (Turner et al., 1987). This dynamic can make it very difficult, if not impossible, to engender trust between groups, let alone build effective communication. Indeed, this has been observed across a wide range of domains involving communication between stakeholders from management of natural resources (De Nooy, 2013) to decision-making about energy development (Wong-Parodi et al., 2011).

### **Underuse of Science Communication Tools**

The emerging field of science communication provides a broad set of tools for more effective communication between scientists and stakeholders (e.g., NASEM, 2017a), and more established fields of participatory action research, citizen science, and coproduction offer suggestions for how to establish better communication among interested groups (e.g., Whyte, 1991; Hassol, 2008; Bonney et al, 2009; Silvertown, 2009; Dilling and Lemos, 2011; Somerville and Hassol, 2011). The purpose of these tools is to facilitate lines of communication where scientists can learn from stakeholders and stakeholders can learn from scientists. For example, participatory research was recently used to study the condition of infrastructure in Houston (Hendricks et al., 2018). Despite this richness, many scientists may not have the resources, tools, expertise, incentives to use, or awareness of the tools available to enhance effective communication, nor those frameworks for effectively developing those relationships that foster communication.

There is often an information gap between scientists and stakeholders, where each may hold expertise in their own domain areas and there is a need to share information. Some scientists believe that one way to bridge this information gap is through the provision of more information (Ben-Haim, 2001). However, research suggests that more information is not necessarily helpful; rather, providing the information that people need at the appropriate level is more effective (Bruine de Bruin and Bostrom, 2013; Fischhoff and Scheufeleb, 2013; Wong-Parodi et al., 2013).

Decision making under deep uncertainty (DMDU) methods are often used to support a participatory “deliberation with analysis” approach (NRC, 2009). This approach to decision analysis uses scenario analysis and interactive visualizations to facilitate conversations among decision makers, stakeholders, and residents. Critically, different viewpoints (e.g., assumptions about future conditions, preferences across different goals) can all be captured in the same analysis, allowing for an inclusive framework that seeks to highlight key tradeoffs, policy-relevant scenarios, and tipping points for deliberation rather than mask them through probabilistic assumptions or “black box” modeling choices.

## MEDIA AND COMMUNICATION

Media formats play an important role in communicating issues regarding long-term coastal change to the public, decision makers, and stakeholder across the Gulf Coast. Some traditional news outlets (e.g., television, radio, print, and their online components) have dedicated coastal reporters, and as such, coastal issues are often in the news. There have been long format pieces (e.g., documentaries) about the region, and the interactions between the landscape and the energy industry. The widespread presence of online weather and hydrographic data and models are readily being used by people to evaluate their own flood risks, as well as to enhance fishing trips, and to ensure safety during recreational boating activities.

Both traditional and emerging formats can present barriers to communication. For example, some journalists may have a hard time evaluating key background information—which can be particularly problematic in the Gulf Coast given that some regions are educationally underserved. Additionally, the complexity of relationships (ecological, physical and social) that exist across region between the landscape and coastal development are often hard to summarize in many media formats that often require relatively short pieces. While digital and social media is becoming more common across the region, high poverty in some places can limit access to digital tools. For example, in 2015, 74% of U.S. households with an annual income under \$30,000 reported having access to the internet, as opposed to 97% of households with an annual income above \$75,000 (Perrin and Duggan, 2015).

Both traditional and emerging digital media formats present opportunities to communicate with the public, stakeholders and decision makers. For traditional media, the presence of dedicated coastal reporters offers opportunities for communication. The cultural economy that exists across the Gulf Coast also offers opportunities to enhance communication concerning people and the landscape. Furthermore, social and other emerging digital media platforms offer new opportunities for communication, and have proven useful particularly during environmental events and disasters, and there exists greater opportunities for communication here.





## 5

## Defining a Research Agenda: Priorities to Increase Understanding of Long-term Coastal Zone Dynamics

The establishment of the independent, science-based National Academies of Sciences, Engineering, and Medicine's Gulf Research Program (GRP) as part of legal settlements with the companies involved in the 2010 *Deepwater Horizon* oil spill presents a unique and timely opportunity for the creation of a sustained, integrated research program to increase understanding of long-term coastal dynamics. The development of such a holistic effort, with coordination and integration across multiple disciplinary research foci, has the potential to improve human well-being in the coastal zone along the Gulf Coast and around the world. The research agenda defined below leverages the scope and autonomy of the GRP with suggestions for a long-term, integrated body of research that federal and state funding agencies would find hard to achieve due to their mission-oriented natures.

In developing this research agenda's vision, the committee was guided by three criteria: the independent and long-term nature of the GRP, the research gaps identified in Chapter 3, and the significance of these research gaps at temporal and spatial scales relevant to the Gulf Coast.

### VISION AND CRITICAL AREAS OF RESEARCH

In response to the first part of the Statement of Task (SOT) (see Box 1.1), the committee identified key high-priority research gaps that reflect the need for better understanding of critical physical, ecological, and human processes in the coastal system (see Chapter 3). The second part of the SOT asked the committee to define the essential components of a research and development program to address these research gaps. In order to do this, the committee developed a vision that addresses the critical areas of research. This vision is *"to understand and predict the feedbacks and interactions among the physical, ecological, and human components and the resulting evolution of the coupled system along the U.S. Gulf Coast, in the context of both human and climate drivers."* These critical areas encompass the research gaps identified in Chapter 3 and provide some overarching context for a potential research and development program.

The goal of identifying the critical research areas is to provide the ability to project the future state of the Gulf Coast, given changing environmental stressors and a range of policy options related to mitigation or adaptation strategies. Such a capability will enable improved probabilistic assessments of future conditions (where plausible) and can also be used to identify key scenarios, including those situations where the uncertainty cannot readily be quantified over these time scales. To achieve this goal, a more complete understanding of the interactions and feedbacks between the natural (physical and ecological) and human systems is needed. Three critical areas for research are identified below:

- **Critical Area 1:** How will coastal landforms and coastal ecosystems along the Gulf Coast respond to rapidly changing conditions (both natural and human-induced), especially given the expectation for continued relative sea level rise acceleration?
- **Critical Area 2:** How will human settlement and economic activity along the Gulf Coast respond to evolving coastal landforms and ecosystems under rapidly changing conditions?
- **Critical Area 3:** How can improved understanding of both near- and long-term evolution of the Gulf Coast coupled natural-human system be applied to inform stakeholder decisions made at local, state, and regional scales? How does the coupled system evolve when decision-making is updated as scientific understanding advances?

The dominant processes, interactions, and feedbacks for all three critical areas vary by the time scales of the physical and ecological drivers of change for the natural system, as well as the motivators for human response and decision-making. While these time scales range from nearly instantaneous to several thousands of years, the relevant time scale for this report (as noted in Box 1.2) is 10-200 years, subdivided into near-decadal (10-50 years) and decadal-century (50-200 years) scales.

As presented in Chapter 2, evolution of the Gulf Coast over a near-decadal time scale (approximately 2030-2070) is likely to be dominated by feedbacks and interactions associated with episodic events. These events include not only inundation and wave-driven flooding caused by tropical cyclones, but also smaller magnitude events such as cold fronts, winter storms, and seasonal tides, all exacerbated by sea level rise. Within the same timeframe, Gulf Coast evolution will also be influenced by population growth or decline (including individual and household relocation decisions), regional and national economic trends, local coastal development, natural resource extraction, and ecosystem conservation and restoration initiatives.

Coastal evolution at a decadal-century time scale (50-200 years) is likely to be dominated by the influence of relative sea level rise. At this time scale, accelerating sea level rise will lead directly to significant changes along the Gulf Coast, including large-scale alterations of coastal landscapes and ecosystems and potential abandonment of at-risk communities. Global climate change and sea level rise impacts could lead to secondary impacts on the natural- human Gulf Coast system.

### GUIDELINES FOR A RESEARCH AGENDA

Informed decision-making and planning through both the near-decadal (10-50 year) and decadal-century (50-200 year) time scales will require improved understanding and capability to project relative sea level rise and changes in episodic events, the coastal responses to these processes, and the ways that humans respond to and influence these processes. **This type of research and development program will be most successful if it focuses on the interactions and feedbacks critical to evolution of the coupled coastal system; is carried out by collaborative, multidisciplinary research teams; supports comprehensive, Gulf Coast-wide, fully integrated modeling and observational efforts; encourages longitudinal, multi-decadal research; produces easily-accessible observational data and model results; and is coordinated at a high level.**

Instituting a mechanism for rapid and easy sharing of data and models across program participants and beyond, as well as the use of data assimilation to improve model skill, would also enhance the success and reach of research efforts. Essential components of this long-term research agenda are discussed in turn below. Examples of some programs that include these components are discussed in Box 5.1.

### **Focus on Interactions and Feedbacks Critical to Evolution of the Coupled Coastal System**

A research and development program developed to understand and project future coastal evolution would benefit from a focus on the interactions and feedbacks between and among the components of the coupled natural-human coastal system. While it is very useful to study specific aspects of the natural or human systems (discussed in greater detail in Chapter 3), integrating this research is likely to provide the greatest leaps in understanding.

### **Collaborative and Multidisciplinary Research Teams**

Many of the current gaps in understanding discussed previously in this report (see Chapter 3) are complex and are unlikely to be addressed by a single discipline; rather, collaborative research teams involving multiple disciplines across the natural and social sciences will be needed. These teams are critical for more integrative understanding of the societally relevant, user-inspired questions that can drive policy and decision-making, but may also require more flexible or innovative approaches to fund and support their research.

### **Comprehensive, Gulf Coast-Wide, Integrated Observational and Modeling Efforts**

Understanding and projecting the long-term evolution of the Gulf Coast will require both observational and modeling endeavors. Coordinating and integrating observational and modeling efforts will significantly amplify the gains that would otherwise be achieved by observation or modeling alone. Observations provide a means for assessing and quantifying how the coastal system is changing through time, while also providing inputs to models and data critical to the development of realistic model parameterizations. Modeling endeavors provide guidance to observational programs regarding critical variables and field relationships to be measured, and the ideal frequency for data collection. Model simulations can also provide projections of coastal change that can be compared with longitudinal observations. The integration of observational and modeling programs, ideally through an iterative design, facilitates the development of targeted and adaptive observational programs, as well as the continued development of models and improvements in modeling skill.

Essential components of a coordinated, integrated, and iterative observational and modeling program would focus on furthering the understanding of the long-term co-evolution of the hydrodynamic, morphological, ecological, and human systems, including consideration of wave circulation, sediment transport, coastline evolution, land elevation, vegetative processes, water level, water movement, water quality, demographic changes, measures of economic activity,

and human decision-making processes, responses (e.g., abandon, migrate, harden, nourish), and impacts (e.g., on fisheries).

A viable approach to developing a Gulf Coast-wide observation and monitoring program would be to enhance and extend existing monitoring programs, while also implementing new and emerging in situ and remote sensor networks. For instance, new and existing observational programs could be employed with in situ physical and chemical sensors, remote sensing, ecological collections, and data surveys. Data are also needed to assess the impacts of sea level rise and changes in air temperature, rainfall, and rainfall frequency.

Similarly, a Gulf-wide coastal evolution model could build on existing models of specific coastal processes, but also allow for new integrated approaches that examine the co-evolution of the natural-human system. Such a modeling framework would enable projections of coastal evolution as well as broader economic, cultural, and societal changes under different future climate and management scenarios. Ensemble simulations may provide an important means for evaluating the uncertainty of future projections. Data assimilation techniques can aid in improving model skill and provide guidance for the collection of observations. Implementing ensemble modeling and data assimilation approaches, where appropriate, will likely enhance the success of a research and development program designed to address the priority research gaps identified in this report.

### **Longitudinal and Multi-Decadal in Scope**

There are few research opportunities to track change to the coupled natural-human system over long temporal scales, and even fewer opportunities in which targeted modeling efforts can be coordinated to make projections for future decades and validated with repeated observations over time. A research and development program that intentionally takes the long view, iteratively making projections and observing change over time, has the power to transform understanding of coastal evolution and to revolutionize the ability to project coastal change in the face of uncertain future conditions. Longitudinal<sup>1</sup> observational, experimental, and monitoring programs can facilitate synthesis efforts aimed at tracking the drivers of change, quantifying patterns, and identifying cascading impacts through the system. Though longitudinal studies are emphasized here, shorter-term (e.g., at the event scale or annual scale) research on physical, ecological, and human processes will also contribute to longer-term understanding. As the Gulf Coast experiences changes in sea level and climate, new questions will emerge regarding the patterns and drivers of change and their impacts on the coupled system. For this reason, a program that is longitudinally focused with integrated modeling and observational components, but that is also adaptive and responsive, will be best poised to provide maximum benefit.

### **Easily Accessible, Regularly Updated Observational Data and Model Results**

To the extent possible, making data publicly available in real time and archiving them in accessible databases will extend the utility of data beyond scientific research and assist emergency managers, planners, other researchers, and decision makers with adapting and responding to changing environments or emerging disasters. Likewise, developing models using open source

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<sup>1</sup>Longitudinal studies collect repeated observational data on cross-sectional units (e.g., individuals, groups of people, cities) over time.

platforms and sharing newly developed components soon after they are vetted will extend their impact beyond the originators, facilitating their use and application.

### High-Level Coordination

Management of a research and development program that includes efforts that are Gulf Coast-wide and longitudinal, contain highly integrated modeling and observational components, and emphasize interactions and feedbacks between the natural and human coastal systems will require intentional, consistent, and careful administration. Such high-level coordination can be achieved in a variety of ways, including close collaboration across co-developed projects and sharing of project personnel among related projects, and supporting projects that include significant data synthesis and integration aspects. To fully realize the integration of modeling and observational efforts, projects will need to be designed from the start with the intention to integrate, iterate, and synthesize information.

#### BOX 5.1

##### Examples of Successful Large-Scale Research Programs

**The Chesapeake Bay Program** is a coordinated, multi-state effort to collectively manage and improve the ecological health of this estuary. As part of this effort, the program supports a long-term research agenda to address interrelated coastal and human processes across the region. Originally established in 1983 by an agreement between the Environmental Protection Agency, states, and local decision makers, the program enables regional coordination to help reduce chronic water pollution challenges and support ecosystem restoration in and around Chesapeake Bay (Chesapeake Bay Program, 1983). The list of partners and commitments has grown in recent decades, culminating in a 2014 Chesapeake Bay Watershed Agreement that includes 10 interrelated goals, such as improved water quality, healthy watersheds, sustainable fisheries, and climate resiliency (Chesapeake Bay Program, 2014).

Of particular note are the program's long-term commitment to regional, coordinated monitoring and simulation modeling. For example, partners monitor and share region-wide datasets describing freshwater flows and contaminant loadings into Chesapeake Bay, water chemistry (e.g., temperature, salinity, dissolved oxygen), and benthic species and fin/shellfish abundance (Chesapeake Bay Program Monitoring, 2018b). In addition, program scientists and partners have built and updated a suite of computer models that are together used to project current and future land use, hydrology, and water quality in the tributaries and in Chesapeake Bay itself. This suite of models, now in its sixth iteration ("Phase 6"), (Chesapeake Bay Program, 2018a) is also used to project the pollution reduction benefits from various best management practices implemented across the watershed. Monitored data are used to calibrate and validate model results across the region, and this sustained and interrelated monitoring and modeling approach can serve as a blueprint for the program of research described in this chapter.

**The Gulf of Mexico Research Initiative<sup>a</sup>** (GOMRI) was established in 2010 after the Deepwater Horizon oil spill. Its purpose is to conduct a 10-year research program on oil spills and their aftermath on the Gulf of Mexico ecosystem, as well as the impact on shorelines and public health. The governing body is the GOMRI Research Board, which

has representatives from the five Gulf states. The Research Board identified five research themes and issued a Request for Proposals for the creation of research consortia (of four or more institutions) to address one or more of the themes. Fifteen consortia have been funded to date.

GOMRI researchers must make data obtained during the project publicly available. The mechanism to achieve this goal was the establishment of the Gulf of Mexico Research Initiative Information and Data Cooperative, which created infrastructure for researchers to archive diverse data sets and provided a portal for the public to access these data.

<sup>a</sup>More information on GOMRI is available at: <http://www.gulfresearchinitiative.org>.

## RESEARCH PRIORITIES BY CRITICAL AREA

### **Critical Area 1: How will coastal landforms and coastal ecosystems along the Gulf Coast respond to rapidly changing conditions (both natural and human-induced), especially given the expectation for continued relative sea level rise acceleration?**

Among the wide range of stressors that affect the Gulf Coast (e.g., changing climate, land use, sediment management), low-elevation coastal landforms and ecosystems are particularly sensitive to the acceleration of the rate of global sea level rise. As discussed in earlier chapters, relative sea level rise rates along the western half of the Gulf Coast will be higher than average global rates due to subsidence. The need to understand both the oceanic component of sea level rise (Research Gap 1) and subsidence (Research Gap 2) with some geographic and temporal specificity is critical to anticipate and plan for effects of rapid relative sea level rise on Gulf Coast landforms and ecosystems.

High rates of relative sea level rise, combined with both historic and potential future reductions in sediment supply (Research Gap 4), threaten coastal landforms and the ecosystems they support. Of critical importance along the Gulf Coast are the evolution and fate of low-lying features, especially barrier islands, river deltas, and wetlands (swamps, marshes, and mangroves), which are oftentimes tightly interconnected. Despite a general recognition that large-scale morphological changes are likely, the understanding of—and the ability to predict—where, when, and how changes will occur is limited (Research Gap 6), particularly given the potential impacts of episodic extreme events in combination with relative sea level rise (Research Gap 3) and the effects of human modifications to the coastal zone (Research Gaps 9-12). It is critically important to project when, and under what conditions, coastal evolution transitions from being dominated by episodic events (at the near-decadal [10-50 year] scale) to being dominated by relative sea level rise (decadal-century [50-200 year] scale). For example, under what (natural and human-modified) conditions will barrier islands no longer be able to migrate landward rapidly enough to avoid disintegration and drowning (Research Gap 6) and under what conditions will coastal wetlands no longer be able to sufficiently accrete vertically or expand laterally to avoid becoming submerged (Research Gap 7)? How will sediment supply (Research Gap 4), including the effects of human interventions (Research Gaps 9-11) and ecosystem conservation initiatives (Research Gap 8), affect the evolution of deltas as sea level rises (Research Gap 6)? How will changes to, or the loss

of, coastal landforms and ecosystems affect surge-induced flooding, tides, and erosion farther inland (Research Gap 3)?

These types of dramatic changes will transform the natural environment in ways that will influence the built environment (Research Gap 10), patterns of human migration (Research Gap 11), feedbacks between the human and natural systems (Research Gaps 6, 9-12), economic activity, and how, when, and to what extent humans continue to inhabit the Gulf Coast in the future. Understanding and projecting the evolution and fate of Gulf Coast landforms and ecosystems will therefore not only require a better understanding of key processes such as feedbacks between sediment transport and vegetation (Research Gaps 5 and 6), improvements in coastal zone sediment transport formulations (Research Gap 5) and modeling capability (Research Gap 6), but it will also require identification, exploration, and simulation of the interactions and feedbacks between the natural and human systems that will be most important in determining the future fate of coastal landforms and ecosystems, and therefore, human habitation in this region.

## **Critical Area 2: How will human settlement and economic activity along the Gulf Coast respond to evolving coastal landforms and ecosystems under rapidly changing conditions?**

Anticipated changes to coastal landforms and ecosystems will significantly impact human settlement and the built environment. A deeper understanding of the interactions and feedbacks between the built environment and the natural system (Research Gaps 9 and 10) and between human migration and the natural system (Research Gap 11) can inform models of the coupled natural-human system (Research Gap 12) to improve long-term decision-making.

There is considerable uncertainty about the extent to which Gulf Coast residents and stakeholders will attempt to maintain coastal landforms and defend existing infrastructure (Research Gap 9) versus allowing land and habitat loss to occur. Some barrier island communities (such as Dauphin Island, AL) have been defended repeatedly, and some barrier island settlements may ultimately cease to exist. Some communities could find new ways of living along the Gulf Coast, including potential approaches that currently seem radical, such as mobile rather than fixed residences.

As people migrate (Research Gap 10) or choose to remain in place in response to changes in coastal landforms (Critical Area 1), urban and rural settlement patterns along the Gulf Coast could change. More people may live in cities that have coastal engineering infrastructure, while rural communities without hazard mitigation approaches may struggle to remain in place, except for communities of means that can afford defensive approaches. Moreover, settlement patterns may change differently in areas of the western and central Gulf Coast, where relative rates of sea level rise are greatest and social vulnerability is highest, compared to areas along the Florida Gulf Coast, where relative sea level rise rates and social vulnerability are more modest. Major Gulf Coast cities such as Houston, New Orleans, and Tampa could see populations becoming more concentrated in areas with relatively higher elevation, although there is uncertainty about whether populations in these cities will grow or contract as a result of migration and other changes along the Gulf Coast.

All of these changes, interactions, and feedbacks will affect the availability of the resource base (e.g., labor, infrastructure, natural resources) that drives key economic sectors. For example, land loss will influence whether energy infrastructure is abandoned, whether it is modified to adapt in place and continues to operate, or whether it is relocated further inland or to higher elevations.



Tipping points such as extensive marsh losses or large-scale barrier island disappearance will affect nursery grounds for fisheries, associated fish stocks, commercial and recreational landings, and the fishing and fish processing economy. Coastal land loss will also impact recreational opportunities and the tourism industry, whether directly through losses in rental properties or indirectly through effects on fish stocks and/or infrastructure that supports tourism. Expected changes in human settlement and economic activity will depend on the time scales of coastal evolution. At the near-decadal timescale of 10-50 years, human demographics could change in response to episodic storms and nuisance flooding. The built environment could change with increased adoption of coastal engineering approaches or with modifications to existing housing stock that would allow people to adapt in place. At decadal-century scales beyond 50 years, there is potential for even bigger change. One possibility is large-scale human migration in response to unprecedented relative sea level rise and land loss. Another example is how the commercial and recreational fishing industries would adapt to major losses in nursery habitat if continued wetland loss and relative sea level rise led to the loss of barrier island systems. Moreover, the built environment could change dramatically as new adaptation strategies and technologies emerge and ways of living in the coastal zone evolve.

**Critical Area 3: How can improved understanding of both near- and long-term evolution of the Gulf Coast coupled natural-human system be applied to inform stakeholder decisions made at local, state, and regional scales? How does the coupled system evolve when decision-making is updated as scientific understanding advances?**

Research carried out under Critical Areas 1 and 2 will enable improved understanding of the most significant interactions, feedbacks, and drivers of change that influence the long-term evolution of the coupled natural-human coastal system (Research Gaps 1-5, 7, and 8). Through integrated observational and modeling efforts, it will also improve the overall understanding of how the Gulf Coast coupled system is likely to evolve in the future (Research Gap 6). Research and applications will be required to connect scientific insights with decision-making at the local, state, and regional level throughout the Gulf Coast. This translation of understanding can help enable iterative decision-making that is informed by better understanding of potential short-term and long-term consequences (Research Gaps 9-12) and may enable courses of action that were not previously available or considered.

This could entail, for instance, evaluating the potential benefits, costs, and tradeoffs of proposed courses of actions using newly-developed coupled modeling systems, applying decision making under deep uncertainty methods (Chapters 2 and 4) to help decision-makers identify more robust and adaptive coastal management plans, or using integrated observational data to identify critical tipping points that would suggest switching from one course of action to another. Further, outcomes from research and applications will provide additional insights to guide research under Critical Areas 1 and 2. For example, during the decision-making process new questions may emerge, and these, in turn, could help inform and prioritize additional basic research pertaining to the coupled natural-human system.

In addition, new scientific understanding can build on the opportunities for communication and outreach identified elsewhere in this report. Sufficient science-based understanding of the risks, benefits, and uncertainties of available options is needed to make reasoned decisions in accordance with values and preferences. Meeting the challenges faced by the Gulf Coast in the

future requires that stakeholders have access to useful and usable scientific information (Opportunities 1 and 2 below), and that stakeholders and the public are informed about where such information resides and how to access it. Meeting future challenges also requires creating an environment in which stakeholders and scientists have the resources and incentives to share information (Opportunities 3-5 below) and promote learning. Finally, it entails recognizing limitations and constraints to translating and sharing information, and creating opportunities to overcome these barriers (Opportunities 3, 6, and 7 below).

## BARRIERS AND OPPORTUNITIES TO EFFECTIVE COMMUNICATION

Addressing the research gaps identified earlier in this chapter will substantially advance understanding of the coupled natural-human system of the Gulf Coast and help identify salient feedbacks between humans and their environment in the face of climate change. This, in turn, will constitute crucial information for the development of policies toward a resilient and sustainable Gulf Coast. Implementation of research products into actionable policies necessitates effective communication and collaboration between stakeholders and scientists. In Chapter 4, the report identified specific barriers to effective communication. In this section, opportunities to overcome those barriers are presented.

### Stakeholder Perspective

**Barrier 1.** Financial constraints, information availability, time, and expertise represent a barrier to effective communication. These factors make it difficult for stakeholders to know about, obtain, find, work with, and interpret information/data in a way that allows them to incorporate science into decision making.

**Opportunity 1.** Targeted funding opportunities that would allow practitioners to obtain data and to hire staff with the expertise and dedicated time to interpret scientific information would facilitate the use and application of available scientific information by other stakeholders. Alternatively, or in addition, the development of a Gulf Coast-wide repository of scientific information managed by well-informed staff that can provide support for stakeholders would be a valuable resource that would help facilitate the incorporation of science into decision-making.

**Barrier 2.** Many scientific products that are intended to help inform decision-making are not tailored to stakeholders' specific needs. As a result, the applicability of these products (e.g., tools, data, information) is not clear to stakeholders, who are then less likely to use them for decision making. Furthermore, many scientific products are not accompanied by sufficient instructions or training on how, why, or when to apply the provided information to the decision-making process; the information thus may go unused or may be applied inappropriately. Additionally, some products may be seen by stakeholders as serving the interests of one group over another, and may thus not be seen as appropriate for decision-making.

**Opportunity 2.** When developing products that are intended to inform decision-making, scientists should be encouraged to engage substantively with stakeholders from the development to delivery stage. Such an approach can create scientific products that are more likely to be effective and immediately applicable, and may help to allay concerns over whether data are serving some needs over others. To encourage stakeholder involvement, solicitations for research programs might include a requirement for substantive and early engagement. Boundary organizations assist in facilitating this type of engagement, and including incentives for their involvement would further improve communication. The degree to which scientific information is used effectively could be further improved by streamlining and guiding the process by which stakeholders identify and access the information they need. Development of an innovative catalog of products would improve the abilities of stakeholders to access and apply these tools in their decision-making activities. Combining this effort with staff support (see Opportunity 1) would facilitate this process.

**Barrier 3.** The size and complexity of the energy industry, as well as apparent limitations to information sharing, present a barrier to effective communication between the energy industry and other stakeholders.

**Opportunity 3.** Create an incentive structure that fosters information sharing between the energy industry and other stakeholders, as well as protocols for how to engage more effectively to facilitate information sharing. This process could be facilitated by a third party such as a boundary organization.

**Barrier 4.** Limited financial and human resources, logistical complexity, difficulty in identifying all relevant stakeholders, and skepticism, lack of understanding, or lack of trust by one or both parties can make it difficult for practitioner stakeholders to communicate effectively with members of the general public, including vulnerable populations.

**Opportunity 4.** Boundary organizations can play a key role in facilitating trusting relationships among community members, practitioners, and scientists, allowing for more effective engagement. Advisory committees comprised of members of relevant stakeholder groups, including vulnerable or underserved groups, could serve as representatives for their communities and could help identify strategies for more effective communication and engagement.

**Barrier 5.** There can be difficulties in establishing two-way information flow between scientists and stakeholders, due to one or both parties failing to see the value of communication. Moreover, there are challenges involved in coordinating diverse entities and individuals for any particular research effort, especially when there are numerous people and/or groups involved.

**Opportunity 5.** Role-playing exercises may help ensure that scientists, stakeholders, and others see the value of two-way communication. Efforts can be made to demonstrate the effectiveness and value of community engagement through case studies and storytelling, as a first step toward further engagement. Clear lines of communication, chain of command, and protocols, and the involvement of boundary spanners or boundary

organizations, may facilitate the coordination of stakeholders and scientists in concerted efforts and will help participants feel involved, useful, and have a sense of ownership.

### Scientist Perspective

**Barrier 6.** Scientists' engagement with stakeholders can be limited by competing demands on time and by the relative importance placed on this engagement, in terms of promotion and professional recognition. In addition, scientists are often not trained in speaking to public audiences or engaging with stakeholders. They may also not be equipped to transfer knowledge efficiently or to provide appropriately tailored information to stakeholders.

**Opportunity 6.** Strong relationships, collaborations, and clear communication between scientists and stakeholders help produce scientific results that are most applicable to coastal decision-making. To help facilitate the development of key relationships, funding programs could provide funds for engagement and knowledge transfer activities, and consider ways to incentivize collaborations between scientists and stakeholders via boundary organizations and other boundary spanners. Notably, there is an opportunity for extension faculty associated with sea- and land-grant programs to play a prominent role in future engagement and knowledge transfer between scientists and stakeholders. There may also be opportunities to offer training for scientists on effective communication and collaboration with stakeholders. Involving university leadership in these opportunities may also increase interest in and support for scientist engagement with stakeholders.

**Barrier 7.** Scientists working on or wanting to engage in research relevant to the Gulf Coast but who are not from or based there may feel limited by their "outsider" status when attempting to engage with stakeholders. They may have concerns about whether their information and expertise will be dismissed, especially if the information is viewed as contrary to deeply held stakeholder views.

**Opportunity 7.** Funding programs that focus on Gulf Coast-related research could encourage and facilitate collaborations among regional scientists (especially those with well-established relationships with stakeholders) and those from outside the region with complementary interests and expertise. In order to progressively build trust and forge strong collaborations, workshops, personnel exchanges, and symposia could be used to initiate communication and discussions among Gulf Coast stakeholders, Gulf Coast-based scientists, and scientists from outside the Gulf Coast who have relevant research interests and expertise.

The physical and ecological systems, people, and economy in the Gulf Coast are inextricably linked. The research agenda presented here could lead to advances in understanding of the natural and human factors that combine, interact, and feed back to influence coastal evolution, as well as coastal communities and infrastructure. It also underlines the importance of effective communication among scientists and stakeholders in promoting informed decision-making in the coastal zone. While changing environmental conditions present challenges to coastal

communities, there is also a great opportunity for groundbreaking research and innovation, which may lead to a re-envisioning of what it means to live along the Gulf Coast.

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## Appendix A

### Committee and Staff Biographical Sketches

TUBA ÖZKAN-HALLER (*Committee Chair*) is a professor and Associate Dean for Research and Faculty Advancement at the College of Earth, Ocean, and Atmospheric Sciences and School of Civil and Construction Engineering at Oregon State University. Her research program focuses on the use of numerical, field, laboratory, and analytical approaches to predict water motion and bathymetric change in bays, inlets, and the continental shelf. She has developed models to predict surf zone wave fields, conducted research to understand the effects of wave energy converters on the nearshore wave field, and investigated the mechanisms that control nearshore rip currents. Dr. Özkan-Haller has more recently been involved in studies dealing with oxygen consumption in the coastal ocean. She is a member of the Ocean Studies Board of the National Academy of Sciences and served on the committee on An Evaluation of the U.S. Department of Energy's Marine and Hydrokinetic Resource Assessments for the National Academy of Sciences. Dr. Özkan-Haller is the recipient of the Office of Naval Research Young Investigator Award and the Outstanding Faculty Member Award at the University of Michigan. She holds a B.S. in civil engineering from Boğaziçi University in Istanbul, Turkey and an M.C.E. and a Ph.D. in Civil Engineering from the University of Delaware.

GREGORY A. CARTER is a Professor of Geography in the Department of Geography and Geology, and Chief Scientist of the Gulf Coast Geospatial Center at the University of Southern Mississippi. He and his students study the ecological communities of barrier islands and wetlands in the northern Gulf of Mexico. They apply present-day and historical remote sensing, as well as field sampling techniques to investigate relationships among ecosystems, elevation, sea-level rise, and the impact of tropical storms. Dr. Carter received B.S. and M.S. degrees in Botany from Auburn University and a Ph.D. in Botany from the University of Wyoming.

JUST CEBRIAN is a Professor in the Department of Marine Sciences at the University of South Alabama and a Senior Marine Scientist at the Dauphin Island Sea Lab in Alabama. His research focuses on the impacts that humans have on the functioning of coastal ecosystems to better understand coastal resiliency and help generate cost-effective management policies. His field and experimental work follows a community-integrated approach and covers the main communities of coastal ecosystems, including phytoplankton communities, sediment flats inhabited by benthic microalgae, macroalgal beds, seagrass meadows, and marshes. Dr. Cebrian also studies how coastal ecosystems compare with terrestrial ecosystems using a number of functional metrics, including trophic processes and energy flows. Dr. Cebrian serves on the editorial boards of the journals *Marine Ecology Progress Series*, *Estuaries and Coasts*, *PLoS ONE*, and *Gulf and*

*Caribbean Research.* He obtained a B.A. in Biology from the University of Barcelona, Spain, and an M.S. in Oceanology from the University of Perpignan in France. He received his Ph.D. in Marine Sciences at the University of Politecnica Catalunya in Barcelona, Spain.

ROBERT (TONY) A. DALRYMPLE (NAE) is the Willard and Lillian Hackerman Professor Emeritus of Civil Engineering at Johns Hopkins University and Distinguished Professor of Coastal Engineering at Northwestern University. His major research interests are in the areas of coastal engineering, wave mechanics, fluid mechanics, littoral processes, and tidal inlets. His research currently explores water wave modeling, including tsunamis and their impacts on shorelines. Dr. Dalrymple was elected to the National Academy of Engineering in 2006. He chaired the National Academy of Sciences' Committee on the Review of the Louisiana Coastal Protection and Restoration Program and the Committee on Sea Level Rise in California, Oregon, and Washington. Dr. Dalrymple received his A.B. degree in engineering sciences from Dartmouth College, his M.S. degree in ocean engineering from the University of Hawaii, and his Ph.D. degree in civil and coastal engineering from the University of Florida.

JORDAN R. FISCHBACH is a Senior Policy Researcher at the RAND Corporation, Co-Director of the Water and Climate Resilience Center, and an Affiliate Faculty Member at the Pardee RAND Graduate School. Dr. Fischbach has expertise in risk analysis, exploratory simulation modeling, and Robust Decision Making, a method designed to better manage deep uncertainty and develop robust and adaptive plans through quantitative scenario analysis. He currently serves as Co-Program Manager, Science and Analysis, and Co-Investigator for the NOAA Mid-Atlantic Regional Integrated Sciences and Assessments (MARISA) center, and is leading an assessment of damage and needs after Hurricane Maria to support Puerto Rico's recovery planning. Fischbach recently served as the principal investigator for the flood risk and damage assessment supporting the State of Louisiana's 2017 Coastal Master Plan, and co-led an investigation of future climate impacts and coastal resilience options in Queens after Hurricane Sandy. Dr. Fischbach earned a B.A. in History from Columbia University in 2001 and a Ph.D. in Policy Analysis from the Pardee RAND Graduate School in 2010, where he was awarded the Herbert Goldhamer Memorial Award.

JENNIFER L. IRISH is a Professor of coastal engineering in the Department of Civil and Environmental Engineering at Virginia Tech. Previously, she was the Coastal Engineering Regional Technical Specialist at the U.S. Army Corps of Engineers North Atlantic Division and New York District, and a research coastal engineer at the U.S. Army Coastal and Hydraulic Laboratory. Dr. Irish's research involves the physical impacts of coastal hazards, including storm surge, tsunami inundation, and storm-induced erosion; coastal hazard probability and risk assessment; impacts of climate change and sea level rise at the coast; and the role of natural and nature-based features in coastal hazard mitigation, including wetlands, coastal forest, dunes and beaches. She is a Fellow of the American Society of Civil Engineers (ASCE) and has received numerous awards including the Department of the Army's Superior Civilian Service Award. Dr. Irish serves on multiple editorial boards including the journal of Coastal Engineering. She was formerly Chair of ASCE's Committee on Technical Advancement and Secretary of ASCE's Coasts, Oceans, Ports, and Rivers Institute. Dr. Irish received her B.S. (1992) and M.S. (1994) in Civil Engineering from Lehigh University in Pennsylvania and her Ph.D. (2005) in Civil Engineering from the University of Delaware.

ALEXANDER S. KOLKER is an Associate Professor in the Louisiana Universities Marine Consortium, and teaches in the Department of Earth and Environmental Science at Tulane University. Dr. Kolker's research lab investigates interactions between sedimentary, hydrological and oceanographic processes in the coastal zone and the ways these processes affect and are affected by the morphology of coasts and wetlands. Dr. Kolker's work spans the natural and anthropogenic processes that govern coastal systems, the role of atmospheric processes on short-term sea level dynamics, and works to understand how climate and other human activities influence coasts and wetlands. Current projects include: the development of subsidence map of the Louisiana coast; an examination of the influence of the Mississippi River and its delta on the oceanography and ecology of the Gulf of Mexico; investigations into natural analogues for coastal restoration in Louisiana, and studies that investigated the pathways and processes associated with groundwater discharge in the Mississippi River Delta. He holds a B.A. in Biology at the University of California, Santa Cruz (1995), an M.A. in Biological Sciences (2000), and a Ph.D. in Marine and Atmospheric Science at the State University of New York, Stony Brook (2005).

SHUBHRA MISRA worked as a marine and coastal subject matter expert for six years at Chevron Energy Technology Company in Houston on Chevron's oil, gas, and petrochemical infrastructure projects globally. Prior to Chevron, Dr. Misra worked at several marine and coastal infrastructure design and engineering consulting firms in New York and Houston as a coastal engineer. Shubhra is a Professional Engineer (Civil - Water Resources) registered in Texas. His primary experience is with multi-disciplinary coastal and marine projects with a focus on site selection, conceptual and detailed design, constructability and operation of marine and coastal infrastructure (fixed and floating), intakes/outfalls, dredging/reclamation, numerical and physical laboratory modeling of coastal processes (waves, water levels, currents, sediments) and vessel motions, meteorological-ocean studies, risk assessments, computational fluid dynamics modeling including wave-structure interactions, landslide tsunamis, shipping waterway suitability assessments, and marine environmental impact assessments. He received his M.S. and Ph.D. degrees at the University of Delaware in civil and environmental engineering (coastal engineering), followed by a brief stint as a post-doctoral researcher at the College of Marine Studies, University of Delaware, conducting laboratory experiments on air-sea interactions.

LAURA J. MOORE is an Associate Professor and Director of the Coastal Environmental Change Lab in the Department of Geological Sciences and the Curriculum for the Environment and Ecology at the University of North Carolina at Chapel Hill. Her interdisciplinary research program focuses on the response of low-lying coastal environments to climate change. Recent and ongoing work relies on the merging of observational and numerical approaches to investigate barrier island response to sea level rise; coastal foredune dynamics; couplings among barriers, back-barrier marshes and bays; large-scale coastline response to changing wave climate; two-way couplings between human activities and natural processes that affect coastline evolution; and novel arts-based approaches to climate change education. Her research involves collaboration with ecologists, social scientists, and performance artists. Dr. Moore has been a principal investigator at the Virginia Coast Reserve Long-term Ecological Research site since 2008, is the co-editor of *Barrier Dynamics and Response to Changing Climate* published in January 2008 by Springer, and is a recipient of the W.M. Keck Foundation Fellowship in Natural

Sciences, among others. Dr. Moore has a B.A. in geology from Colgate University and a Ph.D. in Earth sciences from the University of California, Santa Cruz.

MARTIN D. SMITH is the George M. Woodwell Distinguished Professor of Environmental Economics in the Nicholas School of the Environment at Duke University and also has an appointment in the Department of Economics at Duke University. Dr. Smith's research focuses on the economics of the oceans, including fisheries, marine ecosystems, seafood markets, and coastal climate adaptation. He has written on a range of policy-relevant topics, including economics of marine reserves, seasonal closures in fisheries, ecosystem-based management, nutrient pollution, the global seafood trade, organic agriculture, and coastal responses to climate change. He is best known for identifying unintended consequences of marine and coastal policies that ignore human behavioral feedbacks. Smith's methodological interests span micro-econometrics, optimal control theory, time series analysis, and numerical modeling of coupled human-natural systems. He serves on the Ocean Studies Board of the National Academies of Sciences, Engineering, and Medicine, is an Aldo Leopold Leadership Fellow, and was selected for the Quality of Research Discovery award from the Agricultural and Applied Economics Association. He is former Editor-in-Chief of *Marine Resource Economics* and has a B.A. in Public Policy from Stanford University and a Ph.D. in Agricultural and Resource Economics from the University of California, Davis.

TORBJÖRN E. TÖRNQVIST is the Vokes Geology Professor in the Department of Earth and Environmental Sciences at Tulane University. Dr. Törnqvist is a Quaternary scientist who studies sea-level change, coastal subsidence, delta evolution, and paleoclimatology. More specifically, he examines the sedimentary record of the Louisiana coast to investigate sea-level change over timescales ranging from the past decade to the past 10,000 years. Sea-level records provide insights on a variety of issues, including rates of ice-sheet melt during past warm periods, as well as subsidence mechanisms, rates, and their spatial patterns. Dr. Törnqvist's research group also examines the response of coastal and deltaic environments to accelerated rates of sea-level rise, including studies that seek to assess how deltaic processes can be harnessed to benefit coastal restoration. He received his M.S. (1988) and Ph.D. (1993) degrees in Physical Geography from Utrecht University.

GABRIELLE WONG-PARODI is an Assistant Research Professor in the Department of Engineering and Public Policy at Carnegie Mellon University. Her research focuses on applying behavioral decision research methods to promote environmental sustainability and community resiliency along the coastline. Dr. Wong-Parodi uses behavioral science approaches to create evidence-based strategies for informed decision making across a range of domain areas. These include energy resources, climate change adaptation and mitigation, and emerging technologies, such as autonomous vehicles and unconventional shale gas development. She was an invited speaker at the Sackler Colloquia on the Science of Science Communication at the National Academy of Sciences. Dr. Wong-Parodi is a faculty affiliate at Lawrence Berkeley National Laboratory and is the social science research liaison for CMU at the Climate Advocacy Lab. Dr. Wong-Parodi received her B.A. in Psychology at the University of California, Berkeley, and her M.A. and Ph.D. in Risk Perceptions and Communication from the University of California, Berkeley.

DEBORAH GLICKSON (*Study Director*) is a Senior Program Officer with the Board on Earth Sciences and Resources at the National Academies of Sciences, Engineering, and Medicine. She received an M.S. in geology from Vanderbilt University in 1999 and a Ph.D. in oceanography from the University of Washington in 2007. Her doctoral research focused on magmatic and tectonic contributions to mid-ocean ridge evolution and hydrothermal activity at the Endeavour Segment of the Juan de Fuca Ridge. In 2008, she participated in the Dean John A. Knauss Marine Policy Fellowship and worked on coastal and ocean policy and legislation in the U.S. Senate. Prior to her Ph.D. work, she was a research associate in physical oceanography at Woods Hole Oceanographic Institution. Since joining the National Academies staff in 2008, she has worked on a number of ocean and Earth science studies, including such topics as scientific ocean drilling, critical ocean science research needs and infrastructure, the academic research fleet, marine hydrokinetic energy, methane hydrates, coal mining and human health, and geoscience education.

HEATHER KREIDLER is an Associate Program Officer for the National Academies' Board on Environmental Change and Society (BECS) and the Board on Human-Systems Integration (BOHSI). She joined the National Academies in 2008 and has worked on wide-ranging topics including public health, nutrition and dietary guidance, and issues facing children, youth, and families. Current projects examine and advance the social and behavioral sciences at the intersection of human activity and global environmental change and issues concerning the relationship of individuals and organizations to technology and the environment. Ms. Kreidler received a B.S. in business management from Kutztown University in Pennsylvania and her M.S. in Environmental Science and Policy from George Mason University.

COURTNEY DEVANE is the Administrative Coordinator for the National Academies' Board on Earth Sciences and Resources and the Water Science and Technology Board. She received an A.A. in graphic design from Pittsburgh Technical Institute in 2000. She joined the National Academies staff in 2004 and has worked across the institution on a wide variety of projects and subjects—most notably with the National Academy of Engineering, the National Academy of Sciences, the Naval Studies Board, the Board on Radiation Effects Research, and the Nuclear and Radiation Studies Board.

JAMES HEISS is a Postdoctoral Fellow with the National Academies' Ocean Studies Board. He graduated with a M.S. and Ph.D. in Geology from the University of Delaware in 2011 and 2017, respectively. His research involved understanding the role of waves and tides on controlling the temporal and spatial variability of groundwater flow and saltwater-freshwater mixing in coastal aquifers. He has also researched linkages between groundwater hydrology and biogeochemical cycling in beach groundwater systems. At the National Academies, he has worked on studies dealing with the use of chemical dispersants in oil spill response and the NASA Decadal Survey for Earth Science and Applications from Space.



## **Appendix B**

### **People Who Provided Input to the Committee**

#### **Meeting 1: Washington, DC** **May 17, 2017**

Tom Drake, Ocean, Atmosphere and Space Research, Office of Naval Research  
Nicole Elko, American Shore and Beach Preservation Association  
David Kidwell, National Centers for Coastal Ocean Science, National Oceanic and Atmospheric Administration  
Tucker Mahoney, Federal Emergency Management Agency  
Julie Dean Rosati, Coastal and Hydraulics Laboratory, U.S. Army Corps of Engineers  
Hilary Stockdon, Coastal and Marine Geology Program, U.S. Geological Survey  
George Voulgaris, Physical Oceanography Program, National Science Foundation

#### **Meeting 2: Houston, TX** **July 18-19, 2017**

Phil Berke, Texas A&M University  
Carl Bernier, Rice University  
Cas Bridge, Chevron  
Noreen Clancy, RAND Corporation  
Don Danmeier, Chevron  
Carl Ferraro, Alabama Department of Conservation and Natural Resources  
Angelina Freeman, Louisiana Coastal Protection and Restoration Authority  
Maria Lemos, University of Michigan  
Ryan Moyer, Florida Department of Environmental Protection  
Ray Newby, Texas General Land Office  
LeighAnne Olsen, Gulf Research Program  
Natalie Peyronnin, Environmental Defense Fund  
George Ramseur, Mississippi Department of Marine Resources  
Denise Reed, The Water Institute of the Gulf  
Ariana Sutton-Grier, University of Maryland  
LaDon Swann, Mississippi-Alabama Sea Grant Consortium  
Jason Theriot, Historian and author  
Elizabeth Vargas, Texas General Land Office



**Meeting 3: New Orleans, LA  
September 18, 2017**

Damarys Acevedo-Mackey, U.S. Army Engineer Research and Development Center  
Mead Allison, The Water Institute of the Gulf  
Joe Calantoni, Naval Research Laboratory  
Brady Couvillion, U.S. Geological Survey  
David Dismukes, Louisiana State University  
John Alex McCorquodale, University of New Orleans  
Ehab Meselhe, The Water Institute of the Gulf  
Hugh Roberts, Arcadis  
Dano Roelvink, IHE Delft Institute for Water Education  
Jenneke Visser, University of Louisiana at Lafayette  
Ian Voparil, Shell Deepwater Gulf of Mexico  
Ty Wamsley, U.S. Army Corps of Engineers Mississippi Valley Division  
Eric White, The Water Institute of the Gulf

**Webinar  
November 1, 2017**

Brad Murray, Duke University

**Meeting 4: St. Petersburg, FL  
November 15, 2017**

Craig Colten, Louisiana State University  
Mathew Hauer, University of Georgia  
Kelli Levy, Environmental Management Division Director, Pinellas County  
Shahzaad Mohammed, Cheniere LNG  
Jim Schock, Florida Building Commission  
Sherri Swanson, Environmental Project Manager, HDR Engineering, Inc.